

# Fermi and Bose Liquids

# Fermi Liquids

EFT for pointlike, non-relativistic neutrons

$$\mathcal{L}_{\text{eff}} = \psi^\dagger \left( i\partial_0 + \frac{\nabla^2}{2M} \right) \psi - \frac{C_0}{2} (\psi^\dagger \psi)^2 + \dots$$

Coupling constant determined by scattering length

$$C_0 = \frac{4\pi a}{M}$$

$U(1)$  symmetry  $\psi \rightarrow e^{i\alpha}\psi$ : Conserved charge

$$N = \int d^3x \psi^\dagger \psi$$

Partition function

$$Z(\mu, \beta) = \text{Tr} \left[ e^{-\beta(H - \mu N)} \right]$$

Path Integral representation:  $\mathcal{L} \rightarrow \mathcal{L} - \mu\psi^\dagger\psi$

$$Z = \int D\psi D\psi^\dagger \exp\left(-\int_0^\beta d\tau \int d^3x \mathcal{L}\right)$$

Feynman rules: Propagator (Minkowski space)

$$G_0(k)_{\alpha\beta} = \delta_{\alpha\beta} \left( \underbrace{\frac{\theta(k - k_F)}{k_0 - k^2/2M + i\epsilon}}_{\text{particles}} + \underbrace{\frac{\theta(k_F - k)}{k_0 - k^2/2M - i\epsilon}}_{\text{holes}} \right) \quad \frac{k_F^2}{2M} = \mu$$

Four-Fermion Vertex

$$\Gamma_{\alpha\beta,\gamma\delta}(k_1, k_2; k_3, k_4) = i (\delta_{\alpha\gamma}\delta_{\beta\delta} - \delta_{\alpha\delta}\delta_{\beta\gamma}) \delta\left(\sum k_i\right)$$

Fermion loops (-1), Tadpoles require  $e^{ik_0\eta}|_{\eta\rightarrow 0^+}$

## Perturbative Results

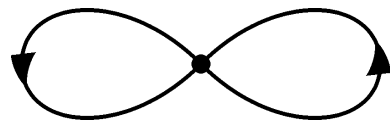
Neutron density

$$\rho = \int \frac{d^4 k}{(2\pi)^4} S_{\alpha\alpha}^0(k) e^{ik_0\eta} \Big|_{\eta \rightarrow 0^+} = 2 \int \frac{d^3 k}{(2\pi)^3} \Theta(k_F - k) = \frac{k_F^3}{3\pi^2}$$

Energy density

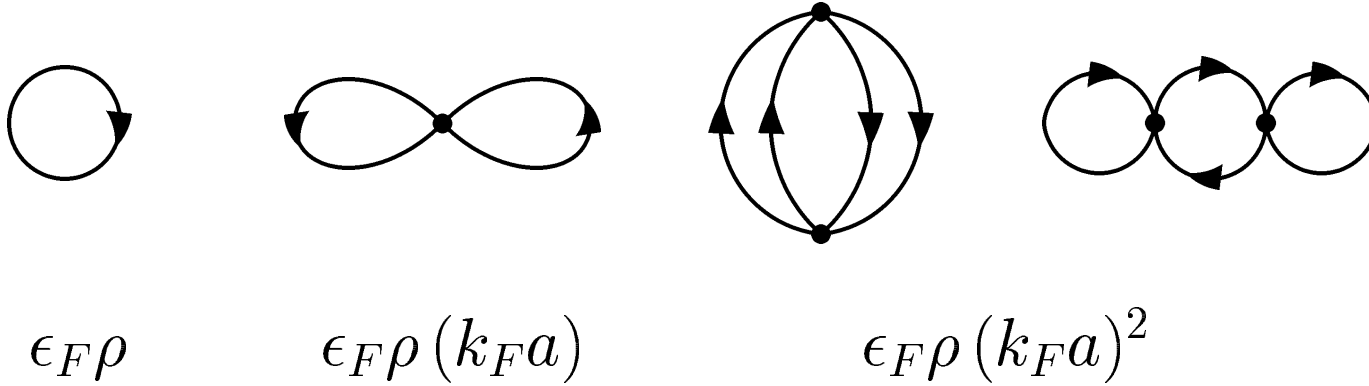
$$\mathcal{E} = 2 \int \frac{d^3 k}{(2\pi)^3} E_k \Theta(k_F - k) = \frac{3}{5} \rho \frac{k_F^2}{2m}$$

