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## 1

generate less aliased and higher-resolution ROIs from original images in CNNs.

Since CNNs were introduced, the most common image size has been approximately 256x256 pixels. Examples in Spatial Pyramid Pooling network, Faster R-CNN and YOLOv1 are tested for the relevance of the image size. Also, in [1], it was shown that a recurrent neural network model is able to extract information from an image by selecting a sequence of regions and processing each region at high resolution. Therefore, object detections from ROIs are the very common in CNNs.

In [2], Krizhevsky et al. described ImageNet which has the 15 million labeled images with 22,000 categories and variable resolution image sizes and adopted down-scaled rectangular images from ImageNet dataset. They reported results on 10,184 categories and 8.9 million images. [3] classified 21 object classes from ImageNet, PASCAL VOC 2007. However, most research is conducted with VOC2007 20+1 classes despite the fact that there are datasets with more than several hundred categories. Recently [4], trained and tested using 80 object classes in the fastest object detection speed. In future research, more than 200,000 object categories should be considered to distinguish objects such as human beings.

There are a number of practical applications for processing low-quality images. These include surveillance cameras, black box systems in vehicles, and cameras in mobile phones. However, it may be difficult to increase the quality of images in surveillance camera systems because of limited capacity for storage, insufficient night vision, and dark image sensors. In particular, current night visioning algorithms do not have procedures for good image quality. In the case of black box systems in vehicles, vibrations and low electric power consumption may cause poor image compression, inability to zoom quickly, or lack of focus. Finally, in mobile phone cameras, limits of the zoom algorithm can cause images to be blurry or target objects to be small.

In this paper, we present our research on improving rates of object classification through super-resolution algorithms and convolutional neural networks.

## 2. Related Research

Compared to the previous CNN algorithms, [5] have shown more new algorithms for feature extracting or new initial parameters. Paper [6] also improved CNN using "region proposals" technique and sliding window. Their new methods increase the speed of object detection which allows the images to be processed in almost real time. Using region proposals, the Fast YOLO model in [7] processed 155 frames per second.

In [6], Keiming He et al. had used variable size image datasets and were able to get the best results for training and testing of images after achieving when the shorter side of the input image was maximum 392 pixels. Also, their results indicated that scale is an important factor in recognition processing. Thus, they

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suggested that the spatial pyramid pooling model and they found that detection of objects had better performance among several scaled datasets. The main reason for this was because the objects which were detected often occupied significant regions of the whole image. But cropped or warped images caused to get lower accuracy rates than when using the same model on the undistorted full images.

Upon reading this related research, we were motivated to achieve better object recognition performance in (1) regions of interest and (2) high-resolution regions of interest which are cropped areas from input images. High-resolution image cropping is a solution which can come from super-resolution methods.



Figure 1: Cropping and warping. This image is from [8].

In [9], super-resolution is said to construct high-resolution images from several observed low-resolution images or from a single low-resolution image, thereby increasing the high frequency components and removing the degradations caused by the low resolution.

Let  $X$  denote the high resolution image desired and  $Y_k$  be the  $k$ -th low-resolution observation. Assume the [10] system captures  $k$  low resolution frames of  $X$ , where the low-resolution observations are related with the high-resolution scene  $X$  by

$$Y_k = D_k H_k F_k X + V_k, k = 1, 2, \dots, k,$$

where  $F_k$  encodes the motion information for the  $k$ -th frame,  $H_k$  models the blurring effects,  $D_k$  is the down-sampling operator, and  $V_k$  is the noise term. These linear equations can be rearranged into a large linear system

$$\begin{bmatrix} Y_1 \\ Y_2 \\ \vdots \\ Y_k \end{bmatrix} = \begin{bmatrix} D_1 H_1 F_1 \\ D_2 H_2 F_2 \\ \vdots \\ D_k H_k F_k \end{bmatrix} X + \underline{V} \quad (1)$$

or equivalently,  $\underline{Y} = \underline{M}X + \underline{V}$ .

In real imaging systems, these matrices are unknown and need to be estimated from the available low-resolution observations.

