

## SU<sub>3</sub> AND SU<sub>6</sub> SYMMETRY OF STRONG INTERACTIONS

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The aim of these lectures is to present the SU<sub>3</sub> and SU<sub>6</sub> classification schemes for the strongly interacting particles, the so-called hadrons. These symmetry schemes, based on group theory, are a generalization of the very successful isospin formalism introduced by Heisenberg (1932), and Cassen and Condon (1936) in order to account for the similarity of proton and neutron. SU<sub>3</sub> was proposed by Gell-Mann and Ne'eman in 1962 and combines isospin and hypercharge, while SU<sub>6</sub> combines SU<sub>3</sub> and ordinary spin. SU<sub>6</sub> originates from Sakita, Radicati and Gürsey.

The lectures present the methods used in formulating and calculating the symmetry properties, and illustrate them on the most significant predictions, mass formulae, magnetic moments of baryons and meson-baryon interaction. Our treatment of SU<sub>6</sub> will be limited to the non-relativistic case. The attempts at relativistic extensions, which are very far from being satisfactory, will not be reviewed. Our treatment is elementary and examples of simple calculations are given. A selected list of references is given at the end of the lecture notes.

### I. SU<sub>3</sub> SYMMETRY

#### 1. Three-dimensional spinor calculus, the group SU<sub>3</sub> and its representations

The formalism is a straightforward generalization of the two-dimensional isospinor calculus. A third component is added to the isospinors, so the basic element in SU<sub>3</sub> is a set of three complex numbers  $\xi_\alpha$  ( $\alpha = 1,2,3$ ) called covariant spinor:

$$\xi = \begin{pmatrix} \xi_1 \\ \xi_2 \\ \xi_3 \end{pmatrix} . \quad (1)$$

A linear transformation is given by the  $3 \times 3$  matrix  $u$  acting on the covariant spinors:

$$\xi \rightarrow \xi' = u\xi \quad (2)$$

which means

$$\begin{pmatrix} \xi'_1 \\ \xi'_2 \\ \xi'_3 \end{pmatrix} = \begin{pmatrix} u_1^1 & u_1^2 & u_1^3 \\ u_2^1 & u_2^2 & u_2^3 \\ u_3^1 & u_3^2 & u_3^3 \end{pmatrix} \begin{pmatrix} \xi_1 \\ \xi_2 \\ \xi_3 \end{pmatrix} \quad (3)$$

or, written for the components of the spinor,

$$\xi'_\alpha = u_\alpha^\beta \xi_\beta \quad . \quad (4)$$

Summation over repeated upper and lower indices is understood here and throughout the lecture notes.

Besides the covariant spinors we define the contravariant spinors  $\eta^\alpha$  ( $\alpha = 1, 2, 3$ ) with the index in the upper position and the spinor written as a line

$$\eta = (\eta^1 \ \eta^2 \ \eta^3) \quad . \quad (5)$$

They shall transform in such a way that

$$\eta \xi = \eta^\alpha \xi_\alpha \quad (6)$$

be an invariant. This fixes the transformation law of the contravariant spinor:

$$\eta'^\alpha \xi'_\alpha = \eta^\alpha \xi_\alpha = \eta'^\alpha u_\alpha^\beta \xi_\beta \quad , \quad (7)$$

hence

$$\eta^\beta = \eta'^\alpha u_\alpha^\beta \quad , \quad (8)$$

and finally

$$\eta^\alpha \rightarrow \eta'^\alpha = \eta^\beta (u^{-1})^\alpha_\beta \quad . \quad (9)$$

A general tensor is a quantity  $\zeta_{\alpha\beta\gamma}^{\mu\nu}$  with arbitrary numbers of covariant (lower) and contravariant (upper) indices.  $\zeta$  follows the covariant transformation law (4) for all lower indices and the contravariant transformation law (9) for all upper indices.

$$\zeta_{\alpha\beta\gamma}^{\mu\nu} \dots \rightarrow \zeta'_{\alpha\beta\gamma}{}^{\mu\nu} = u_\alpha^{\alpha'} u_\beta^{\beta'} u_\gamma^{\gamma'} \dots \zeta_{\alpha'\beta'\gamma'}^{\mu'\nu'} (u^{-1})^\mu_{\mu'} (u^{-1})^\nu_{\nu'} \dots \quad (10)$$

which will be written in shorthand notation

$$\zeta \rightarrow \zeta' = U(u) \zeta \quad . \quad (11)$$

$U(u)$  describes a linear transformation acting on  $\zeta$ .

For our purpose of particle description we limit the transformations to the group  $SU_3$  which is the group of linear, unitary, unimodular transformations in three dimensions.

The matrices  $u$  have to satisfy the two conditions:

1.  $u$  is unitary:  $u^+ u = 1$ , or  $(u^+)^\beta_\alpha u^\gamma_\beta = \delta^\gamma_\alpha$ , where  $(u^+)^\beta_\alpha = (u^*)^\alpha_\beta$ .

+: Hermitian conjugate

\*: complex conjugate

$$\text{unit matrix } \delta^\gamma_\alpha = \begin{cases} 1 & \alpha = \gamma \\ 0 & \alpha \neq \gamma \end{cases} ;$$

2.  $u$  is unimodular:  $\det u = 1$ .

The tensors which are left invariant by the group  $SU_3$  are

$$\begin{aligned} \delta_{\alpha}^{\beta} &= \begin{cases} 1 & \text{for } \alpha = \beta \\ 0 & \text{for } \alpha \neq \beta \end{cases} \\ \epsilon_{\alpha\beta\gamma} &= \begin{cases} 1 & \text{for } \alpha\beta\gamma = 123, 231, 312 \\ -1 & \text{for } \alpha\beta\gamma = 213, 132, 321 \\ 0 & \text{otherwise} \end{cases} \\ \epsilon^{\alpha\beta\gamma} &= \epsilon_{\alpha\beta\gamma} \end{aligned} \quad (12)$$

Proof:

a)  $\delta_{\alpha}^{\beta}$  is left invariant under every linear transformation

$$\delta_{\alpha}^{\beta} \rightarrow \delta'_{\alpha}{}^{\beta} = u_{\alpha}^{\alpha'} \delta_{\alpha'}^{\beta'} (u^{-1})_{\beta'}^{\beta} = u_{\alpha}^{\beta'} (u^{-1})_{\beta'}^{\beta} = \delta_{\alpha}^{\beta} ;$$

b)  $\epsilon_{\alpha\beta\gamma} \rightarrow \epsilon'_{\alpha\beta\gamma} = u_{\alpha}^{\alpha'} u_{\beta}^{\beta'} u_{\gamma}^{\gamma'} \epsilon_{\alpha'\beta'\gamma'}$

$$\epsilon'_{123} = u_1^{\alpha'} u_2^{\beta'} u_3^{\gamma'} \epsilon_{\alpha'\beta'\gamma'} = \det u = 1$$

$$\epsilon'_{\beta\alpha\gamma} = u_{\beta}^{\beta'} u_{\alpha}^{\alpha'} u_{\gamma}^{\gamma'} \epsilon_{\beta'\alpha'\gamma'} = u_{\alpha}^{\alpha'} u_{\beta}^{\beta'} u_{\gamma}^{\gamma'} (-1) \epsilon_{\alpha'\beta'\gamma'} = -\epsilon'_{\alpha\beta\gamma} .$$

So  $\epsilon'_{123} = 1$  and  $\epsilon'_{\alpha\beta\gamma}$  is fully antisymmetric, therefore  $\epsilon'_{\alpha\beta\gamma} = \epsilon_{\alpha\beta\gamma}$  q.e.d.

The condition that  $u$  is unitary leads to the fact that the Hermitian conjugate of a covariant spinor  $\xi_{\alpha}$

$$\xi^{+\alpha} = (\xi_{\alpha})^* , \quad (13)$$

which is written with an index in upper position, transforms according to the contravariant transformation law (4).

(Remark: Note that Hermitian conjugation involves complex conjugation and interchanging lines and columns.)

Proof:

The relation

$$\xi'_{\alpha} = u_{\alpha}^{\beta} \xi_{\beta}$$

becomes by complex conjugation

$$\xi'_{\alpha}{}^* = u_{\alpha}^{*\beta} \xi_{\beta}^* .$$

Hence, using (13)

$$\xi'^{+\alpha} = u_{\beta}^{+\alpha} \xi'^{+\beta} = (u^{-1})_{\beta}^{\alpha} \xi'^{+\beta} = \xi'^{+\beta} (u^{-1})_{\beta}^{\alpha} \quad \text{q.e.d.}$$

The Hermitian conjugate of the general tensor (10) is

$$\zeta_{\mu\nu\dots}^{+\alpha\beta\gamma\dots} = \left( \zeta_{\alpha\beta\gamma\dots}^{\mu\nu\dots} \right)^* . \quad (14)$$

It is covariant in  $\mu, \nu\dots$  and contravariant in  $\alpha, \beta, \gamma\dots$ . In the  $SU_3$  classification, particles are associated with certain tensors, antiparticles with the respective Hermitian conjugate tensors.

Using the invariant tensors (12) one can, in an invariant way, from a given tensor construct other tensors with a smaller number of indices. This provides a simple method for the reduction of tensors. Tensors which cannot be reduced further can be shown to form the irreducible representations of the group  $SU_3$ . (The proof of this important fact will not be given here.) The general principle of reduction of a tensor  $\xi$  is to construct a tensor  $\eta$  with a smaller number of indices, its elements being linear combinations of the elements of  $\zeta$ . This has to be an invariant operation in the sense that the reduction procedure applied to  $\zeta' = U(u)\zeta$  [see (11)] must lead to  $\eta' = U(u)\eta$ .

The means of reduction are the invariant tensors (12), with which one has in general three possible ways of reducing a tensor.

1. One forms a trace between an arbitrary upper and an arbitrary lower index

$$\zeta_{\alpha\beta\gamma\dots}^{\mu\nu\dots} \cdot \delta_{\mu}^{\alpha} = \zeta_{\alpha\beta\gamma\dots}^{\alpha\nu\dots} \stackrel{\text{def}}{=} \eta_{\beta\gamma\dots}^{\nu\dots} . \quad (15)$$

2. One picks out the antisymmetric part relative to two arbitrary contravariant indices (here  $\kappa$  and  $\lambda$ ) and replaces the two indices by a covariant one (here  $\alpha'$ )

$$\zeta_{\alpha\beta\gamma\dots}^{\kappa\lambda\mu\dots} \epsilon_{\alpha'\kappa\lambda} \stackrel{\text{def}}{=} \eta_{\alpha'\beta\gamma\dots}^{\mu\dots} . \quad (16)$$

3. The same as 2) with interchanged roles of contravariant and covariant indices

$$\zeta_{\alpha\beta\gamma\dots}^{\kappa\lambda\mu\dots} \epsilon^{\kappa'\alpha\beta} \stackrel{\text{def}}{=} \eta_{\gamma\dots}^{\kappa'\lambda\mu\dots} . \quad (17)$$

All these reductions are invariant. Let us show this for case 2).