First order perturbative correction



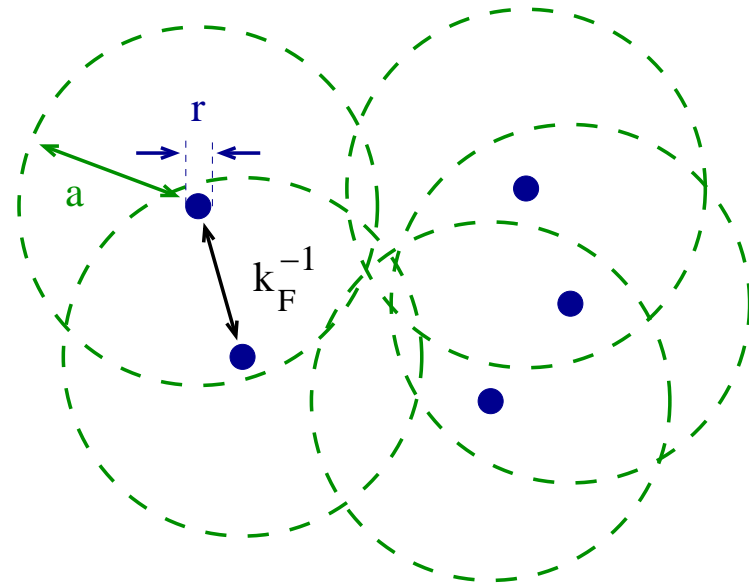
$$\mathcal{E}_1 = C_0 \left( \frac{k_F^3}{6\pi^2} \right)^2$$

## Higher orders: $(k_F a)$ expansion



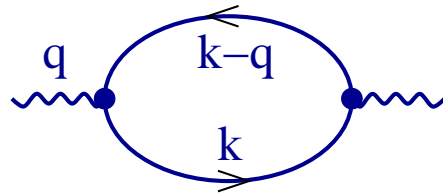
$$\frac{E}{A} = \frac{k_F^2}{2M} \left[ \frac{3}{5} + \left( \frac{2}{3\pi} (k_F a) + \frac{4}{35\pi^2} (11 - 2 \log(2)) (k_F a)^2 \right) + \dots \right]$$

Problem:  $a_{nn} \simeq -20$  fm  
 $\Rightarrow (k_F a) \gg 1$



# Charged Fermions: Screening

Photon polarization function


$$\Pi_{00}(q) = e^2 \int \frac{d^4 p}{(2\pi)^4} \frac{1}{(q_0 + p_0 - \epsilon_{p+q})(p_0 - \epsilon_p)}$$

Perform  $p_0$  integral: particle-hole contribution

$$\Pi_{00}(q) = e^2 \int \frac{d^3 p}{(2\pi)^3} \frac{n_{p+q} - n_p}{E_{p+q} - E_p - q_0}$$

Static polarization function, long distance

$$\Pi_{00}(q_0 = 0, \vec{q} \rightarrow 0) = e^2 \int \frac{d^3 p}{(2\pi)^3} \frac{\partial n_p}{\partial E_p} = e^2 \frac{p_F m}{2\pi^2}$$

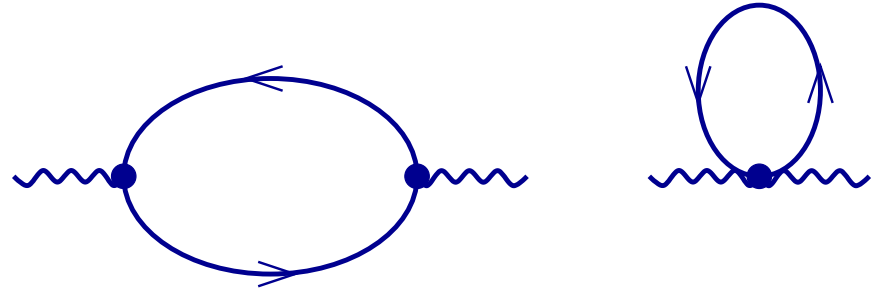
Screened potential

$$V(r) = -\frac{e}{r} \exp(-m_D r) \quad m_D^2 = e^2 \frac{p_F m}{2\pi^2}$$

# Charged Fermions: Landau Damping

transverse polarization function

$$\mathcal{L} = \vec{v} \cdot \vec{A} + \frac{1}{2m} \vec{A}^2$$



$$\Pi_{ij}(q) = e^2 m_D^2 \int \frac{d\Omega}{4\pi} \left\{ v_i v_j \frac{vk(\hat{q} \cdot \hat{p})}{q_0 - vk(\hat{q} \cdot \hat{p})} - \frac{1}{3} v^2 \delta_{ij} \right\},$$

No screening for  $q_0 \rightarrow 0$

$$\Pi_{ii}(q) = m_D^2 \frac{vq_0}{2q} \log \left( \frac{q_0 - vq}{q_0 + vq} \right)$$

Imaginary part: Landau damping

$$\text{Im}\Pi_{ii}(q) = \pi m_D^2 \frac{vq_0}{q} \Theta(vq - q_0)$$

So far: Free space EFT  $\Rightarrow$  System at non-zero density

Non-perturbative if  $(k_F a), (k_F r), \dots > 1$

Construct EFT for low energy excitations in dense matter

Fermions: Landau Fermi-Liquid Theory

Bosons: Broken Symmetry, Goldstone bosons



# Fermi Liquid Theory

Free non-relativistic quasi-particles near Fermi surface

$$S = \int dt \int \frac{d^3 p}{(2\pi)^3} \psi(p)^\dagger (i\partial_t - (\epsilon(p) - \epsilon_F)) \psi(p)$$