Unlike the above image observation model, statistical approaches in [11] relate the super-resolution reconstruction steps stochastically toward optimal reconstruction. The high-resolution image and motions among low-resolution inputs are regarded as stochastic variables. Therefore, the super-resolution reconstruction is cast into a full Bayesian framework, and by sum rule and Bayes' theorem:

$$\begin{aligned} X &= \arg \max_x \Pr(X|\underline{Y}) \\ &= \arg \max_x \int_{v,h} \Pr(\underline{Y}|X, M(v, h)) \Pr(X) \Pr(M(v, h)) dv. \end{aligned} \quad (2)$$

For more detailed procedure, please refer to [12]. Here  $\Pr$  is the data likelihood,  $\Pr(X)$  is the prior term on the desired high-resolution image and  $\Pr(M(v, h))$  is the prior term on the motion estimation. Finally, if  $M(v, h)$  is given or estimated as  $M$ , then  $X$  can be obtained as a popular



maximum a posteriori (MAP), or  $X$  can even be reduced to the simplest maximum likelihood (ML) estimator which can be treated by an Expectation-Maximization (EM) algorithm in machine learning.

In Error! Reference source not found., two kinds of super-resolution algorithms are introduced. Compared with the multiple image super-resolution algorithm, the single image super-resolution algorithm uses a training step to learn the relationship between a set of high-resolution images and their low-resolution counterparts. This relationship is then used to predict the missing high-resolution details of the input images. There are three steps in this process: the registration (motion estimation), the restoration, and the interpolation to construct a high-resolution image from a low-resolution image or multiple low-resolution images Error! Reference source not found..

In addition to the EM algorithm for single image super-resolution, Error! Reference source not found., Error! Reference source not found., Error! Reference source not found., Error! Reference source not found., Error! Reference source not found., present methods that employ a mixture of experts (MoE) to jointly learn the features by separating global and local models (space partitioning and local regressing). These are solved by an expectation and maximization (EM) for joint learning of space partitioning and local regressing. Generative Adversarial Networks (GAN) method in Error! Reference source not found. is proposed using generator networks and discriminator networks to restore photorealistic natural images with minimization of the mean squared reconstruction error.

In this paper, we will apply a single image super-resolution method to get better detection rates from a single image dataset.

### 3. Image Resolution Improvements

There are two approaches to improving input image quality. The first is to apply the super-resolution directly to the input images then have the CNN take the super-resolution image as the input image. The second is to apply the super-resolution only to bounding boxes. The second method may reduce the necessary computing power.

Shaoqing Ren et. al. in Error! Reference source not found. implemented the interpolation algorithm for two purposes. One was to fix the input image size from variable input images and the other was for the selective search algorithm to extract feature maps from input images. Detector extends networks for windowed detection by a list of crops or selective search (or EdgeBoxes) feature maps and then interpolates them to fix the size into one of bounding boxes. Thus, this method may have more effects on bounding boxes for small objects as shown in Figure 2. In Error! Reference source not found., this method was described in detail in Section 4. In future research, we will implement CNN with extended images which are preprocessed using the super-resolution method instead of interpolation. In this paper, we propose using super-resolution as pre-processing before CNN to increase the image size to approximately 492x324 pixels.



Figure 2: Images with either full-size objects or small-sized objects. These examples are from Error! Reference source not found.

Thus, we will first describe a single image super-resolution method to enhance the quality of the image in preprocessing before forwarding image data to the input layer of CNN. Then, it is followed by the CNN algorithm to classify preprocessed images.

#### 3.1. Super-resolution as Pre-processing

In image analysis, there have been distinct improvements related to convolution neural networks. However, we will focus on preprocessing image samples by super-resolution methods. For example, if a cropped 166x110 pixel image is extracted from a larger image of 640x480 pixels, the cropped image is usually too small to feed into the input layer of CNN models to get good results in object recognition. Thus, we will import a super-resolution method before the input layer of CNN or for bounding boxes.

We propose a Mixture of Experts (MoE) model to solve problems in anchor-based local learning, which are optimizing the partition of feature space and reducing the number of anchor points. The MoE model will be solved by Expectation-Maximization (EM) algorithm. The objective of a MoE model is to partition a large complex set of data into smaller subsets via the gating function. This preprocessing is built with the lazy neighbor embedding, anchor-based local learning approach, sparse coding, and deep convolution neural networks, respectively.