Transform the reduced tensor:

$$\zeta_{\alpha\beta\gamma\dots}^{\kappa\lambda\mu\dots} \epsilon_{\alpha'\kappa\lambda} \stackrel{\text{def}}{=} \eta_{\alpha'\beta\gamma\dots}^{\mu\dots} \rightarrow u_{\alpha'}^{\tilde{\alpha}'} u_{\alpha}^{\tilde{\alpha}} u_{\beta}^{\tilde{\beta}} u_{\gamma}^{\tilde{\gamma}} \dots \eta_{\tilde{\alpha}'\tilde{\alpha}\tilde{\beta}\tilde{\gamma}\dots}^{\tilde{\mu}\dots} (u^{-1})_{\tilde{\mu}}^{\mu} \dots$$

Reduce the transformed tensor by means of the "transformed  $\epsilon$  tensor" which is allowed since  $\epsilon$  is an invariant tensor. Use  $(u^{-1})_{\tilde{\kappa}}^{\kappa} u_{\kappa}^{\hat{\kappa}} = \delta_{\tilde{\kappa}}^{\hat{\kappa}}$

$$\begin{aligned} & \left[ u_{\alpha}^{\tilde{\alpha}} u_{\beta}^{\tilde{\beta}} u_{\gamma}^{\tilde{\gamma}} \dots \zeta_{\tilde{\alpha}\tilde{\beta}\tilde{\gamma}\dots}^{\tilde{\kappa}\tilde{\lambda}\tilde{\mu}\dots} (u^{-1})_{\tilde{\kappa}}^{\kappa} (u^{-1})_{\tilde{\lambda}}^{\lambda} (u^{-1})_{\tilde{\mu}}^{\mu} \dots \right] \times \left[ u_{\alpha'}^{\tilde{\alpha}'} u_{\kappa}^{\hat{\kappa}} u_{\lambda}^{\hat{\lambda}} \dots \epsilon_{\tilde{\alpha}'\hat{\kappa}\hat{\lambda}} \right] \\ &= u_{\alpha}^{\tilde{\alpha}} u_{\beta}^{\tilde{\beta}} u_{\gamma}^{\tilde{\gamma}} \dots \left[ \zeta_{\tilde{\alpha}\tilde{\beta}\tilde{\gamma}\dots}^{\tilde{\kappa}\tilde{\lambda}\tilde{\mu}\dots} \epsilon_{\tilde{\alpha}'\hat{\kappa}\hat{\lambda}} \right] \cdot u_{\alpha'}^{\tilde{\alpha}'} (u^{-1})_{\tilde{\mu}}^{\mu} \dots = u_{\alpha'}^{\tilde{\alpha}'} u_{\alpha}^{\tilde{\alpha}} u_{\beta}^{\tilde{\beta}} u_{\gamma}^{\tilde{\gamma}} \dots \left[ \eta_{\tilde{\alpha}'\tilde{\alpha}\tilde{\beta}\tilde{\gamma}\dots}^{\tilde{\mu}\dots} \right] (u^{-1})_{\tilde{\mu}}^{\mu} \dots \text{ q.e.d.} \end{aligned}$$

A tensor is called irreducible when every reduction (15), (16), or (17) gives zero. The characteristic properties of irreducible tensors follow from the reduction recipe:

1. full symmetry in all upper indices

$$\zeta_{\alpha\beta\gamma\dots}^{\mu\nu\dots} = \zeta_{\alpha\beta\gamma\dots}^{\nu\mu\dots} \quad \text{etc.}$$

2. full symmetry in all lower indices

$$\zeta_{\alpha\beta\gamma\dots}^{\mu\nu\dots} = \zeta_{\beta\alpha\gamma\dots}^{\mu\nu\dots} \quad \text{etc.}$$

3. vanishing trace

$$\zeta_{\alpha\beta\gamma\dots}^{\alpha\nu\dots} = 0 \quad .$$

Because of 1) and 2), condition 3) means that every trace vanishes. The number of independent components of a tensor is called its dimension. In the following list of the simplest (lowest dimensional) irreducible tensors the dimension is found by subtracting from the number of components the number of equations which come from the condition of irreducibility. These irreducible tensors form the irreducible representations of  $SU_3$  (the representations are denoted by their dimension, with bars to distinguish inequivalent representations of the same dimension; all tensors are subject to conditions 1), 2), and 3) above):

$\xi_{\alpha}$	representation	3
$\xi^{\alpha}$	"	$\bar{3}$
$\xi_{\alpha\beta}$	"	6
$\xi^{\alpha\beta}$	"	$\bar{6}$
$\xi_{\alpha}^{\beta}$	"	8
$\xi_{\alpha\beta\gamma}$	"	10
$\xi^{\alpha\beta\gamma}$	"	$\bar{10}$
$\xi_{\alpha\beta}^{\gamma}$	"	15
$\xi_{\alpha}^{\beta\gamma}$	"	$\bar{15}$
$\xi_{\alpha\beta}^{\mu\nu}$	"	27
$\xi_{\alpha\beta\gamma}^{\kappa\mu\nu}$	"	64

Representation  $\bar{3}$  is formed by the Hermitian conjugate tensor to representation 3. It is also important to note that representations 3 and  $\bar{3}$  are inequivalent in the sense that there is no linear transformation of 3 onto  $\bar{3}$  which is invariant for the group. The same holds for the other pairs of representations having the same dimension, like 6 and  $\bar{6}$ , 10 and  $\bar{10}$ , etc.

In general, the irreducible tensor  $\zeta_{\alpha\beta\gamma\dots}^{\mu\nu\dots}$  with m (n) upper (lower) indices has the dimensions

$$(m+1)(n+1) \left( \frac{m+n}{2} + 1 \right) \quad .$$

The Hermitian conjugate of a representation is obtained by exchanging m and n. If  $m = n$  the representation is selfconjugate (e.g.  $8 = \bar{8}$ ,  $27 = \bar{27}$ ).

The direct product of two irreducible representations can be decomposed into a sum of irreducible representations. To do this we have to reduce the product of two irreducible tensors. For example, the product  $\bar{3} \times \bar{3}$ , realized by the product tensor

$$\xi_{\alpha} \cdot \xi'_{\beta} \stackrel{\text{def}}{=} \zeta_{\alpha\beta}$$

may be decomposed into a symmetric and an antisymmetric part:

$$\zeta_{\alpha\beta} = \zeta_{\alpha\beta}^{\text{sym}} + \zeta_{\alpha\beta}^{\text{anti}} = \frac{1}{2} (\zeta_{\alpha\beta} + \zeta_{\beta\alpha}) + \frac{1}{2} (\zeta_{\alpha\beta} - \zeta_{\beta\alpha}) \quad .$$

$\zeta_{\alpha\beta}^{\text{sym}}$  is already irreducible, it belongs to representation 6 (see list).  $\zeta_{\alpha\beta}^{\text{anti}}$  is separated out by the reduction (17):

$$\zeta_{\alpha\beta}^{\text{anti}} \epsilon^{\alpha\beta\gamma} = \zeta_{\alpha\beta}^{\text{anti}} \cdot \epsilon^{\alpha\beta\gamma} \stackrel{\text{def}}{=} \psi^{\gamma} \quad .$$

$\psi^{\gamma}$  is irreducible and belongs to representation  $\bar{3}$ . One easily verifies  $\zeta_{\alpha\beta}^{\text{anti}} = \frac{1}{2} \epsilon_{\alpha\beta\gamma} \psi^{\gamma}$ , so that  $\psi^{\gamma}$  and  $\zeta_{\alpha\beta}^{\text{anti}}$  are equivalent representations (i.e. related by a one-to-one, linear, invariant relation). The reduction just obtained is written

$$\bar{3} \times \bar{3} = 6 + \bar{3} \quad . \quad (18)$$

Other reductions are

$$\bar{3} \times 3 = 1 + 8 \quad (19)$$

$$3 \times 6 = 8 + 10 \quad (20)$$

$$8 \times 8 = 1 + 8 + 8 + 10 + \bar{10} + 27 \quad (21)$$

$$10 \times 10 = 1 + 8 + 27 + 64 \quad . \quad (22)$$

Formula (21) will be derived as an example to show in detail the reduction procedure:

a) Decomposition into symmetric and antisymmetric part

$$\begin{aligned} \xi_{\alpha'}^{\alpha} \eta_{\beta'}^{\beta} &= \frac{1}{2} (\xi_{\alpha'}^{\alpha} \eta_{\beta'}^{\beta} + \xi_{\beta'}^{\beta} \eta_{\alpha'}^{\alpha}) + \frac{1}{2} (\xi_{\alpha'}^{\alpha} \eta_{\beta'}^{\beta} - \xi_{\beta'}^{\beta} \eta_{\alpha'}^{\alpha}) \\ &\stackrel{\text{def}}{=} \varphi_{\alpha'\beta'}^{\alpha\beta} + \psi_{\alpha'\beta'}^{\alpha\beta} \quad . \end{aligned} \quad (23)$$

$\varphi(\psi)$  is symmetric (antisymmetric) for simultaneous permutation of upper and lower indices.

b) Reduction of  $\varphi$

Invariants are obtained by taking complete traces, which can be done in two ways:

$$\varphi_{\alpha'\beta'}^{\alpha'\beta'} = \xi_{\alpha'}^{\alpha'} \cdot \eta_{\beta'}^{\beta'} = 0 \quad (\xi \text{ and } \eta \text{ are traceless because they are irreducible})$$

$$\varphi_{\alpha'\beta'}^{\beta'\alpha'} = \xi_{\alpha'}^{\beta'} \eta_{\beta'}^{\alpha'} \stackrel{\text{def}}{=} (\xi\eta) \quad . \quad (24)$$

Decompose  $\varphi$  as follows

$$\varphi_{\alpha'\beta'}^{\alpha\beta} = \tilde{\varphi}_{\alpha'\beta'}^{\alpha\beta} + a\delta_{\alpha'}^{\alpha}\delta_{\beta'}^{\beta} + b\delta_{\beta'}^{\alpha}\delta_{\alpha'}^{\beta} \quad (25)$$

where  $a$  and  $b$  are so chosen that both complete traces of  $\tilde{\varphi}$  are zero. This fixes the coefficients  $a$  and  $b$ , and one finds

$$\tilde{\varphi}_{\alpha'\beta'}^{\alpha\beta} = \varphi_{\alpha'\beta'}^{\alpha\beta} + \frac{(\xi\eta)}{24}\delta_{\alpha'}^{\alpha}\delta_{\beta'}^{\beta} - \frac{(\xi\eta)}{8}\delta_{\beta'}^{\alpha}\delta_{\alpha'}^{\beta} \quad (26)$$

$\tilde{\varphi}$  may have single traces which leave two indices unsaturated, thus leading to an octet representation. One finds

$$\begin{aligned} \tilde{\varphi}_{\alpha\beta'}^{\alpha\beta} &= 0 \\ \tilde{\varphi}_{\alpha'\alpha}^{\alpha\beta} &= \varphi_{\alpha'\alpha}^{\alpha\beta} + \frac{(\xi\eta)}{24}\delta_{\alpha'}^{\beta} - \frac{(\xi\eta)}{8}\delta_{\alpha'}^{\beta} \cdot 3\delta_{\alpha'}^{\beta} = \frac{1}{2}\left[\xi_{\alpha'}^{\alpha}\eta_{\alpha'}^{\beta} + \xi_{\alpha'}^{\beta}\eta_{\alpha'}^{\alpha} - \frac{2}{3}(\xi\eta)\delta_{\alpha'}^{\beta}\right] \stackrel{\text{def}}{=} \frac{1}{2}(\xi\eta)_{D\alpha'}^{\beta} \quad (27) \end{aligned}$$