Expand momenta around Fermi momentum  $\vec{p} = \vec{k} + \vec{l}$

$$\epsilon(p) - \epsilon_F = \vec{v}_F(k) \cdot \vec{l} + O(l^2)$$

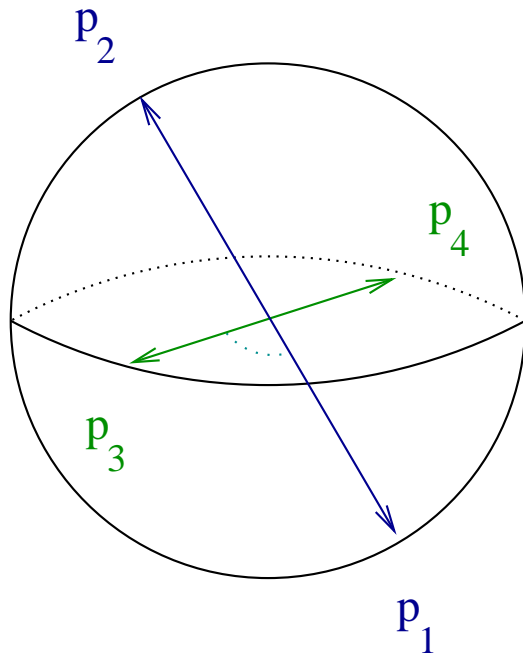
Study scaling behavior  $\vec{l} \rightarrow s\vec{l}$ . Scaling dimensions

$$[k] = 0, \quad [l] = 1, \quad [\partial_t] = 1, \quad [d^3 p] = 1, \quad [\psi] = -\frac{1}{2}$$

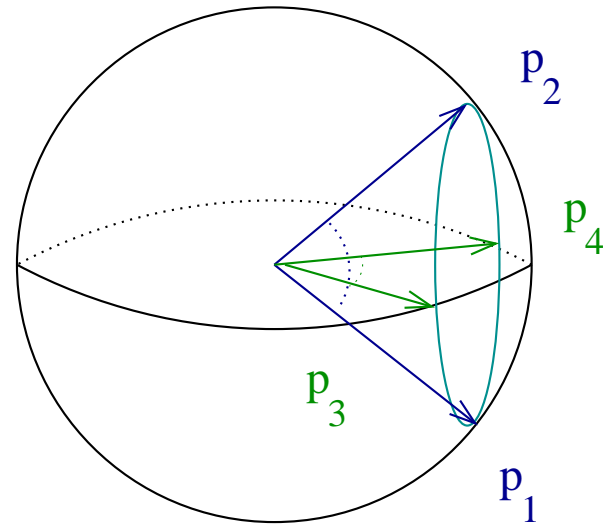
Interaction

$$S_{int} = \int dt \left[ \prod_{i=1}^4 \int \frac{d^3 p_i}{(2\pi)^3} \right] \psi^\dagger(p_4) \psi^\dagger(p_3) \psi(p_2) \psi(p_1) \delta^3(p_{tot}) U(p_i)$$

# Marginal Interactions



BCS



Landau

$$BCS : \quad U(-\hat{p}_3, \hat{p}_3, -\hat{p}_1, \hat{p}_1) = V(\hat{p}_1 \cdot \hat{p}_3) = \sum_l V_l P_l(\hat{p}_1 \cdot \hat{p}_3),$$

$$LFL : \quad U(\hat{p}_4, \hat{p}_3, \hat{p}_2, \hat{p}_1) |_{\hat{p}_1 \cdot \hat{p}_2 = \hat{p}_3 \cdot \hat{p}_4} = F(\hat{p}_1 \cdot \hat{p}_2, \phi_{12,34})$$