Component regressors,  $W_i$ , and an anchor point,  $v_j$  have the following relationship as an expert which means that each of the model component classifiers or regressors is highly trained:

$$\min_{\{v_1, v_2, \dots, v_K; w_1, w_2, \dots, w_K\}} \sum_{j=1}^N \sum_{i=1}^K c_{ji} \|h_j - W_i l_j\|^2, \quad (3)$$

where  $l_j$  means a low-resolution patch,  $h_j$  is the corresponding high-resolution patch,  $v_j$  is the nearest anchor point for  $l_j$  and  $c_{ji}$  is a continuous scalar value which represents the degree of membership of  $l_j$ .

However, we propose a mixture of experts which is one of the conditional combined mixture models in Error! Reference

source not found>Error! Reference source not found., in order to partition an image data into smaller subsets (as gating networks to determine which components are dominant in the region) and to determine the best (as experts to make predictions in their own regions).

In general, this a mixture of experts model can be trained efficiently by maximum likelihood using the EM algorithm in the M step. For every iteration, the posterior probabilities are calculated and reweighted patches, and then we get LR/HR patch pairs  $(l, h)$  through the expectation of the log-likelihood as an E-step. During M-step, anchor points and regressors are updated, which is a softmax regression problem. After training, which is saving the trained anchor points and the projection matrices, a super-resolution image from the given input low-resolution image can be constructed by collecting all the patches from regressed low-resolution patches and averaging the overlapped pixels.

In order to compare the performance of our proposed method with that of interpolation method in addition to images produced by the super-resolution method, bilinear and bicubic interpolated images will also be generated.

### 3.2. Object classification

As the object classification model, we decided to implement the CNN mostly based on the Faster R-CNN Error! Reference source not found. because these networks support variable image sizes as the input data of CNN and sliding window proposal scanning for the convolution network. Most importantly, the detection speed to predict an object is almost as fast as real-time. In YOLO or SSD, a group of an object can be detected, and the Faster R-CNN is enough to meet our goal. As mentioned earlier, Faster R-CNN uses the region proposal approach to detect an object from variable input image sizes. But the size ratio of the region proposal to the whole image is critical to detect the object. As shown in Figure 2, sometimes objects from small bounding boxes are ignored because the object may be deformed or aliased during cropping or warping. With a better quality image, CNN will take better quality cropped or warped bounding boxes. This means we can distinguish our proposed method from other interpolated data.

As the pre-processing, the super-resolution is implemented on MATLAB on Linux and Intel® Xeon. Our proposed neural networks are implemented in two ways. For one example, CNN is implemented only on Intel® Xeon CPU 2.30GHz, and as another example, CNN is implemented based on Intel® Xeon CPU 2.30GHz and eight GPUs in four NVIDIA Tesla K80 GPU boards. Since our purpose is not to find how fast objects are detected but rather how many objects are detected, we do not mention these different platforms anymore.

Our proposed CNN has built to predict region proposals in terms of region proposal networks (RPN), which are implemented by deep convolution networks (a kind of fully convolutional network Error! Reference source not found.) Error! Reference source not found.Error! Reference source not found.  
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found.. This RPNs can predict region proposals with wide ranges of input image sizes and aspect ratios in contrast to conventional neural networks. The RPN also runs on pyramids of regression references (or pyramid of anchors), not on pyramids of images. It has benefits on running speeds.

The detection modules are organized as below: Multiple convolution layers convolve an input image and output feature maps. Then feature maps are given to the RPN. The RPN, in terms of a sliding window with a Multi-boxing method, generates a set of rectangular object proposals as a deep, fully convolutional network. A wide range of input image scales is fixed into given anchor sizes. After the RPN, conventional CNN predicts objects using the classification layer and bounds a box around the detected object using the regression layer.