$(\xi\eta)_{D\alpha'}^{\beta}$  is called the D coupling octet formed with the two tensors  $\xi$  and  $\eta$ . We extract the octet part out of  $\tilde{\varphi}$

$$\tilde{\varphi}_{\alpha'\beta'}^{\alpha\beta} = \tilde{\tilde{\varphi}}_{\alpha'\beta'}^{\alpha\beta} + c\tilde{\varphi}_{\alpha'\nu}^{\nu\beta}\delta_{\beta'}^{\alpha} + d\tilde{\varphi}_{\nu\beta'}^{\alpha\nu}\delta_{\alpha'}^{\beta} \quad (28)$$

The coefficients  $c$  and  $d$  are fixed by the condition that  $\tilde{\tilde{\varphi}}$  has all single traces equal to zero. One gets

$$\tilde{\tilde{\varphi}}_{\alpha'\beta'}^{\alpha\beta} = \tilde{\varphi}_{\alpha'\beta'}^{\alpha\beta} - \frac{1}{6}(\xi\eta)_{D\alpha'}^{\beta}\delta_{\beta'}^{\alpha} - \frac{1}{6}(\xi\eta)_{D\beta'}^{\alpha}\delta_{\alpha'}^{\beta} \quad (29)$$

If  $\tilde{\tilde{\varphi}}$  would have a part antisymmetric in  $\alpha$  and  $\beta$ , this part would be antisymmetric in  $\alpha'$  and  $\beta'$  too, since  $\tilde{\tilde{\varphi}}$  is symmetric for the simultaneous permutation of upper and lower indices. So we can find this part by simultaneous antisymmetrization of upper and lower indices

$$\left(\tilde{\tilde{\varphi}}^{\text{anti}}\right)_{\gamma}^{\gamma'} = \epsilon_{\alpha\beta\gamma}\tilde{\tilde{\varphi}}_{\alpha'\beta'}^{\alpha\beta}\epsilon^{\alpha'\beta'\gamma'} \quad (30)$$

We now make use of the important identity

$$\epsilon^{\alpha\beta\gamma}\epsilon_{\alpha'\beta'\gamma'} = \delta_{\alpha'}^{\alpha}\delta_{\beta'}^{\beta}\delta_{\gamma'}^{\gamma} + \delta_{\alpha'}^{\beta}\delta_{\beta'}^{\gamma}\delta_{\gamma'}^{\alpha} + \delta_{\alpha'}^{\gamma}\delta_{\beta'}^{\alpha}\delta_{\gamma'}^{\beta} - \delta_{\beta'}^{\alpha}\delta_{\alpha'}^{\beta}\delta_{\gamma'}^{\gamma} - \delta_{\beta'}^{\beta}\delta_{\alpha'}^{\gamma}\delta_{\gamma'}^{\alpha} - \delta_{\beta'}^{\gamma}\delta_{\alpha'}^{\alpha}\delta_{\gamma'}^{\beta} \quad (31)$$

Inserting it into (30) we get zero for every summand because of the deltas and the fact that  $\tilde{\tilde{\varphi}}$  has no traces unequal to zero. So  $\tilde{\tilde{\varphi}}$  is symmetric in upper and lower indices, that means it is in representation 27.

c) We now have to reduce the antisymmetric part  $\psi$  from (25). The complete traces are zero

$$\begin{aligned} \psi_{\alpha\beta}^{\alpha\beta} &= 0 \\ \psi_{\beta\alpha}^{\alpha\beta} &= -\psi_{\alpha\beta}^{\beta\alpha} = 0 \end{aligned}$$

The single traces lead to one octet:

$$\begin{aligned}\psi_{\alpha\beta'}^{\alpha\beta} &= 0 \\ \psi_{\beta'\alpha}^{\alpha\beta} &= \frac{1}{2} \left( \xi_{\beta'}^{\alpha} \eta_{\alpha}^{\beta} - \xi_{\alpha}^{\beta} \eta_{\beta'}^{\alpha} \right) \stackrel{\text{def}}{=} -\frac{1}{2} (\xi\eta)_{\mathbf{F}\beta'}^{\beta} .\end{aligned}\quad (32)$$

$(\xi\eta)_{\mathbf{F}\beta'}^{\beta}$ , is called the F coupling octet formed with the tensors  $\xi$  and  $\eta$ . We again separate

$$\psi_{\alpha'\beta'}^{\alpha\beta} = \tilde{\psi}_{\alpha'\beta'}^{\alpha\beta} + m\psi_{\alpha'\nu}^{\nu\beta} \delta_{\beta'}^{\alpha} + n\psi_{\nu\beta'}^{\alpha\nu} \delta_{\alpha'}^{\beta} \quad (33)$$

where the coefficients m and n are fixed by the condition that  $\tilde{\psi}$  must have all single traces vanishing. One finds

$$\tilde{\psi}_{\alpha'\beta'}^{\alpha\beta} = \psi_{\alpha'\beta'}^{\alpha\beta} + \frac{1}{6} \delta_{\beta'}^{\alpha} (\xi\eta)_{\mathbf{F}\alpha'}^{\beta} - \frac{1}{6} \delta_{\alpha'}^{\beta} (\xi\eta)_{\mathbf{F}\beta'}^{\alpha} . \quad (34)$$

$\tilde{\psi}$  is antisymmetric for simultaneous permutation of upper and lower indices. If we make the decomposition

$$\tilde{\psi}_{\alpha'\beta'}^{\alpha\beta} = \frac{1}{2} \left( \tilde{\psi}_{\alpha'\beta'}^{\alpha\beta} + \tilde{\psi}_{\alpha'\beta'}^{\beta\alpha} \right) + \frac{1}{2} \left( \tilde{\psi}_{\alpha'\beta'}^{\alpha\beta} - \tilde{\psi}_{\alpha'\beta'}^{\beta\alpha} \right) \quad (35)$$

the first term is symmetric in the upper indices and therefore antisymmetric in the lower indices. The second term is antisymmetric in the upper indices and therefore symmetric in the lower ones. Without losing information we may therefore contract the first (second) term with  $\epsilon^{\alpha'\beta'\gamma'}$  ( $\epsilon_{\alpha\beta\gamma}$ ), which gives parts belonging to representation  $\overline{10}$  (10), because the resulting tensor is fully symmetric.

**Proof:**

Try to find an antisymmetric part and use (31), all traces of  $\tilde{\psi}$  vanishing.

One finds zero. For example

$$\frac{1}{2} \left( \tilde{\psi}_{\alpha'\beta'}^{\alpha\beta} + \tilde{\psi}_{\alpha'\beta'}^{\beta\alpha} \right) \epsilon^{\alpha'\beta'\gamma'} \cdot \epsilon_{\beta\gamma'\nu} = 0 .$$

We end up with the result (21)

$$8 \times 8 = 1 + 8 + 8 + 10 + \overline{10} + 27 .$$

**2. The main multiplets of hadrons**

The connection of  $SU_3$  with physics is established through the fact that elementary particles are usefully represented by irreducible  $SU_3$  tensors. The particles classified up till now are represented by tensors belonging to the irreducible representations 8, 10,  $\overline{10}$ , and the trivial representation 1 (the scalar). One should remember, however, that not all of the known resonances could yet be assigned to multiplets, and that not all quantum numbers of the already classified resonances have been established with certainty. [Note added in proof: we do not mention hereunder the  $X_0$  meson of mass 959 MeV. It is believed to be a  $0^-$  unitary singlet and has only small mixing with the  $\eta$  meson.]



We shall write particles belonging to one multiplet in the same order as one writes the corresponding tensor elements. This is analogous to the condensed form of writing proton and neutron as an isodoublet:

$$\left. \begin{array}{l} p = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ n = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \end{array} \right\} \rightarrow \begin{pmatrix} p \\ n \end{pmatrix} .$$

In order to obtain the tensor belonging to a given particle, one has to replace the symbol of that particle by one and the symbols of all other particles by zero. The normalization will be throughout such that for each particle the corresponding tensor verifies

$$\zeta_{\gamma..}^{+\alpha\beta..} \zeta_{\alpha\beta..}^{\gamma} = \sum_{\alpha\beta\gamma} |\zeta_{\alpha\beta..}^{\gamma..}|^2 = 1 . \quad (36)$$

$\frac{1}{2}^+$  baryon octet  $\zeta_{\alpha}^{\beta}$  ( $\alpha$  labels the lines,  $\beta$  the columns)

$$\zeta_{\alpha}^{\beta} = \begin{pmatrix} \frac{\Lambda^0}{\sqrt{6}} + \frac{\Sigma^0}{\sqrt{2}} & \Sigma^+ & p \\ \Sigma^- & \frac{\Lambda^0}{\sqrt{6}} - \frac{\Sigma^0}{\sqrt{2}} & n \\ \Xi^- & \Xi^0 & \frac{-2\Lambda^0}{\sqrt{6}} \end{pmatrix} \quad (37)$$

$\frac{3}{2}^+$  baryon decuplet  $\zeta_{\alpha\beta\gamma}$

	<u>Mass</u>
$\zeta_{111} = N^{*++}; \zeta_{112} = \frac{N^{*+}}{\sqrt{3}}; \zeta_{122} = \frac{N^{*0}}{\sqrt{3}}; \zeta_{222} = N^{*-}$	1237 MeV
$\zeta_{113} = \frac{Y_1^{*+}}{\sqrt{3}}; \zeta_{123} = \frac{Y_1^{*0}}{\sqrt{6}}; \zeta_{223} = \frac{Y_1^{*-}}{\sqrt{3}}$	1385 MeV
$\zeta_{133} = \frac{\Xi^{*0}}{\sqrt{3}}; \zeta_{233} = \frac{\Xi^{*-}}{\sqrt{3}}$	1533 MeV
$\zeta_{333} = \Omega^-$	1680 MeV

(38)

0<sup>-</sup> meson octet  $\zeta_\alpha^\beta$

$$\zeta_\alpha^\beta = \begin{pmatrix} \frac{\eta^0}{\sqrt{6}} + \frac{\pi^0}{\sqrt{2}} & \pi^+ & K^+ \\ \pi^- & \frac{\eta^0}{\sqrt{6}} - \frac{\pi^0}{\sqrt{2}} & K^0 \\ K^- & \bar{K}^0 & \frac{-2\eta^0}{\sqrt{6}} \end{pmatrix} \quad (39)$$

1<sup>-</sup> meson singlet  $\phi_0^0$  and 1<sup>-</sup> meson octet  $\zeta_\alpha^\beta$

$$\zeta_\alpha^\beta = \begin{pmatrix} \frac{\omega_0^0}{\sqrt{6}} + \frac{\rho^0}{\sqrt{2}} & \rho^+ & K^{*+} \\ \rho^- & \frac{\omega_0^0}{\sqrt{6}} - \frac{\rho^0}{\sqrt{2}} & K^{*0} \\ K^{*-} & \bar{K}^{*0} & \frac{-2\omega_0^0}{\sqrt{6}} \end{pmatrix} \quad (40)$$

The meaning of  $\omega_0^0$  is as follows: the observed vector mesons  $\omega^0$  and  $\phi^0$  both have the quantum numbers  $I = Y = 0$ . It is possible therefore to form coherent superpositions  $\omega_0^0$  and  $\phi_0^0$ :

$$\left. \begin{aligned} |\omega_0^0\rangle &= \sin \vartheta |\omega^0\rangle - \cos \vartheta |\phi^0\rangle \\ |\phi_0^0\rangle &= \cos \vartheta |\omega^0\rangle + \sin \vartheta |\phi^0\rangle \end{aligned} \right\} \quad (41)$$

$$\left. \begin{aligned} |\omega^0\rangle &= \sin \vartheta |\omega_0^0\rangle + \cos \vartheta |\phi_0^0\rangle \\ |\phi^0\rangle &= -\cos \vartheta |\omega_0^0\rangle + \sin \vartheta |\phi_0^0\rangle \end{aligned} \right\} \quad (42)$$