## Example: A Tale of Two Sounds

Zero Sound: Collective Oscillation  
of Fermi surface

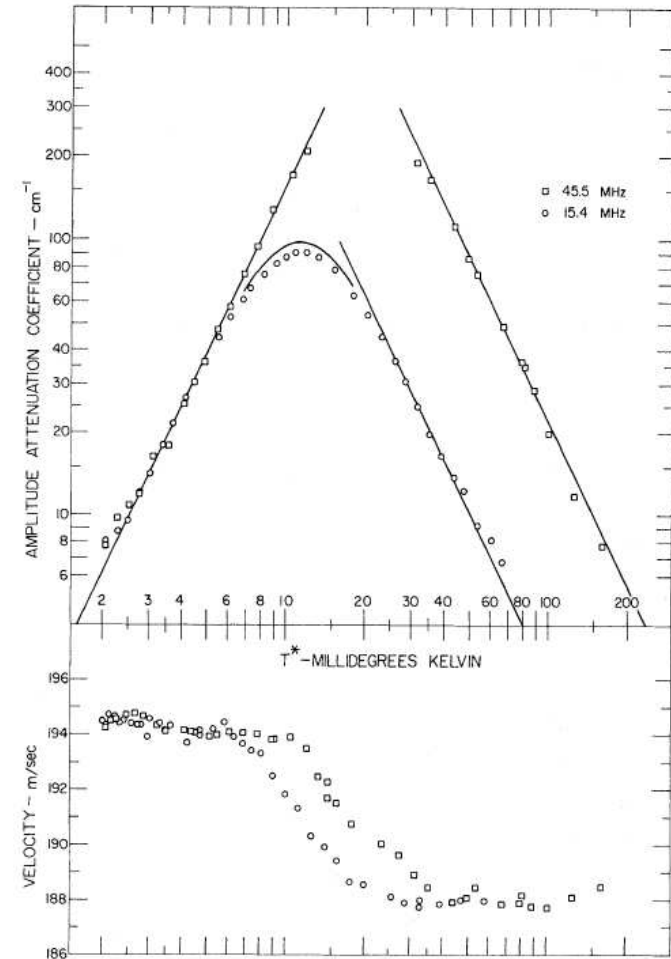
$$v_0 = s_0 v_F$$

$$\frac{s_0}{2} \log \left( \frac{s_0 + 1}{s_0 - 1} \right) = \frac{F_0 + 1}{F_0}$$

First Sound: Collisional Mode

$$v_1 = s_1 v_F$$

$$s_1^2 = \frac{1}{3} \frac{1 + F_0}{1 + F_1/3}$$



Data for  ${}^3\text{He}$ , Abel et al.

# Bose Condensation

Charged relativistic bosons (need  $\lambda > 0$ , repulsive)

$$\mathcal{L} = (\partial^\mu \phi^*)(\partial_\mu \phi) - m^2 \phi^* \phi - \lambda(\phi^* \phi)^2$$

$U(1)$  symmetry  $\phi \rightarrow e^{-i\varphi} \phi$ . Conserved charge

$$Q = \int d^3x i (\phi^* \partial_0 \phi - \phi \partial_0 \phi^*)$$

Path integral representation

$$Z = \int D\phi D\phi^* \exp \left( i \int d^4x \mathcal{L} \right)$$

$$\mathcal{L} = (\partial_0 + i\mu)\phi^*(\partial_0 - i\mu)\phi - |\vec{\nabla}\phi|^2 - m^2|\phi|^2 - \lambda|\phi|^4$$

Effective potential

$$V(\phi) = (m^2 - \mu^2)(\phi^* \phi) + \lambda(\phi^* \phi)^2.$$

$\mu > m$ : origin is unstable and

$$\langle \phi \rangle^2 = \frac{\mu^2 - m^2}{2\lambda}.$$

Bose condensate: charge density

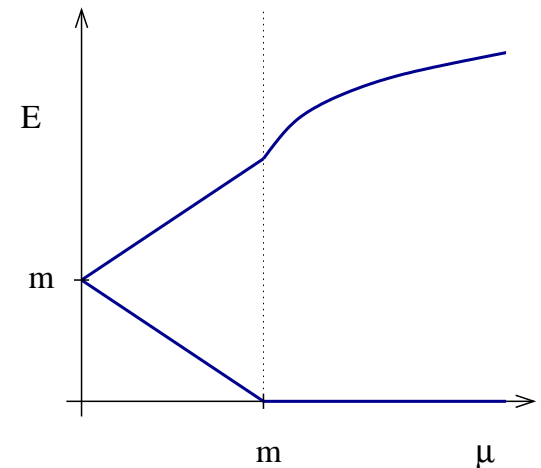
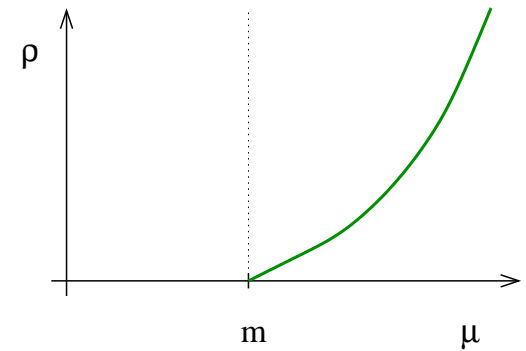
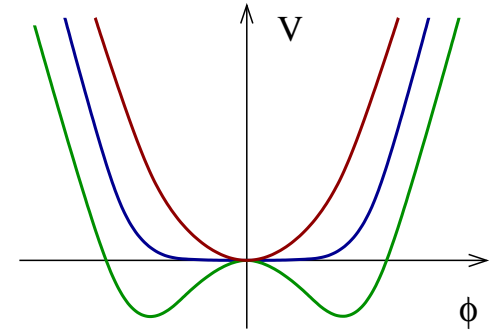
$$\rho = \frac{\mu}{\lambda}(\mu^2 - m^2).$$