In addition for the storage usage of CAFFE, we make constraints on reading input images from the given image dataset. In our deep CNN model allowing multiple scaled image sizes, the maximum number of region proposals is  $w$  (the width of the image) multiplied by  $h$  (the height of the image) multiplied by  $k$  (the number of anchors of a region proposal). This means that the region proposal network requires a considerable amount of memory space. Therefore, we limited the feeding of the number of input images in hidden layers to less than or equal to 20 simultaneous images. Other than CAFFE, we used a version of the Keras model, which uses Tensorflow and GPUs. This other implementation had similar results. Therefore, we will not discuss it in this paper.

For the training of our CNN model, we use model parameters given in Error! Reference source not found., which are actually initialized by pre-training model parameters of ImageNet. We test 9000 images from datasets with PASCAL VOC 2007 and also tests with Microsoft's COCO dataset. As we said before, we consider more only on the number of detected objects but not the improving or computational speeds. Therefore, we are pretty sure that our model training procedure is adequately fitted to our purpose.

For each region proposal from an input image, an object is randomly counted on, and we randomly sample 256 anchors in an image as a mini-batch size. Instead of considering the super-resolution method on selected region proposals instead of interpolation methods, any input images with variable sizes or shapes can be supported with better cropped or warped regions. But we do not consider this right now. We will leave this for future research. Instead, we processed with low-resolution images as the input dataset, processing super-resolution on these images, then detecting objects.

## 4. Performance Evaluations

To detect objects from images in CNNs, there are two kinds of popular file formats as input datasets. Those formats are JPG and Bitmap. However, we have considered several more image file formats as well as JPG and Bitmap formats to get better image quality for preprocessing and to get better detection objects during



testing images. While datasets such as PASCAL VOC2007 or COCO are generated images with the JPG file format, in super-resolution processing, the JPG format did not seem to offer results as good as the Bitmap format. Such effects on preprocessing, we decided to use Bitmap format, and then we worked to convert the images from JPG format into BMP format before a preprocessing procedure. Also, we scaled down the image size, reducing each width and height by a factor of three to generate images of lower quality, instead of directly collecting cropped or warped images. Then, the image was further processed with bilinear and bicubic interpolations, as well as the super-resolution method. Thus, we built three different image datasets as preprocessing in our proposed method.

In our model, we implemented the preprocessing procedure based on Error! Reference source not found.. Rather than training with nearly 50 images as in most of the published single image super-resolution models, we trained our model based on their initial parameters and with 100 PASCAL VOC2007 images and COCO images. We did not find any considerable overfitting with this number of training images. We generated images as the preprocessing of our model with randomly selected images from PASCAL VOC2007 Error! Reference source not found., images from Error! Reference source not found., and additionally with COCO dataset images. Newly built images after our preprocessing are similar categories and the number of objects in an image. Therefore, we tested the object classification with 520 images randomly extracted from VOC2007 and 1224 images from COCOs MSO Error! Reference source not found..

As shown in Figure 3, we knew that the dataset was annotated with a different number of objects compared to our intuition, and our proposed model found it. For example, the first image of Figure 3 was annotated with no number of any designated object, but our proposed model caught an object or several objects, as shown in the image. The second image of Figure 3 shows that a sofa was detected even though the image was annotated with no object. Because of these differences, we decided to use roughly the given annotations from the given dataset. A larger number of people than the labeled number was detected in the third image of Figure 3. This meant that we needed to manually count the number of objects in each image in the dataset to get the precision-recall measures.

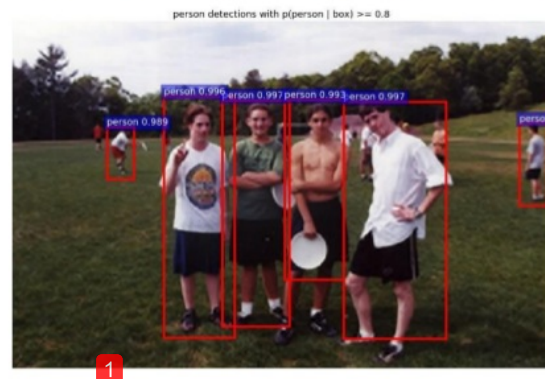
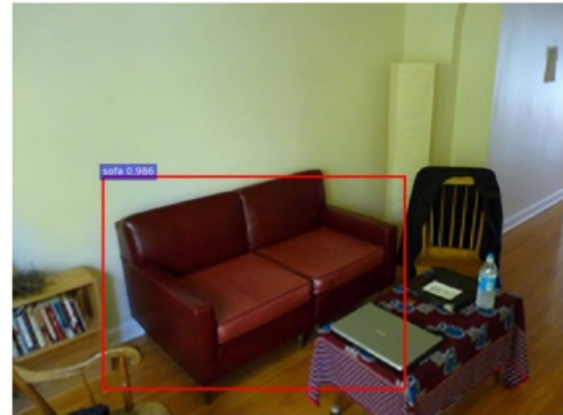