It appears that if one chooses an appropriate mixing angle  $\vartheta$  the superposition  $\omega_0^0$  belongs to the octet and  $\phi_0^0$  forms an  $SU_3$  singlet. From experiment the angle  $\vartheta$  comes out to be approximately (see Section 5):

$$\vartheta \simeq 35^\circ; \quad \sin \vartheta \simeq \frac{1}{\sqrt{3}}; \quad \cos \vartheta \simeq \sqrt{\frac{2}{3}}. \quad (43)$$

Using these values of  $\sin \vartheta$  and  $\cos \vartheta$  it is possible to place all nine vector mesons into one tensor. Add the octet and the singlet

$$\frac{\phi_0^0}{\sqrt{3}} \cdot \delta_\alpha^\beta + \zeta_\alpha^\beta$$

(where the first term is the diagonal tensor representing the singlet) and convert  $\phi_0^0$  and  $\omega_0^0$  into  $\phi^0$  and  $\omega^0$  by using (41) and (43). One finds the very simple form

$$\begin{pmatrix} \frac{\omega^0 + \rho^0}{\sqrt{2}} & \rho^+ & K^{*+} \\ \rho^- & \frac{\omega^0 - \rho^0}{\sqrt{2}} & K^{*0} \\ K^{*-} & \bar{K}^{*0} & \phi^0 \end{pmatrix} \quad (44)$$

Note that this tensor has a non-vanishing trace. Remember that  $\Phi^0$  and  $\omega^0$  are the physical particles, so nature has chosen not to form particles belonging to a singlet and an octet, respectively, but a special mixture of them.  $SU_6$  symmetry will provide an explanation for this fact.

The antiparticles of the particles in a multiplet are represented by the Hermitian conjugate tensor elements. Thus, antibaryons are in  $\bar{8} = 8$  for spin parity  $\frac{1}{2}^+$ , and in  $\bar{10}$  for  $\frac{3}{2}^+$ . For the  $0^-$  and  $1^-$  mesons, particles and antiparticles are in the same multiplets, which consequently are self-conjugate ( $1 = \bar{1}$ ,  $8 = \bar{8}$ ).

### 3. Infinitesimal transformations - Operators for isospin and hypercharge

From general principles of quantum mechanics it is known that any observable is represented by a Hermitian operator. We can introduce such operators acting on the tensor indices. Some of them will represent isospin and hypercharge. Let  $\zeta_{\alpha\beta\dots}^{\gamma\delta\dots}$  be an irreducible tensor and  $h_{\alpha}^{\beta}$  an arbitrary  $3 \times 3$  matrix. We define the linear transformation

$$\zeta \rightarrow \zeta' = \Lambda(h) \zeta \quad (45)$$

by the formula

$$\zeta'_{\alpha\beta\dots}{}^{\gamma\delta\dots} = h_{\alpha}^{\alpha'} \zeta_{\alpha'\beta\dots}{}^{\gamma\delta\dots} + h_{\beta}^{\beta'} \zeta_{\alpha\beta'\dots}{}^{\gamma\delta\dots} + \dots - \zeta_{\alpha\beta\dots}{}^{\gamma'\delta\dots} h_{\gamma'}^{\gamma} - \zeta_{\alpha\beta\dots}{}^{\gamma\delta'\dots} h_{\delta'}^{\delta} \dots \quad (46)$$

If the matrix  $h$  is Hermitian,  $\Lambda(h)$  is Hermitian too, as is easily verified if one remembers that the scalar product of two tensors of the same representation is defined by

$$\langle \zeta | \eta \rangle = \zeta_{\gamma\dots}^{+\alpha\beta\dots} \eta_{\alpha\beta\dots}^{\gamma\dots} \quad (47)$$

We give the proof for two indices:

$$\begin{aligned} \langle \Lambda\zeta | \eta \rangle &= (\Lambda\zeta)_{\beta}^{+\alpha} \eta_{\alpha}^{\beta} = \left[ (\Lambda\zeta)_{\alpha}^{\beta} \right]^* \eta_{\alpha}^{\beta} = \left( h_{\alpha}^{\alpha'} \zeta_{\alpha'}^{\beta} - \zeta_{\alpha}^{\beta'} h_{\beta'}^{\beta} \right)^* \eta_{\alpha}^{\beta} = h_{\alpha'}^{\alpha} \left( \zeta_{\alpha'}^{\beta} \right)^* \eta_{\alpha}^{\beta} - \left( \zeta_{\alpha}^{\beta'} \right)^* h_{\beta'}^{\beta} \eta_{\alpha}^{\beta} \\ &= \langle \zeta | \Lambda\eta \rangle \quad . \end{aligned}$$

An arbitrary  $3 \times 3$  matrix  $h_{\alpha}^{\beta}$  can be written as a linear combination of nine matrices  ${}^{(\nu\mu)}h_{\alpha}^{\beta}$ , where  ${}^{(\nu\mu)}h_{\alpha}^{\beta}$  is defined as having the element 1 in the  $\nu^{\text{th}}$  column and  $\mu^{\text{th}}$  line, all other elements being zero

$${}^{(\nu\mu)}h_{\alpha}^{\beta} = \delta_{\alpha}^{\nu} \delta_{\mu}^{\beta} \quad (48)$$

For these nine matrices one defines nine transformations,  $\zeta \rightarrow \zeta' = \Lambda_{\mu}^{\nu} \zeta$ , simply by inserting the special matrix (48) into the equation (46). Thus,

$$\Lambda_{\mu}^{\nu} = \Lambda \left( {}^{(\nu\mu)}h \right) = \Lambda \left( \delta_{\alpha}^{\nu} \delta_{\mu}^{\beta} \right) \quad (48a)$$

In terms of the nine transformations  $\Lambda_\mu^\nu$  every transformation  $\Lambda(h)$ , by using the identity

$$h_\alpha^\beta = h_\nu^\mu \delta_\alpha^\nu \delta_\mu^\beta, \quad (49)$$

may be written in the form

$$\Lambda\left(h_\alpha^\beta\right) = \Lambda\left(h_\nu^\mu \delta_\alpha^\nu \delta_\mu^\beta\right) = h_\nu^\mu \Lambda_\mu^\nu. \quad (50)$$

The action of the transformation  $\Lambda_\mu^\nu$  is easily understood: as far as a lower index is concerned  $\Lambda_\mu^\nu$  puts the  $\mu^{\text{th}}$  line in the position of the  $\nu^{\text{th}}$  line, all other lines are put to zero. As far as an upper index is concerned,  $\Lambda_\mu^\nu$  puts the  $\nu^{\text{th}}$  column in the position of the  $\mu^{\text{th}}$  column, all other columns are set zero.

If  $h_\alpha^\beta$  is a Hermitian matrix with vanishing trace, then  $[1 + i\epsilon\Lambda(h)]$  is an infinitesimal transformation of the group  $SU_3$ , and conversely. Indeed, let  $u \in SU_3$  be an infinitesimal transformation:

$$u_\alpha^{\alpha'} = \delta_\alpha^{\alpha'} + i\epsilon h_\alpha^{\alpha'}. \quad (51)$$

The necessary and sufficient conditions that  $\det u = 1$  and  $u =$  unitary are respectively  $\text{Sp } h = 0$  and  $h$  Hermitian.

Proof:

$$\text{Note:- } (u^{-1})_\alpha^{\alpha'} = \delta_\alpha^{\alpha'} - i\epsilon h_\alpha^{\alpha'}.$$

$$u^+ = u^{-1}$$

$$\begin{aligned} (u^+)_\alpha^{\alpha'} &= u_{\alpha'}^{*\alpha} = \delta_{\alpha'}^\alpha - i\epsilon h_{\alpha'}^{*\alpha} = \delta_{\alpha'}^\alpha - i\epsilon h_\alpha^{+\alpha'} \\ &= (u^{-1})_\alpha^{\alpha'} = \delta_\alpha^{\alpha'} - i\epsilon h_\alpha^{\alpha'} \end{aligned} \quad \left. \vphantom{\begin{aligned} (u^+)_\alpha^{\alpha'} \\ = (u^{-1})_\alpha^{\alpha'} \end{aligned}} \right\} \curvearrowright$$

$$\curvearrowleft h^+ = h$$

$$\det u = u_1^\alpha u_2^\beta u_3^\gamma \epsilon_{\alpha\beta\gamma}$$

$$= \left( \delta_1^\alpha + i\epsilon h_1^\alpha \right) \left( \delta_2^\beta + i\epsilon h_2^\beta \right) \left( \delta_3^\gamma + i\epsilon h_3^\gamma \right) \epsilon_{\alpha\beta\gamma}$$

$$= \left\{ \delta_1^\alpha \delta_2^\beta \delta_3^\gamma + i\delta_1^\alpha \delta_2^\beta \epsilon h_3^\gamma + i\delta_2^\beta \delta_3^\gamma \epsilon h_1^\alpha + i\delta_3^\gamma \delta_1^\alpha \epsilon h_2^\beta \right\} \cdot \epsilon_{\alpha\beta\gamma}$$

$$= 1 + i\epsilon (h_3^3 + h_1^1 + h_2^2) = 1$$

$$\curvearrowleft \text{Sp } h = 0 \quad .$$

All this is calculated to first order in  $\epsilon$ . By using (10) and (11), and (45) and (46) it is immediately seen that for infinitesimal  $u$  as in (51) one has

$$U(u) = 1 + i\epsilon\Lambda(h) , \quad (51a)$$

1 being the unit transformation.

Corresponding to the eight linearly independent matrices  $h$  with vanishing trace there are eight linearly independent transformations (51a). As will be seen later [Eq. (62)] they form representation 8 of  $SU_3$  (this representation, formed by the infinitesimal transformations of the group, is usually called the adjoint or the regular representation). One usually chooses, following Gell-Mann, the following basic  $h$  matrices (dots stand for 0):

$$\begin{aligned} \lambda_1 &= \begin{pmatrix} \cdot & 1 & \cdot \\ 1 & \cdot & \cdot \\ \cdot & \cdot & \cdot \end{pmatrix}; & \lambda_2 &= \begin{pmatrix} \cdot & -i & \cdot \\ i & \cdot & \cdot \\ \cdot & \cdot & \cdot \end{pmatrix}; & \lambda_3 &= \begin{pmatrix} 1 & \cdot & \cdot \\ \cdot & -1 & \cdot \\ \cdot & \cdot & \cdot \end{pmatrix} \\ \lambda_4 &= \begin{pmatrix} \cdot & \cdot & 1 \\ \cdot & \cdot & \cdot \\ 1 & \cdot & \cdot \end{pmatrix}; & \lambda_5 &= \begin{pmatrix} \cdot & \cdot & -i \\ i & \cdot & \cdot \\ \cdot & \cdot & \cdot \end{pmatrix}; & \lambda_6 &= \begin{pmatrix} \cdot & \cdot & \cdot \\ \cdot & \cdot & 1 \\ \cdot & 1 & \cdot \end{pmatrix} \\ \lambda_7 &= \begin{pmatrix} \cdot & \cdot & \cdot \\ \cdot & \cdot & -i \\ \cdot & i & \cdot \end{pmatrix}; & \lambda_8 &= \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & \cdot & \cdot \\ \cdot & 1 & \cdot \\ \cdot & \cdot & -2 \end{pmatrix} . \end{aligned}$$

The operators for isospin and hypercharge are the operators  $\Lambda(h)$  for the following special choices of  $h$ :

$$\text{Isospin} \quad : \quad I_i = \Lambda(h_{I_i}); \quad h_{I_i} = \frac{1}{2} \lambda_i; \quad i = 1, 2, 3 \quad (52)$$

$$\text{Hypercharge} \quad : \quad Y = \Lambda(h_Y); \quad h_Y = \frac{1}{\sqrt{3}} \lambda_8 . \quad (53)$$

Here the composite structure of  $SU_3$  is seen. In the first and second line and column of  $\lambda_i$  one has the Pauli matrices representing isospin. The third line and column refer to hypercharge. Thus, isospin and hypercharge commute because  $\lambda_8$  commutes with  $\lambda_{1,2,3}$ .