Spectrum: write  $\phi = \langle \phi \rangle + \chi_1 + i\chi_2$

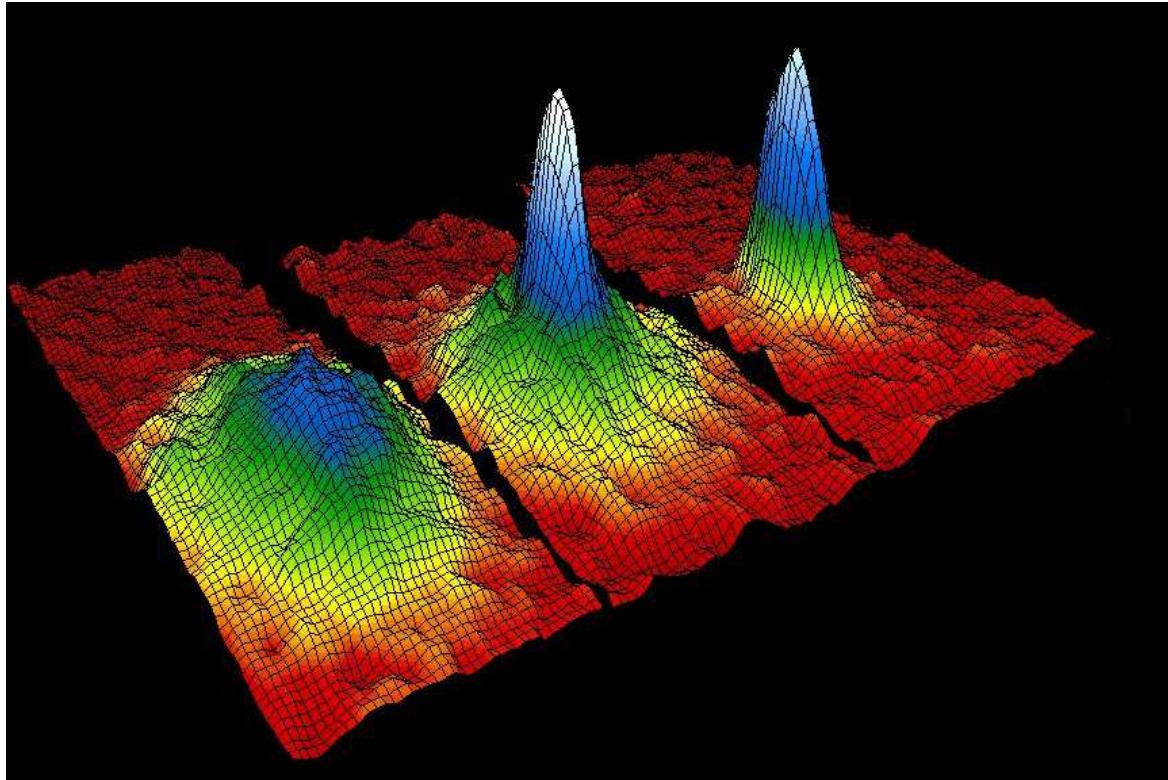
$$\mu < m : E_{1,2}(\vec{p}=0) = m \pm \mu$$

$$\mu > m : E_{1,2}(\vec{p}=0) = \begin{cases} 0 \\ \sqrt{6\mu^2 - 2m^2} \end{cases}$$

with  $v_{GB}^2 = (\mu^2 - m^2)/(3\mu^2 - m^2)$



# BEC in Dilute Atomic Gas



Velocity distribution in trapped Ru atoms, Cornell & Wiemann (1995)

# Effective Action for Superfluid

Goldstone boson field

$$\Phi = |\Phi|e^{i\varphi}$$

Promote  $U(1)$  to a gauge symmetry. Effective action

$$\Gamma[A_\mu, \varphi] = \Gamma[A_\mu + \partial_\mu\alpha, \varphi + \alpha]$$

Gauge invariance implies

$$\Gamma[A_\mu, \phi] = \int d^4x \mathcal{L}_{eff}(D_\mu\phi) \quad D_\mu\phi = \partial_\mu\phi - A_\mu$$

Chemical potential enters as a constant gauge field  $A_\mu = (\mu, 0)$

$$\mathcal{L}_{eff}(-A_\mu) = P \left( (A_\mu A^\mu)^{1/2} \right)$$

$$\mathcal{L}_{eff}(A_\mu, \varphi) = P \left( (D_\mu\varphi D^\mu\varphi)^{1/2} \right)$$

Here:  $P(\mu) = (m^2 - \mu^2)^2 / (2\lambda) \sim \mu^4 / (2\lambda)$  ( $\mu \gg m$ )

$$\mathcal{L}_{eff} = \frac{1}{2\lambda} \left\{ \mu^4 - 4\mu^3 \partial_0 \varphi + 6\mu^2 (\partial_0 \varphi)^2 - 2\mu^2 (\partial_i \varphi)^2 \right. \\ \left. - 4\mu \partial_0 \varphi (\partial_\mu \varphi)^2 + (\partial_\mu \varphi)^4 \right\}$$