Figure 3: Some Images which have objects detected by our method but were labeled with no objects or a lesser number than we found.

#### 4.1. Comparison of Output Pictures

Even though some of the images from the PASCAL VOC 2007 were detected as the image had no objects in the designated categories, multiple objects in the categories are detected from almost all of the images, as shown in the results given in Table 10. There were three different preprocessing models, namely bilinear interpolation, bicubic interpolation, and our super-resolution method. After the preprocessing of input images, our model for object detection was set with a learning rate of 0.001 to train and detection scores with 0.8 or higher compared with ground truths (IoU) at the testing stage. Our model has 21 categories that are randomly selected and which are independent of each other.

As shown in Figure 4, Figure 5, and Figure 6, green color bars (the first column) show the number of detected objects in each category as given by bilinear interpolation, blue color bars (the second column) show the number as given by bicubic interpolation, and red color bars (the third column) show the results for our proposed approach. Both the number of objects detected as y-coordinate and the detection rate as x-coordinate are shown.

As shown in Table 1, our model detects more objects than the other two models, but the average detection scores are not very different. This demonstrates that our model generates improved input images of which objects had lower scores than 0.8 compared

with ground truth images in the other two models, which are then fed into the CNN which is able to increase the number of detections of categories. Here we mean the detection score is the probability that the detected object belongs to the class. Therefore, objects with near- or over-threshold scores (80% or above) are included even though they did not get the scores over the threshold value in the bilinear or bicubic interpolation models. Figure 4 shows the number of detected objects from the PASCAL VOC 2007 dataset and the comparison between them.

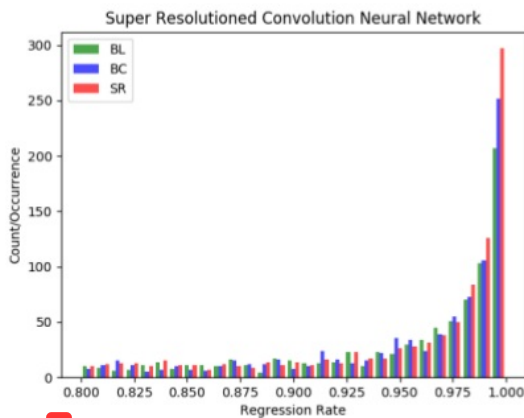


Figure 4: Histogram for convolution network models with three different image preprocessing methods on randomly extracted images from VOC 2007 dataset.

With the dataset of Microsoft MSO as another image dataset for testing object classification, we present results in Figure 5 and Table 2. As with the VOC2007 dataset, our proposed model detects more objects than the two other models. This indicates that the image quality of low scored objects improved, leading to better detection. In other words, in our model, the image quality of low-scored objects improved, leading to detection scores (which is the probability that the object is the class) above 80%.

Table 1: Detected objects on three different preprocessing and convolution neural networks with the PASCAL VOC2007 dataset. #tp is the number of true positives correctly predicted. The column labeled mean is the average probability from the method.