The operator for the electric charge is

$$Q = \Lambda(h_Q) \quad (54)$$

where

$$h_Q = h_{I_3} + \frac{1}{2} h_Y = \frac{1}{2} \lambda_3 + \frac{\frac{1}{\sqrt{3}} \lambda_8}{2} = \frac{1}{3} \begin{pmatrix} 2 & \cdot & \cdot \\ \cdot & -1 & \cdot \\ \cdot & \cdot & -1 \end{pmatrix} \quad (55)$$

in accordance with the relation of Gell-Mann and Nishijima

$$Q = I_3 + \frac{Y}{2} . \quad (56)$$

With these operators the assignments of isospin and hypercharge for the particles in the multiplets (37-40) may be checked. For example, the hypercharge of the proton is verified to be one:

$$Y \cdot p = 1 \cdot p$$

$$\frac{1}{3} \begin{pmatrix} 1 & \vdots & \vdots \\ \vdots & 1 & \vdots \\ \vdots & \vdots & -2 \end{pmatrix} \cdot \begin{pmatrix} \vdots & \vdots & 1 \\ \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots \end{pmatrix} = \begin{pmatrix} \vdots & \vdots & 1 \\ \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots \end{pmatrix} .$$

Another example is the calculation of the fractional electric charge  $\frac{2}{3}$ ,  $-\frac{1}{3}$ ,  $-\frac{1}{3}$  of hypothetical particles which would be associated with the representation  $\mathfrak{3}$ , the so-called quarks.

#### 4. Transformation of operators under $SU_3$

Let  $\zeta_1$  and  $\zeta_2$  be two irreducible tensors, and let  $u$  be a transformation of  $SU_3$ . It transforms  $\zeta_1$  and  $\zeta_2$  into

$$\zeta'_1 = U(u) \zeta_1; \quad \zeta'_2 = U(u) \zeta_2 . \quad (57)$$

We define the effect of  $u$  on an operator  $A$  to be  $A \rightarrow A'$ , where  $A'$  verifies

$$\langle \zeta'_2 | A' | \zeta'_1 \rangle = \langle \zeta_2 | A | \zeta_1 \rangle \quad (58)$$

for arbitrary  $\zeta_1$  and  $\zeta_2$ . Using unitarity of  $U(u)$  one finds

$$\begin{aligned} \langle \zeta'_2 | A' | \zeta'_1 \rangle &= \langle U(u) \zeta_2 | A' | U(u) \zeta_1 \rangle \\ &= \langle \zeta_2 | U^{-1}(u) A' U(u) | \zeta_1 \rangle = \langle \zeta_2 | A | \zeta_1 \rangle \\ \curvearrowright A' &= U(u) A U^{-1}(u) \end{aligned} \quad (59)$$

where

$$U^{-1}(u) = U(u^{-1}) . \quad (60)$$

The application of the transformation law (59) to the operator  $\Lambda(h)$  defined in (45) gives

$$\Lambda(h) \rightarrow U(u) \Lambda(h) U^{-1}(u) \quad (61)$$

which we state to be equal to

$$U(u) \Lambda(h) U^{-1}(u) = \Lambda(uhu^{-1}) , \quad (62)$$

where

$$(uhu^{-1})_{\alpha}^{\beta} = u_{\alpha}^{\kappa} h_{\kappa}^{\lambda} (u^{-1})_{\lambda}^{\beta} . \quad (63)$$

To prove (62) one applies the operators proposed to be equal [ $U\Lambda U$  and  $\Lambda(uhu^{-1})$ ] to a tensor and carries out the transformations  $U(u)$  and  $\Lambda$ , (11) and (45).

The application of the transformation law (59) to the nine operators  $\Lambda_\mu^\nu$  [see (50)] gives

$$U(u) \Lambda_\mu^\nu U^{-1}(u) = (u^{-1})^\kappa \Lambda_\kappa^\lambda u_\lambda^\nu . \quad (64)$$

Proof:

Use the definition of  $\Lambda_\mu^\nu$ , see (48) and thereafter

$$U \Lambda_\mu^\nu U^{-1} = U \Lambda \left( \delta_\alpha^\nu \delta_\mu^\beta \right) U^{-1} = \Lambda \left( u_{\alpha'}^{\alpha} \delta_{\alpha'}^\nu \delta_\mu^{\beta'} (u^{-1})^{\beta'} \right) = \Lambda \left( u_{\alpha'}^{\nu} (u^{-1})^{\beta'} \right) .$$

With help of (49) the right-hand side of (64) can be written

$$(u^{-1})^\kappa \Lambda_\kappa^\lambda u_\lambda^\nu = u_\lambda^\nu (u^{-1})^\kappa \Lambda_\kappa^\lambda = \Lambda \left( u_{\alpha'}^{\nu} (u^{-1})^{\beta'} \right) \text{ q.e.d.}$$

For subsequent use we want to define a further operator  $\Lambda'(h)$  which is linear in  $h$  but quadratic in  $\Lambda_\alpha^\beta$

$$\Lambda'(h) = h_{\alpha}^{\beta} \Lambda_{\beta}^{\gamma} \Lambda_{\gamma}^{\alpha} . \quad (65)$$

For this operator again we find the transformation law

$$U(u) \Lambda'(h) U^{-1}(u) = \Lambda'(uhu^{-1}) . \quad (66)$$

Proof:

$$\begin{aligned} U \Lambda' U^{-1} &= U(u) \cdot h_{\alpha}^{\beta} \Lambda_{\beta}^{\gamma} \left( U(u^{-1}) \cdot U(u) \right) \Lambda_{\gamma}^{\alpha} U(u^{-1}) = h_{\alpha}^{\beta} \left[ U(u) \Lambda_{\beta}^{\gamma} U(u^{-1}) \right] \left[ U(u) \Lambda_{\gamma}^{\alpha} U(u^{-1}) \right] \\ &= h_{\alpha}^{\beta} (u^{-1})^{\beta'} \Lambda_{\beta'}^{\gamma'} \left( u_{\gamma'}^{\gamma} (u^{-1})^{\gamma''} \right) \Lambda_{\gamma''}^{\alpha''} u_{\alpha''}^{\alpha} = \left[ u_{\alpha''}^{\alpha} h_{\alpha}^{\beta} (u^{-1})^{\beta'} \right] \Lambda_{\beta'}^{\gamma'} \Lambda_{\gamma''}^{\alpha''} = \Lambda'(uhu^{-1}) . \end{aligned}$$

## 5. Mass splitting operator.

### Mass formula of Gell-Mann and Okubo

Particles in the same isospin multiplet show almost the same mass. The small mass splittings can probably be accounted for entirely in terms of electromagnetic interaction.

In contrast thereto, the mass splittings inside an  $SU_3$  multiplet are very large. The mass values nevertheless give great support to the  $SU_3$  symmetry scheme. This is due to the fact that they appear to have simple group theoretical properties.

The mass splitting is attributed to a so-called semi-strong interaction, which, in contrast to the  $SU_3$  invariant very strong interaction, is not  $SU_3$  invariant. How it transforms under  $SU_3$  is guessed on the basis of the experimental fact that the masses depend only on the hypercharge. (We neglect electromagnetic mass differences.) One therefore tries the simple assumption that the mass splitting operator  $\Delta M$ , which is responsible for the mass splittings, transforms like the hypercharge operator. This assumption turns out to be remarkably successful. We proceed with the precise formulation of the properties of  $\Delta M$ .

We demand the existence of a class of operators  $\Delta M(h)$  which is defined over all traceless  $3 \times 3$  matrices  $h_{\alpha}^{\beta}$ , is linear in  $h$ , and transforms according to

$$U(u) \Delta M(h) U^{-1}(u) = \Delta M(uhu^{-1}) \quad (67)$$

That is,  $\Delta M(h)$  obeys the same transformation law as do the operators  $\Lambda$  and  $\Lambda'$  defined above. All these operators transform under  $SU_3$  like the traceless matrix  $h_{\alpha}^{\beta}$ , i.e. like the octet representation of  $SU_3$ . It is assumed that the mass splitting operator  $\Delta M$  is the member  $\Delta M(\lambda_8)$  of the class. From (53) we know indeed that  $\lambda_8$  gives the hypercharge (the factor  $\sqrt{3}$  is immaterial).

If the symmetry scheme  $SU_3$  is to make sense, the symmetry violating semi-strong interaction has to be small compared with the very strong symmetry conserving interaction. Therefore, we expect to get the actual mass splittings from a first order perturbation calculation. This will be found to work. In first order perturbation we have to find the eigenvalues of the operator  $\Delta M$

$$\langle \zeta_2 | \Delta M | \zeta_1 \rangle = \langle \zeta_2 | \Delta M(\lambda_8) | \zeta_1 \rangle, \quad (68)$$

i.e. to diagonalize the matrix (68) taken between irreducible tensors. Before deriving in this way the famous Gell-Mann-Okubo mass formula, we make a few general comments.

In the case of the pseudoscalar meson octet  $\Delta M$  should be regarded as an operator which gives the splitting among the squared masses. There is no really convincing theoretical explanation of this fact, it simply turns out empirically that the masses squared rather than the masses themselves fit the mass formula<sup>\*</sup>). For the other multiplets the formula applies equally well to masses or squared masses.

For the baryon octet and decuplet the mass formula derived in a moment holds very well. The precision is of the order of a few percent, the mass splittings themselves being of the order of 10-30%. For the pseudoscalar mesons the predictions are less well satisfied, but the relative splittings are also much larger<sup>\*</sup>). In all cases the discrepancy between predictions and experimental masses gives an estimate of the importance which second order perturbation effects of  $\Delta M$  may have. One concludes that these effects must be, very roughly, a factor 10 or 20 smaller than first order splittings. We now proceed with the mathematical derivations.