Quadratic terms

$$\mathcal{L}_{eff} = \frac{3\mu^2}{\lambda} \left\{ (\partial_0 \varphi)^2 - \frac{1}{3} (\partial_i \varphi)^2 + \dots \right\}$$

Goldstone boson velocity  $v_{GB}^2 = 1/3$ . In general

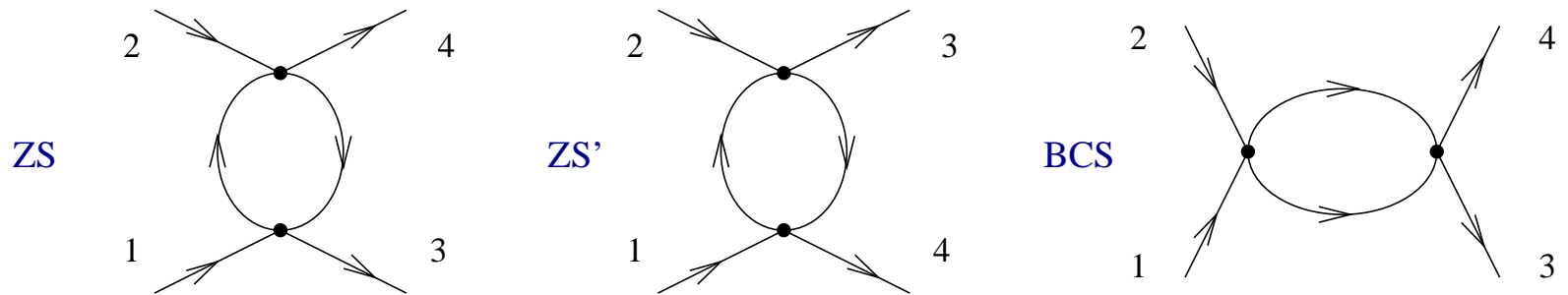
$$v_{GB}^2 = \frac{\partial P}{\partial \epsilon}$$

Also get: non-linear & topological terms



# BCS Instability

Loop corrections to scattering near Fermi surface



BCS graph is special: Consider  $\vec{p}_{1,2} = \pm\vec{p}$

$$\Gamma = C_0^2 \int \frac{d^4 q}{(2\pi)^4} \frac{1}{(E + q_0 - \epsilon_q)(E - q_0 - \epsilon_q)} = -C_0^2 \left( \frac{p_F m}{2\pi^2} \right) \log \left( \frac{\Lambda}{E} \right)$$

Effective, energy dependent coupling

$$E \frac{dC_0}{dE} = C_0^2 \left( \frac{p_F m}{2\pi^2} \right)$$

Evolution of effective coupling ( $N = (p_F m)/(2\pi^2)$ )

$$C_0(E) = \frac{C_0(\Lambda)}{1 + NC_0(\Lambda) \log(E_0/E)}$$

Effective coupling

$$C_0(\Lambda) > 0 \quad C_0(E \rightarrow 0) \rightarrow 0$$

$$C_0(\Lambda) < 0 \quad C_0(E \rightarrow E_{crit}) \rightarrow \infty \quad E_{crit} \sim \Lambda e^{-1/(N|C_0(\Lambda)|)}$$

What happens when  $C_0$  reaches Landau pole?

Pair Condensate  $\langle \psi(-\vec{p})\psi(\vec{p}) \rangle$

# BCS Calculation of Pair Condensate

Step 1: Fierz rearrange

$$\frac{C_0}{2}(\psi^\dagger\psi)^2 = \frac{C_0}{4}(\psi^\dagger\sigma_2\psi^\dagger)(\psi\sigma_2\psi)$$

Step 2: Hubbard-Stratonovich trick

$$1 = Z^{-1} \int D\Delta \exp((\Delta^* \Delta)/C_0)$$

Step 3: Shift  $\Delta \rightarrow \Delta - C_0(\psi\sigma_2\psi)$

$$\mathcal{L}_I = \psi\sigma_2\Delta\psi + h.c. + (\Delta^* \Delta)/C_0$$

Step 4: Nambu-Gorkov field  $\Psi = (\psi, \psi^\dagger\sigma_2)$

$$\mathcal{S} = \frac{1}{2} \int \frac{d^4p}{(2\pi)^4} \Psi^\dagger \begin{pmatrix} p_0 - \epsilon_p & \Delta \\ \Delta^* & p_0 + \epsilon_p \end{pmatrix} \Psi.$$

Step 5: Integrate out  $\Psi$

$$\mathcal{L} = \frac{1}{2} \text{Tr} \left[ \log \left( G_0^{-1} G \right) \right] + \frac{1}{C_0} |\Delta|^2$$

$$G(p) = \frac{1}{p_0^2 - \epsilon_p^2 - |\Delta|^2} \begin{pmatrix} p_0 + \epsilon_p & \Delta^* \\ \Delta & p_0 - \epsilon_p \end{pmatrix}$$