	Bilinear		Bicubic		Our Method	
Classes	#tp	mean	#tp	mean	#tp	mean
aeroplane	25	0.9569	29	0.9472	27	0.9787
bicycle	16	0.9602	17	0.9697	18	0.9520
bird	18	0.9204	25	0.9178	30	0.9500
boat	16	0.9330	18	0.9260	20	0.9276
bottle	19	0.9222	17	0.9208	15	0.9331
bus	26	0.9626	25	0.9639	26	0.9588
car	93	0.9662	100	0.9671	104	0.9710
cat	11	0.9427	10	0.9665	11	0.9739
chair	25	0.9273	34	0.9368	45	0.9320
cow	11	0.9149	12	0.9216	16	0.9385
dining table	7	0.9317	7	0.9420	12	0.9193
dog	37	0.9559	36	0.9657	35	0.9565
horse	30	0.9560	34	0.9574	37	0.9694
motorbike	13	0.9467	13	0.9630	16	0.9581
person	405	0.9546	432	0.9575	466	0.9590
potted plant	10	0.9467	12	0.9194	18	0.8767
sheep	15	0.9275	13	0.9380	14	0.9227
sofa	7	0.9191	8	0.9175	8	0.9475
train	7	0.9193	4	0.9276	4	0.9572
tvmonitor	25	0.9653	25	0.9729	26	0.9479
Total	816	0.9415	871	0.9450	948	0.9465
misclassified	25		21		39	

The main change in the COCO 2017 test dataset is that instead of an 83K/41K train/validation split, the split is now 118K/5K for train/validation. Also, for testing, in 2017, the test set only had two splits (dev / challenge), instead of the four splits (dev / standard / reserve / challenge) used in previous years. For training of this dataset, we followed similar procedures as we did in PASCAL VOC 2007. For this dataset, we present the results in Figure 6 and Table 3. The total number of detected objects using our model was much bigger than the bilinear or bicubic models. However, the mean probabilities of detected objects were similar or a little bit lower. This indicates that many objects which were not detected with bilinear or bicubic interpolation methods were detected with our method.

#### 4.2. Big vs. Small ROI Pictures and Their Detection Rates

As mentioned above, if an object is sufficiently large relative to the size of the image which contains the object in a region proposal, objects from interpolated images with bilinear or bicubic methods are identified satisfactorily and sometimes may have better performance means that there is a higher probability that the object is identified as being in the specified class. However, the model we have proposed obtains much better results when the objects are from small bounding boxes, or if there is a small ratio of object size to the size of the image which contains the object (it means small anchor boxes in region proposals).



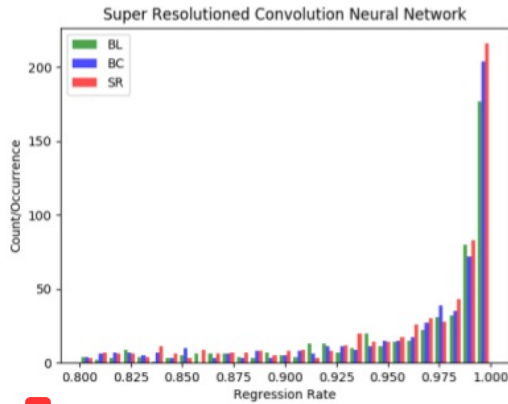


Figure 5: Histogram for convolution network models with three different image preprocessing methods on randomly extracted images from MSO dataset.

Table 2: Detected objects on three different preprocessing and convolution neural networks with the MSO dataset. #tp is the number of true positives correctly predicted. The column labeled mean is the average probability from the method.

	Bilinear		Bicubic		Our Method	
Classes	#tp	mean	#tp	mean	#tp	mean
aeroplane	5	0.9650	6	0.9300	9	0.9263
bicycle	1	0.9992	2	0.9112	1	0.9978
bird	50	0.9512	59	0.9521	75	0.9627
boat	1	0.8301	1	0.9771	1	0.9713
bottle	23	0.9074	24	0.9062	21	0.9117
bus	3	0.9954	3	0.9954	3	0.9871
car	16	0.9641	19	0.9558	18	0.9499
cat	11	0.9639	10	0.9829	11	0.9540
chair	19	0.9299	20	0.9270	22	0.9393
cow	5	0.9452	6	0.9488	7	0.9584
dining table	3	0.9410	4	0.8927	4	0.9072
dog	42	0.9559	47	0.9636	50	0.9478
horse	11	0.9728	11	0.9726	15	0.9362
motorbike	4	0.9487	4	0.9529	4	0.9588
person	302	0.9715	318	0.9718	340	0.9719
potted plant	4	0.9023	5	0.8756	9	0.9035
sheep	1	0.8780	1	0.9353	4	0.9064
sofa	3	0.9204	3	0.9099	6	0.9261
train	6	0.9746	7	0.9529	8	0.9522
tvmonitor	6	0.9720	6	0.9804	10	0.9248
Total	516	0.9444	556	0.9447	618	0.9447
misclassified	86		82		92	