Consider the matrix element

$$\langle \zeta_2 | \Delta M(h) | \zeta_1 \rangle \quad (68a)$$

of which (68) is a special case. It is left invariant if  $\zeta_1$ ,  $\zeta_2$  and  $h$  are all transformed by a transformation  $U(u)$  from  $SU_3$ . Indeed, because of (67), the operator  $\Delta M(h)$  undergoes a transformation which leaves the matrix element invariant, namely (59). Since the matrix element (68a), as far as indices are concerned, is of the structure

$$(\zeta_2^+)_{\gamma\delta}^{\alpha\beta} \cdot h_{\alpha''}^{\beta''}(\zeta_1)_{\alpha'\beta'}^{\gamma'\delta'} \quad (69)$$

we have to construct invariants out of expressions like (69). An invariant is obtained by contracting all indices with the help of the invariant tensors  $\delta$  and  $\epsilon$ , (12). We deal first

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<sup>\*</sup>) Note added in proof: this difficulty can be resolved by mixing  $\eta$  and  $X_0$  (see note p. 8).



with the case that  $\zeta_1$  and  $\zeta_2$  belong to the same multiplet, i.e. have the same numbers of upper and lower indices. The number of upper indices in (69) is then the same as the number of lower ones. Because of identity (31) all contractions can be done with  $\delta$ . Remembering that  $\zeta_1$  and  $\zeta_2$  are traceless, one is left with three possibilities:

$$1: (\zeta_1^\dagger)_{\gamma\delta..}^{\alpha\beta..} h_{\alpha''}^{\alpha'}(\zeta_2)^{\gamma\delta..}_{\alpha\beta..} = h_{\alpha''}^{\alpha'} \langle \zeta_1 | \zeta_2 \rangle \quad (70)$$

(This invariant gives zero when the trace of h vanishes.)

$$2: (\zeta_2^\dagger)_{\gamma\delta..}^{\alpha\beta..} h_{\alpha'}^{\alpha}(\zeta_1)^{\gamma\delta..}_{\alpha'\beta..} \quad (71)$$

$$3: (\zeta_2^\dagger)_{\gamma\delta..}^{\alpha\beta..} h_{\gamma'}^{\gamma}(\zeta_1)^{\gamma'\delta..}_{\alpha\beta..} \quad (72)$$

If  $\zeta_1$  and  $\zeta_2$  possess only lower or only upper indices (case A), it is possible only to construct one of the two invariants [(71) for only lower - (72) for only upper indices].

If  $\zeta_1$  and  $\zeta_2$  possess both lower and upper indices, both the invariants (71) and (72) can be constructed (case B).

So the invariant matrix element (68) has to be a linear combination of the invariant (70), and

in case A) - one other invariant (71) or (72)

in case B) - the two other invariants (71) and (72).

The same argument now holds for two matrix elements:

$$\langle \zeta_2 | \Lambda(h) | \zeta_1 \rangle \text{ and } \langle \zeta_2 | \Lambda'(h) | \zeta_1 \rangle \quad (73)$$

because  $\Lambda(h)$  and  $\Lambda'(h)$  transform as  $\Delta M(h)$ . In case A, (70) and any one of the invariants (73) are linearly independent; in case B, (70) and both invariants (73) are linearly invariant; this is easily checked because  $\Lambda$  and  $\Lambda'$  are known explicitly. Consequently:

Case A - The one existing invariant (71) or (72) may be expressed by the invariants

$$h_{\alpha}^{\alpha} \langle \zeta_2 | \zeta_1 \rangle \text{ and } \langle \zeta_2 | \Lambda | \zeta_1 \rangle \quad (74)$$

or as well by the invariants

$$h_{\alpha}^{\alpha} \langle \zeta_2 | \zeta_1 \rangle \text{ and } \langle \zeta_2 | \Lambda' | \zeta_1 \rangle, \quad (75)$$

thus leading to

$$\langle \zeta_2 | \Delta M(h) | \zeta_1 \rangle = c_0 h_{\alpha}^{\alpha} \langle \zeta_2 | \zeta_1 \rangle + c_1 \langle \zeta_2 | \Lambda(h) | \zeta_1 \rangle \quad (76)$$

$$= c'_0 h_{\alpha}^{\alpha} \langle \zeta_2 | \zeta_1 \rangle + c'_1 \langle \zeta_2 | \Lambda'(h) | \zeta_1 \rangle \quad (77)$$

where  $c_0$ ,  $c_1$ ,  $c'_0$  and  $c'_1$  are constants independent of h and of the members  $\zeta_1$  and  $\zeta_2$  of the multiplet considered (their values can change from multiplet to multiplet).

Case B - Both invariants (73) are needed to eliminate the two invariants (71) and (72), thus leading to

$$\langle \zeta_2 | \Delta M(h) | \zeta_1 \rangle = d_0 h_\alpha^\alpha \langle \zeta_2 | \zeta_1 \rangle + d_1 \langle \zeta_2 | \Lambda(h) | \zeta_1 \rangle + d_2 \langle \zeta_2 | \Lambda'(h) | \zeta_1 \rangle, \quad (78)$$

where  $d_0$ ,  $d_1$  and  $d_2$  are again constant within each multiplet.

We now turn to the calculation of the mass splittings, i.e. we put  $h = \lambda_8$  and remember that  $\Delta M = \Delta M(\lambda_8)$  is the mass splitting operator. Formula (76) gives with  $h = \lambda_8$

$$\langle \zeta_2 | \Delta M | \zeta_1 \rangle = c_1 \langle \zeta_2 | \Lambda(\lambda_8) | \zeta_1 \rangle = c_1 \sqrt{3} \langle \zeta_2 | Y | \zeta_1 \rangle = c_1 \sqrt{3} \delta_{\zeta_2 \zeta_1} Y_{\zeta_1}, \quad (79)$$

[for  $Y$  see (53)]. Because of (74) this is sufficient to deal with case A. The relevant example is the baryon decuplet (38). All mass splittings inside the decuplet are expressed in terms of one constant; the mass differences are equal from line to line in (38). This is in excellent agreement with the observed masses. Using this formula it was possible to predict the mass of the then unobserved  $\Omega^-$ , and to predict that it decays only through weak interactions. These predictions are now confirmed.

To treat case B, we first want to express  $\Lambda'(\lambda_8)$  in terms of the operators  $I_1^2 + I_2^2 + I_3^2$  and  $Y$

$$h_y = \frac{1}{\sqrt{3}} \lambda_8 \quad (53)$$

$$\Lambda'(h_y) = (h_y)_\alpha^\beta \Lambda_{\beta\gamma}^\gamma \Lambda_\alpha^\alpha = \frac{1}{3} (\Lambda_1^1 \Lambda_\gamma^1 + \Lambda_2^2 \Lambda_\gamma^2 - 2\Lambda_3^3 \Lambda_\gamma^3) = \frac{1}{3} \Lambda_\alpha^\gamma \Lambda_\gamma^\alpha - \Lambda_3^3 \Lambda_\gamma^3 \quad (80)$$

$$\Lambda(h_y) = Y = (h_y)_\alpha^\beta \Lambda_\beta^\alpha = \frac{1}{3} (\Lambda_1^1 + \Lambda_2^2 - 2\Lambda_3^3) = \frac{1}{3} \Lambda_\gamma^1 - \Lambda_3^3 \quad (81)$$

$$\Lambda_3^3 = \frac{1}{3} \Lambda_\gamma^1 - Y \quad (82)$$

$$I_1 = \Lambda(h_{I_1}) = (h_{I_1})_\alpha^\beta \Lambda_\beta^\alpha = \frac{1}{2} (\Lambda_1^1 + \Lambda_1^1) \quad (83)$$

$$I_2 = \dots = i/2 (\Lambda_1^2 - \Lambda_2^1) \quad (84)$$

$$I_3 = \dots = \frac{1}{2} (\Lambda_1^1 - \Lambda_2^2) \quad (85)$$

$$|I|^2 = I_1^2 + I_2^2 + I_3^2 = \frac{1}{2} \Lambda_i^j \Lambda_j^i - \frac{1}{4} (\Lambda_1^1 + \Lambda_2^2)^2 \quad (86)$$

(Our index convention is  $i, j = 1, 2$  and  $\alpha, \beta, \gamma = 1, 2, 3$ .)

Add and subtract special terms:

$$|I|^2 = \frac{1}{2} \Lambda_Y^\alpha \Lambda_Y^\alpha - \frac{1}{2} \Lambda_3^\alpha \Lambda_3^\alpha - \frac{1}{2} \Lambda_Y^\alpha \Lambda_3^\alpha + \frac{1}{2} \Lambda_3^\alpha \Lambda_Y^\alpha - \frac{1}{4} (\Lambda_Y^\alpha - \Lambda_3^\alpha)^2 . \quad (87)$$

Use the commutator

$$\left[ \Lambda_{\beta'}^{\alpha'}, \Lambda_{\beta}^{\alpha} \right] = \delta_{\beta'}^{\alpha} \Lambda_{\beta}^{\alpha} - \delta_{\beta}^{\alpha'} \Lambda_{\beta'}^{\alpha'} . \quad (88)$$

Equation (88) can be proved directly by using the definition of  $\Lambda_{\beta}^{\alpha}$  [see (50)].

$$\Lambda_Y^\alpha \Lambda_3^\alpha = \Lambda_3^\alpha \Lambda_Y^\alpha + \left[ \Lambda_Y^\alpha, \Lambda_3^\alpha \right] = \Lambda_3^\alpha \Lambda_Y^\alpha + 3\Lambda_3^\alpha - \Lambda_Y^\alpha . \quad (89)$$

Put (89) and (82) into (87) and solve for

$$\Lambda_3^\alpha \Lambda_Y^\alpha ,$$

insert this into (80). It follows that

$$\Lambda'(h_Y) = a \cdot \Lambda_Y^\alpha \Lambda_Y^\alpha + b \left( \Lambda_Y^\alpha \right)^2 + cY + \left( I^2 - \frac{Y^2}{4} \right) ,$$

where a, b, and c are constants. We put this into the mass equation (78) with the result

$$\langle \zeta_2 | \Delta M | \zeta_1 \rangle = a' \langle \zeta_2 | \left( I_1^2 + I_2^2 + I_3^2 - \frac{Y^2}{4} \right) | \zeta_1 \rangle + b' \langle \zeta_2 | Y | \zeta_1 \rangle + c' \langle \zeta_2 | \zeta_1 \rangle , \quad (90)$$

a', b', c' are constants independent of the members  $\zeta_1$  and  $\zeta_2$  of the multiplet. The operator representing the total mass (or the total mass squared) has the form

$$M = M_0 + \Delta M \quad (91)$$

where  $M_0$  is the  $SU_3$  invariant part with a common value for all members of a multiplet, and  $\Delta M$  is the mass splitting operator as before. From (90), replacing the operators on the right-hand side by their eigenvalues, we obtain the Gell-Mann-Okubo mass formula relating the mass of a multiplet member to its hypercharge and isospin

$$m = a_0 \left( I(I+1) - \frac{Y^2}{4} \right) + b_0 Y + c_0 , \quad (92)$$

$a_0, b_0, c_0$  are constants within the multiplet.

Let us apply (92) to the baryon octet. Using the four masses of the four isospin multiplets involved (N,  $\Lambda$ ,  $\Sigma$ ,  $\Xi$ ) one can eliminate the three constants in (92) and one relation between the masses is obtained

$$\frac{1}{2} (m_N + m_\Xi) = \frac{1}{4} (m_\Sigma + 3m_\Lambda) . \quad (93)$$

The analogous relation for the octet of pseudoscalar mesons [use masses squared, cf. paragraph after (68)] reads (remembering  $m_K = m_{\bar{K}}$ )

$$m_K^2 = \frac{1}{4} (m_\pi^2 + 3m_\eta^2) . \quad (94)$$

The analogous relation for the octet of vector mesons gives

$$m_{K^*} = \frac{1}{4} \left( m_\rho + 3 \langle \omega_0^0 | M | \omega_0^0 \rangle \right) . \quad (95)$$

We used again the masses themselves. The last term in (95) is the mass expectation value of the  $I = Y = 0$  octet member  $\omega_0^0$ . With vector mesons we have an example of "mixing", in the sense that the operator  $\Delta M$  has also a non-vanishing matrix element between different multiplets, namely the element  $\langle \omega_0^0 | \Delta M | \phi_0^0 \rangle$  between  $\omega_0^0$  and the singlet  $\phi_0^0$ . Of course,  $\langle \omega_0^0 | M_0 | \phi_0^0 \rangle$  vanishes. As we show presently, consideration of this matrix element and of (95) allows us to determine from the experimental masses the mixing angle  $\vartheta$  appearing in (41) and (42). By the same token,  $SU_3$  predicts no mass relation between the nine vector mesons. Such a relation, i.e. the value of  $\vartheta$ , will be predicted by  $SU_6$ .