Step 5: Mean Field (Classical) Approximation  $(\delta S)/(\delta \Delta) = 0$

$$1 = \frac{|C_0|}{2} \int \frac{d^3 p}{(2\pi)^3} \frac{1}{\sqrt{\epsilon_p^2 + \Delta^2}}$$

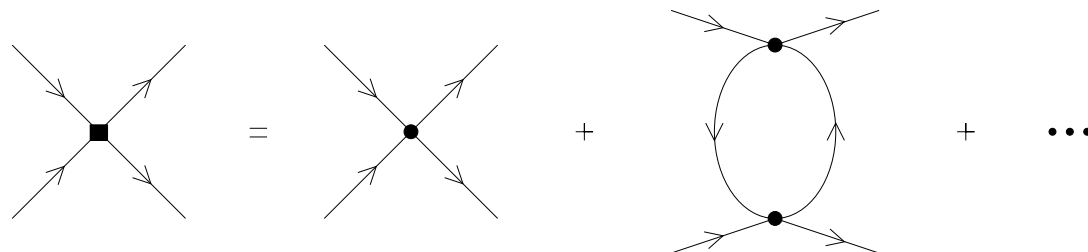
Step 6: Regularized gap equation

$$-\frac{m}{4\pi a} = \frac{1}{2} \int \frac{d^3 p}{(2\pi)^3} \left\{ \frac{1}{\sqrt{\epsilon_p^2 + \Delta^2}} - \frac{1}{E_p} \right\}.$$

Step 7: Solve gap equation

$$\Delta = \frac{8E_F}{e^2} \exp\left(-\frac{\pi}{2p_F|a|}\right) \quad F = \left(\frac{mp_F}{2\pi^2}\right)\Delta^2$$

Step 8: Higher order corrections



$$C_{eff} = C_0 + C_0^2 \left\langle \Pi_{ph}(0, \sqrt{2}p_F(1 - \cos(\theta))) \right\rangle$$

$$\Delta = \frac{1}{(4e)^{1/3}} \frac{8E_F}{e^2} \exp\left(-\frac{\pi}{2p_F|a|}\right)$$

## Pairing Gap: Numerical Estimate

Nuclear matter saturation density  $\rho_0 \simeq 0.15 \text{ fm}^{-3}$

$$p_F \simeq 250 \text{ MeV}$$

$$E_F \simeq 35 \text{ MeV}$$

This suggest that  $\Delta_{nn} \sim 30 \text{ MeV!}$

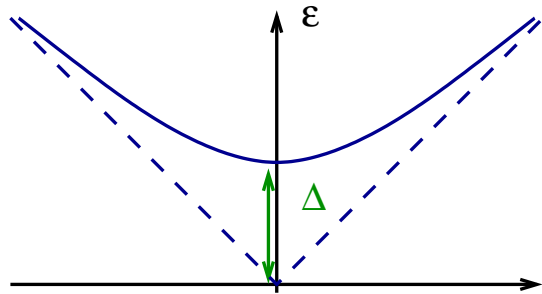
Higher order effects cut this down by  $\sim 1/2$ .

More importantly, have to go beyond the scattering length

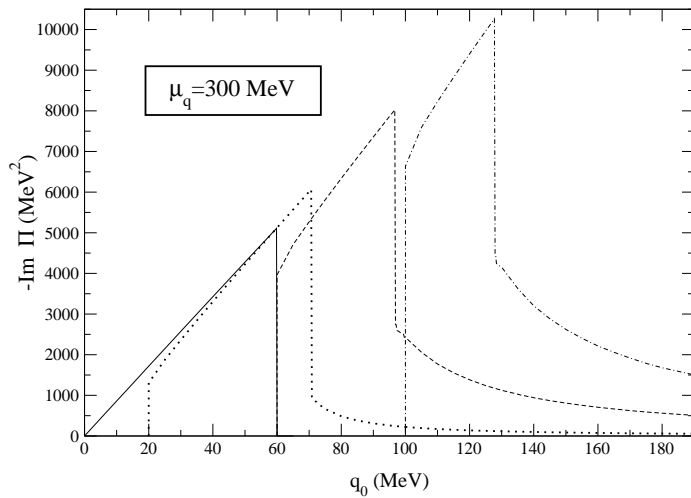
$$\Delta = \frac{8E_F}{e^2} \exp\left(-\frac{\pi}{2} \cot \delta(p_F)\right)$$

$$\Delta_{nn} \simeq (1 - 2) \text{ MeV}$$

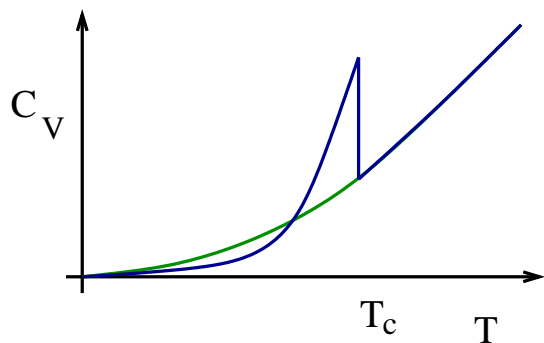
# Pairing: Gap in Excitation Spectrum



$$\epsilon_p = \sqrt{(p - p_F)^2 + \Delta^2}$$



$$\left| \begin{array}{c} \gamma^* \\ \text{---} \\ \bullet \\ \text{---} \\ p \\ \text{---} \\ \bar{p} \end{array} \right|^2 \sim \text{Im } \Pi(q_0, \vec{q})$$