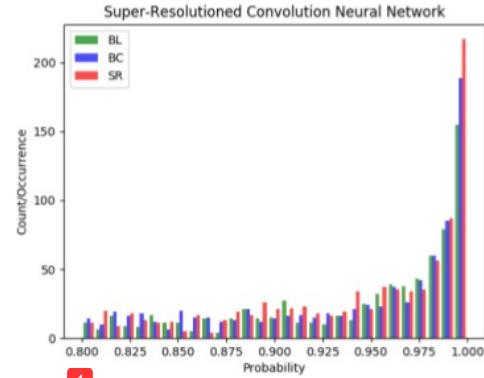


Figure 6: Histogram for convolution network models with three different image preprocessing methods on randomly extracted images from COCO 2017 dataset.

Table 3: Detected objects on three different preprocessing and convolution neural networks with COCO 2017 test dataset. #tp is the number of true positives correctly predicted. The column labeled mean is the average probability from the method.

	Bilinear		Bicubic		Our Method	
Classes	#tp	mean	#tp	mean	#tp	mean
aeroplane	9	0.9652	10	0.9502	11	0.9684
bicycle	1	0.8821	2	0.9012	3	0.9250
bird	5	0.9471	10	0.9066	17	0.9277
boat	5	0.9002	5	0.8908	7	0.8896
bottle	20	0.9116	25	0.9100	19	0.9160
bus	15	0.9725	16	0.9590	15	0.9456
car	24	0.9503	29	0.9251	28	0.9388
cat	6	0.9274	7	0.9235	10	0.9075
chair	26	0.9193	28	0.9169	36	0.9174
cow	12	0.9190	14	0.9287	14	0.9384
dining table	10	0.8989	9	0.9049	9	0.9063
dog	12	0.9184	12	0.9183	12	0.9047
horse	7	0.9219	8	0.9293	6	0.9402
motorbike	11	0.9604	14	0.9550	18	0.9368
person	411	0.9547	460	0.9521	498	0.9534
potted plant	16	0.8806	11	0.8824	15	0.9002
sheep	2	0.9585	2	0.9880	2	0.9977
sofa	3	0.9398	3	0.9015	2	0.8760
train	9	0.9358	10	0.9269	9	0.9427
tvmonitor	26	0.9461	24	0.9457	25	0.9544
Total	734	0.9305	805	0.9258	869	0.9293
misclassified	104		106		113	

In Figure 7, our proposed model detects a chair which is quite a small object compared with the image size, while the other two models did not detect this 'chair' object. It is looked like that a small change by preprocessing before CNN can make a big difference. Even though the other chair, which is bigger than the chair, is detected in all three models, our proposed model has a



little higher score. Thus, we can conclude our model can help to improve image qualities in image analyses. In particular, with video surveillance camera systems, our model may help to detect more objects. Therefore, we are interested in surveillance camera systems as a future research topic.



Figure 7: Comparison of small object detection through Bilinear, Bicubic, and super-resolution models

## 5. Conclusion

Our proposed model appears particularly powerful in three scenarios; firstly, where there are relatively small objects in large pictures; secondly, where there is warping in the region proposals approach; and finally, with object detection from cropped images. Commonly, there are many noisy video images from surveillance camera systems, especially with night vision systems. Our method will remove a significant amount of aliased or mosaicked areas in these images, and so help to detect more objects. We compared our scheme with other approaches on three datasets showing an increased object in each case.

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In future work, we will implement the super-resolution method confined to the bounding box areas. This will reduce the needed computational resources and allow us to use this method in real-time processing [37] and achieve better object recognition in this application. We will demonstrate this capability using modern streaming software environments [38].

## 6. Acknowledgments

This work was supported by the 2017 sabbatical year research grant of the Korea Polytechnic University. Geoffrey Fox was partially supported by NSF CIF21 DIBBS 1443054 and NSF CINES 1835598. We thank the Futuresystems group for the computational resources.

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