The operator  $M$  in the  $Y = I = 0$  subspace spanned by  $|\omega_0^0\rangle$ ,  $|\phi_0^0\rangle$  is given by the matrix

$$\begin{pmatrix} \langle \omega_0^0 | M | \omega_0^0 \rangle & \langle \omega_0^0 | M | \phi_0^0 \rangle \\ \langle \phi_0^0 | M | \omega_0^0 \rangle & \langle \phi_0^0 | M | \phi_0^0 \rangle \end{pmatrix} \quad (96)$$

$$= \begin{pmatrix} m_0 + \delta & \mu \\ \mu & m_0 - \delta \end{pmatrix} \quad (97)$$

The relative phase between  $|\omega_0^0\rangle$  and  $|\phi_0^0\rangle$  may be chosen in such a way that

$$\langle \omega_0^0 | M | \phi_0^0 \rangle = \langle \phi_0^0 | M | \omega_0^0 \rangle = \mu = \text{real}, \quad (98)$$

a relation already used in (97). Recall that  $M$  is hermitian. The eigenvalues of the matrix (97) are

$$m_{1,2} = m_0 \pm \sqrt{\delta^2 + \mu^2} . \quad (99)$$

They are the known masses of the physical particles

$$m_1 = m_{\phi_0^0}; \quad m_2 = m_{\omega_0^0} . \quad (100)$$

The parameter  $m_0 + \delta = \langle \omega_0^0 | M | \omega_0^0 \rangle$  is known from the mass formula (95),  $m_0$  and  $\delta^2 + \mu^2$  follow from (99). Since one knows all matrix elements of (97) it is then possible to find the eigenstates (for example, the  $\omega^0$ )

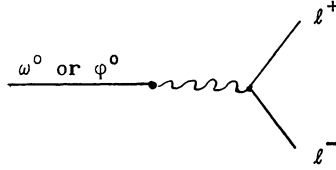
$$\begin{pmatrix} m_0 + \delta & \mu \\ \mu & m_0 - \delta \end{pmatrix} \begin{pmatrix} \sin \vartheta \\ \cos \vartheta \end{pmatrix} = \left[ m_0 - \sqrt{\delta^2 + \mu^2} \right] \begin{pmatrix} \sin \vartheta \\ \cos \vartheta \end{pmatrix}, \quad (101)$$

(the - sign has to be taken in the eigenvalue because  $m_{\omega_0^0} < m_{\phi_0^0}$ ). This simple calculation leads to the angle  $\vartheta \simeq 35^\circ$  mentioned in (43).

An experimental check of this result is possible by an independent determination of the octet and singlet parts in the physical particles  $\omega^0$  and  $\varphi^0$ . Consider the transitions

$$\omega^0 \rightarrow \text{virtual } \gamma; \quad \varphi^0 \rightarrow \text{virtual } \gamma \quad (102)$$

which control, for instance, the rare decay processes



$l$  denotes a charged lepton (electron or muon). The matrix element for this process would be

$$\langle \gamma | A_\mu | 0 \rangle \langle 0 | j_\mu | \omega^0 \text{ or } \varphi^0 \rangle \quad (103)$$

The electric current operator  $j_\mu$  transforms under  $SU_3$  like the operator of the electric charge, see (54), i.e. like an octet member (for more details see next paragraph). Since the matrix element

$$\langle 0 | j_\mu | \omega^0 \text{ or } \varphi^0 \rangle \quad (104)$$

is  $SU_3$  invariant, we have to construct an invariant out of

- an octet (the electric current  $j_\mu$ ),
- a singlet (the vacuum 0), and
- a mixture (42) of octet and singlet,
- namely the physical particles  $\omega^0$  or  $\varphi^0$ .

An invariant is obtained only when the matrix element (104) picks out the octet part from the physical particles  $\omega^0, \varphi^0$ . It follows

$$\begin{aligned} \langle 0 | j_\mu | \omega^0 \rangle &= \sin \vartheta \langle 0 | j_\mu | \omega_8^0 \rangle \\ \langle 0 | j_\mu | \varphi^0 \rangle &= -\cos \vartheta \langle 0 | j_\mu | \omega_8^0 \rangle \end{aligned}$$

Therefore, the ratio of the two decay rates (102) will be

$$\frac{R(\omega^0 \rightarrow l^+ l^-)}{R(\varphi^0 \rightarrow l^+ l^-)} = \frac{\sin^2 \vartheta}{\cos^2 \vartheta} \approx \frac{1/3}{2/3} = \frac{1}{2}$$

This ratio has not yet been measured.

## 6. Electromagnetic properties. U spin

The basic consideration leading to predictions of the electromagnetic properties of the particles grouped in irreducible tensors is the same as in the case of mass splittings. One knows the transformation law for the electric charge and electric current, since they are operators in the octet representation. This is shown by (54), (61) and (62) for the charge

operator  $Q$ . One assumes that similarly the electromagnetic current operator  $j_\mu$  is a special case  $j_\mu = j_\mu(h_Q)$  of an operator depending linearly on a traceless matrix  $h_\alpha^a$ , with the usual transformation law

$$U(u) j_\mu(h) U(u^{-1}) = j_\mu(uhu^{-1}) .$$

Therefore, the matrix element

$$\langle \zeta_2 | j_\mu(h) | \zeta_1 \rangle \quad (105)$$

is an invariant, under  $SU_3$ , and one constructs invariants out of the parts forming the matrix element (105). As in the case of the mass splitting, the number of linearly independent invariants is zero, one, or two. An example of application of the method was given at the end of the preceding section.

A very convenient tool to discuss electromagnetic properties is the reclassification of particles by means of a quantum number called U spin, introduced by Lipkin. The idea behind it can be described as follows.

The isospin  $\vec{I}$  commutes with  $Y$ , so all particles in the same isospin multiplet have the same properties as far as  $Y$  is concerned. The U spin is now constructed to play with respect to  $Q$  the same role as  $I$  plays for  $Y$ . Thus, the operators  $\vec{U}$  will commute with  $Q$ , the electric charge. Therefore, all particles in the same U-spin multiplet will have the same electromagnetic properties.

Consider the special  $SU_3$  transformation

$$u_0 = \begin{pmatrix} \cdot & \cdot & 1 \\ 1 & \cdot & \cdot \\ \cdot & 1 & \cdot \end{pmatrix} . \quad (106)$$

For a covariant spinor it gives a circular permutation of the components

$$\zeta \rightarrow \zeta' = u_0 \zeta ; \quad \begin{pmatrix} \zeta_1 \\ \zeta_2 \\ \zeta_3 \end{pmatrix} \rightarrow \begin{pmatrix} \zeta_3 \\ \zeta_1 \\ \zeta_2 \end{pmatrix} \quad (107)$$

and, as is easily verified, it satisfies

$$u_0 h_y u_0^{-1} = u_0 \frac{\lambda_8}{\sqrt{3}} u_0^{-1} = -\lambda_Q . \quad (108)$$

Hence, the transformation  $U(u_0) = U_0$  corresponding to  $u_0$  transforms the hypercharge in the opposite of the charge

$$U_0 Y U_0^{-1} = -Q . \quad (109)$$

The transformed of the isospin  $I_{1,2,3}$ ,

$$U_{1,2,3} = U_0 I_{1,2,3} U_0^{-1} \quad (110)$$

is by definition the U spin. It has the same commutation rules as the isospin

$$\left[ U_i, U_j \right] = i U_k \quad (i, j, k = \text{circ. perm. of } 1, 2, 3)$$

and commutes with the electric charge.

Instead of using Y and  $I_3$ , one can now classify particles by means of the commuting additive quantum numbers

$$\left. \begin{aligned} Q &= I_3 + \frac{1}{2} Y \\ U_3 &= \frac{1}{2} I_3 + \frac{3}{4} Y \end{aligned} \right\} . \quad (111)$$

The two classifications for the baryon octet and decuplet are given in the diagrams on p. 24. The isospin multiplets are found on the lines  $Y = \text{const}$ , the U-spin multiplets on the lines  $Q = \text{const}$ . The only complication arising is the  $Y = I_3 = Q = U_3 = 0$  pair of particles occurring in the octet. The physical particles are  $\Lambda^0$  and  $\Sigma^0$  with isospin 0 and 1, respectively. The eigenstates of total U spin are, for U spin 0 and 1, respectively, given by

$$\left. \begin{aligned} \Lambda_u^0 &= U_0 \Lambda^0 = -\frac{1}{2} \Lambda^0 - \frac{\sqrt{3}}{2} \Sigma^0 \\ \Sigma_u^0 &= U_0 \Sigma^0 = \frac{\sqrt{3}}{2} \Lambda^0 - \frac{1}{2} \Sigma^0 \end{aligned} \right\} \quad (112)$$

As an example of  $SU_3$  predictions for electromagnetic properties we discuss the magnetic moments of the baryon octet. In addition to the eight magnetic moments  $\mu_p, \mu_n, \mu_\Lambda, \mu_{\Sigma^+}, \mu_{\Sigma^0}, \mu_{\Sigma^-}, \mu_{\Xi^0}, \mu_{\Xi^-}$ , one must consider the transition moment  $\mu_{\Lambda\Sigma}$  between  $\Lambda^0$  and  $\Sigma^0$ , which determines the  $\Sigma^0$  lifetime for its main decay  $\Sigma^0 \rightarrow \Lambda^0 + \gamma$ . Because of time-reversal invariance, all nine quantities are real, and  $\mu_{\Lambda\Sigma}$  describes both transitions  $\Lambda^0 \leftrightarrow \Sigma^0$ . The predictions can be grouped as follows:

a) Using (56), isospin invariance, and the fact that Y is an isoscalar, one obtains