specific heat

$$C_V \sim \exp(-\Delta/T)$$

## Charged Fermions: Superconductivity

Order parameter  $\Phi = \langle \epsilon^{\alpha\beta} \psi_\alpha \psi_\beta \rangle$  transforms as

$$\Phi \rightarrow \exp(2ie\alpha)\Phi \quad A_\mu \rightarrow A_\mu + \partial_\mu\alpha$$

Define Goldstone boson field  $\phi(x)$

$$\Phi(x) = \exp(2ie\phi(x))\tilde{\Phi}(x) \quad \phi(x) \rightarrow \phi(x) + \alpha(x)$$

Gauge invariance determines structure of the effective lagrangian

$$L = -\frac{1}{4} \int d^3x F_{\mu\nu} F_{\mu\nu} + L_s(A_\mu - \partial_\mu\phi)$$

Stability requires  $L_s$  to have a minimum at the origin



Action is minimized by  $A_\mu = \partial_\mu \phi$

This explains the two main properties of superconductors!

Meissner effect: Magnetic field

$$\vec{B} = \vec{\nabla} \times \vec{A} = 0$$

Perfect Conductor: Potential

$$V(x) = \dot{\phi}(x)$$

stationary current  $\vec{j} \sim \vec{\nabla} \phi$  requires  $V = \text{const}$

## Landau-Ginzburg Theory

Consider time-independent, small, slowly varying  $\Phi(x)$

$$L_s = \int d^3x \left\{ -\frac{1}{2} \left| \left( \nabla - 2ie\vec{A} \right) \Phi \right|^2 + \frac{1}{2} m_H^2 (\Phi^* \Phi)^2 - \frac{1}{4} g (\Phi^* \Phi)^4 + \dots \right\}$$

Decompose  $\Phi = \rho \exp(2ie\phi)$ . Effective potential for  $\rho$

$$V(\rho) = -\frac{1}{2} m_H^2 \rho^2 + \frac{1}{4} g \rho^4$$

Parameters  $m_H, g \leftrightarrow \langle \Phi \rangle, E_0$

Equations of motion

$$\vec{\nabla} \times \vec{B} = 4e^2 \rho^2 \left( \nabla \phi - \vec{A} \right)$$

$$\nabla^2 \rho = -m_H^2 \rho^2 + g \rho^3 + 4e^2 \rho \left( \vec{\nabla} \phi - \vec{A} \right)$$

This implies

$$\nabla^2 \vec{B} = -4e^2 \rho^2 \vec{B}$$

$$B(z) = B_0 e^{-z/\lambda}$$

penetration depth  $\lambda$

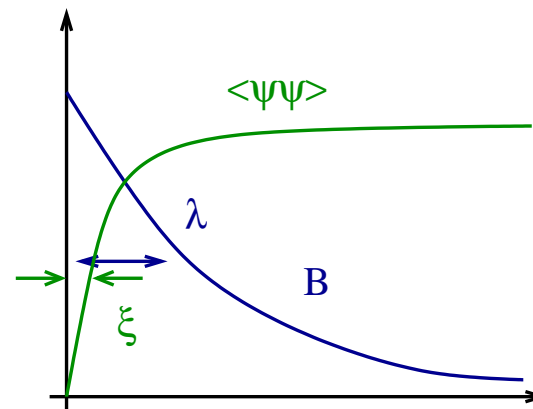
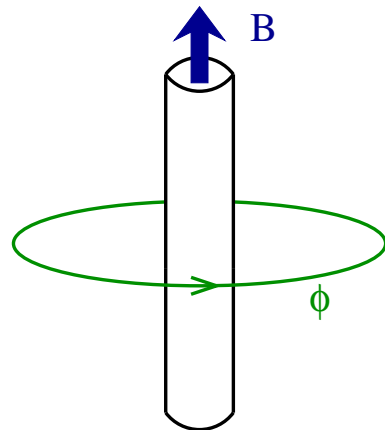
$$\nabla^2 \rho = -m_H^2 \rho + \dots$$

$$\rho(z) = \rho_0 e^{-z/\xi}$$

coherence length  $\xi$

Type II materials:  $\xi < \lambda$ . Magnetic flux goes through vortices

$$\int_A \vec{B} \cdot d\vec{S} = \oint_{\partial A} \vec{A} \cdot d\vec{l} = \oint_{\partial A} \vec{\nabla} \phi \cdot d\vec{l} = \frac{n\pi\hbar}{e}$$



# Vortices in Dilute Fermi Liquid