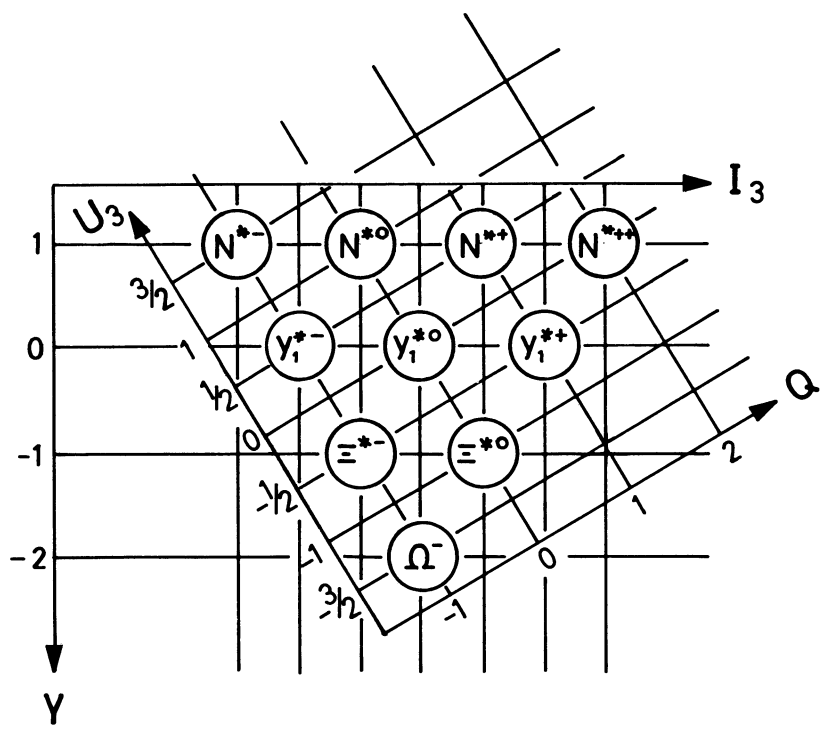
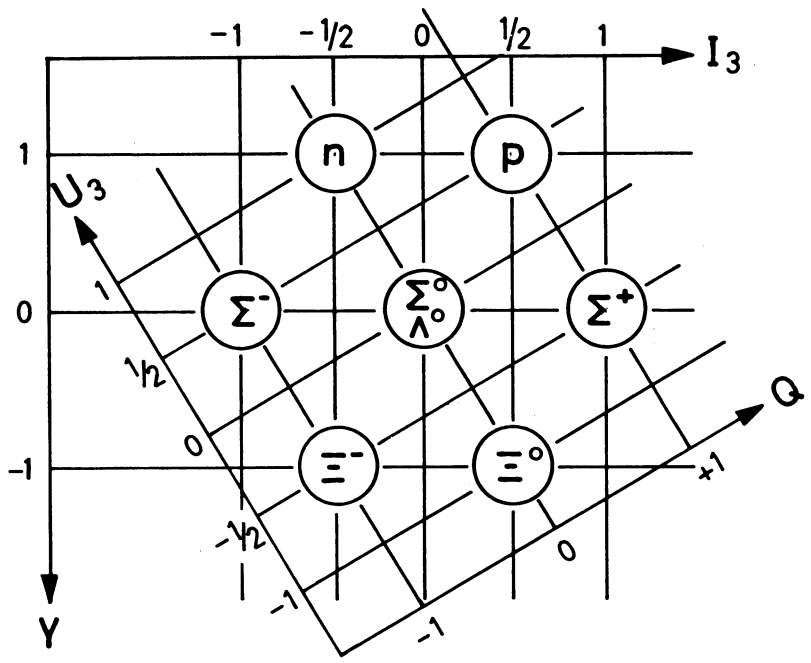
$$\mu_{\Sigma^+} + \mu_{\Sigma^-} = 2\mu_{\Sigma^0} . \quad (113)$$

b) From U-spin invariance of strong and electromagnetic interactions one has

$$\left. \begin{aligned} \mu_{\Sigma^+} &= \mu_p \\ \mu_{\Xi^-} &= \mu_{\Sigma^-} \\ \mu_{\Xi^0} &= \mu_{\Sigma_u^0} = \mu_n \\ \mu_{\Lambda_u \Sigma_u} &= 0 \end{aligned} \right\} . \quad (114)$$

c) From  $SU_3$  invariance, especially (109) and (112)

$$\mu_{\Lambda_u} = -\mu_{\Lambda}^{(Y)} \quad (115)$$





where  $\mu_{\Lambda}^{(Y)}$  is the contribution of the hypercharge to the  $\Lambda$  magnetic moment. From (56)

$$\mu_{\Lambda} = \mu_{\Lambda}^{(I_3)} + \frac{1}{2} \mu_{\Lambda}^{(Y)} , \quad (116)$$

where  $\mu_{\Lambda}^{(I_3)}$  is the isospin contribution to  $\mu_{\Lambda}$ . Since  $\Lambda$  is an isoscalar and  $I_3$  an isovector, this latter contribution vanishes, and (115) becomes

$$\mu_{\Lambda_u} = -2\mu_{\Lambda} . \quad (117)$$

Equation (112) gives

$$\left. \begin{aligned} \mu_{\Sigma_u^0} &= \frac{3}{4} \mu_{\Lambda} + \frac{1}{4} \mu_{\Sigma^0} - \frac{\sqrt{3}}{2} \mu_{\Lambda\Sigma} \\ \mu_{\Lambda_u} &= \frac{1}{4} \mu_{\Lambda} + \frac{3}{4} \mu_{\Sigma^0} + \frac{\sqrt{3}}{2} \mu_{\Lambda\Sigma} \\ \mu_{\Lambda_u \Sigma_u} &= -\frac{\sqrt{3}}{4} \mu_{\Lambda} + \frac{\sqrt{3}}{4} \mu_{\Sigma^0} - \frac{1}{2} \mu_{\Lambda\Sigma} \end{aligned} \right\} . \quad (118)$$

We have enough equations to express all  $\mu$ 's in terms of two of them. This was predictable since the representation 8 to which the electric current belongs occurs twice in the reduction of the antibaryon-baryon combination  $8 \times 8$ . Expressing all  $\mu$ 's in terms of the proton and neutron moments one finds

$$\left. \begin{aligned} \mu_{\Sigma^+} &= \mu_p \\ \mu_{\Sigma^0} &= 2\mu_{\Lambda} = -2\mu_{\Sigma^0} = -\frac{2}{\sqrt{3}} \mu_{\Lambda\Sigma} = \mu_n \\ \mu_{\Sigma^-} &= \mu_{\Sigma^-} = -\mu_n - \mu_p \end{aligned} \right\} . \quad (119)$$

The experimental value of  $\mu_{\Lambda}$  agrees with this prediction within the large experimental error ( $\gtrsim 30\%$ ) (see bibliography). By similar methods one can derive  $SU_3$  predictions for electromagnetic mass differences, which are of second order in the electromagnetic interaction. The most interesting one is the formula given by Glashow and Coleman

$$m_n - m_p + m_{\Sigma^+} - m_{\Sigma^-} + m_{\Sigma^-} - m_{\Sigma^0} = 0 \quad (120)$$

which is well verified by experiment. One can also combine mass splitting effects due to electromagnetic and semi-strong interactions.

The U-spin formalism can be profitably used for many other cases. An example is the photo-excitation of octet baryons leading to the baryon resonances in the decuplet. The relevant matrix element is

$$\langle b_{\alpha\beta\gamma} | j_{\mu}^K | b_{\mu}^{\nu} \rangle ,$$

where  $j_{\mu}$  is the electromagnetic current.  $j_{\mu}$  is an invariant for U spin, hence the transition  $b_{\mu}^{\nu} \rightarrow b_{\alpha\beta\gamma}$  must conserve U spin. U spin is also very useful in the discussion of strong interaction processes.

### 7. Coupling between baryons and pseudoscalar mesons

Let  $p_\alpha^\beta$  and  $b_\alpha^\beta$  be the traceless tensors representing the  $0^-$  meson and baryon octets, respectively. We want to write down the most general  $\bar{b} b' p$  interaction which is  $SU_3$  invariant.  $\bar{b}$  transforms under  $SU_3$  as the Hermitian conjugate tensor  $b^+$ . With  $b^+$  and  $b'$  the following octets can be constructed

$$(b^+ b')_{F\alpha}^\beta = b_\gamma^{+\beta} b_\alpha^{\prime\gamma} - b_\alpha^{+\gamma} b_\gamma^{\prime\beta} \quad (121)$$

$$(b^+ b')_{D\alpha}^\beta = b_\gamma^{+\beta} b_\alpha^{\prime\gamma} + b_\alpha^{+\gamma} b_\gamma^{\prime\beta} - \frac{2}{3} \delta_\alpha^\beta b_\delta^{+\gamma} b_\gamma^{\prime\delta} \quad (122)$$

[see (32) and (27)]. The most general invariant  $\bar{b} b p$  interaction has the form

$$\left[ f (b^+ b')_{F\alpha}^\beta + d (b^+ b')_{D\alpha}^\beta \right] p_\beta^\alpha \quad (123)$$

The two terms are called F-type and D-type couplings, respectively. Experiment gives rough indications on the value of the  $f/d$  ratio. It appears to be of the order

$$\frac{1}{3} \lesssim \frac{f}{d} < 1 \quad (124)$$

Similarly, if  $\Delta_{\alpha\beta\gamma}$  is the fully symmetric tensor representing the baryon decuplet, the most general  $SU_3$  invariant  $\bar{b} \Delta p$  interaction is given by

$$g b_\alpha^{+\beta} \Delta_{\beta\gamma\delta} p_\phi^\gamma \epsilon^{\alpha\delta\phi} \quad (125)$$

Hence, there is only one coupling constant governing all decays  $\Delta \rightarrow b + p$ . Experiment does not agree too well with this prediction.

## II. $SU_6$ SYMMETRY

The  $SU_6$  symmetry scheme was developed by Sakita, Radicati and Gürsey. The idea is to combine ordinary spin and  $SU_3$  symmetry. This is a concept going back to the Wigner theory of supermultiplets in nuclear physics. [Wigner (1937) combined spin and isospin of the nucleon, introducing the group  $SU_4$ .] Only in the non-relativistic limit is it possible to separate the angular momentum of a particle into a spin and an orbital momentum part in an invariant way, independent of the co-ordinate system. It is for this reason that  $SU_6$  has to be regarded as a non-relativistic theory. Despite many attempts, very little success has been met in the direction of relativistic extensions of  $SU_6$ .

### 1. Non-relativistic spin and the group $SU_2$

Following Pauli, non-relativistic spin is described by tensors in two-dimensional unitary space, the corresponding group being  $SU_2$ . As is well known, this group is locally isomorphic to the three-dimensional rotation group  $SU_3$ ; it is its covering group.

The covariant spinors are

$$\chi = \begin{pmatrix} \chi_1 \\ \chi_2 \end{pmatrix}, \quad (126)$$

and the  $SU_2$  transformations are

$$\begin{aligned} \chi \rightarrow \chi' = u\chi \quad \text{or} \quad \chi'_i = u_i^j \chi_j \quad (i, j = 1, 2) \\ u^+ u = 1, \quad \det u = 1. \end{aligned} \quad (127)$$

The contravariant spinors

$$\eta = (\eta^1, \eta^2) \quad (128)$$

transform as

$$\eta \rightarrow \eta' = \eta u^{-1}; \quad \eta'^i = \eta^j (u^{-1})_j^i. \quad (129)$$

The Hermitian conjugate  $\chi^+$  of  $\chi$  with components

$$(\chi^+)^i = (\chi_i)^* \quad (130)$$

transforms contravariantly.

The general tensor  $\chi_{ij}^{kl}$  follows the transformation law (127) for each covariant index, and (129) for each contravariant index, in the same manner as in  $SU_3$  [see (10)].

The invariant tensors are

$$\begin{aligned} \delta_i^j &= \begin{cases} 1 & \text{for } i=j \\ 0 & \text{for } i \neq j \end{cases} \\ \epsilon_{ij} = \epsilon^{ij} &= \begin{cases} 0 & \text{for } i=j \\ 1 & \text{for } i=1, j=2 \\ -1 & \text{for } i=2, j=1 \end{cases} \end{aligned} \quad (131)$$

The reduction of tensors is carried out, as was shown for  $SU_3$ , with the invariant tensors (131). There are three ways of reducing a tensor, i.e. of reducing its number of indices:

- a) use the  $\delta_i^j$  (i.e. form a trace);
- b) use the  $\epsilon^{ij}$  (i.e. take the antisymmetric part with respect to two lower indices);
- c) use the  $\epsilon_{ij}$  (i.e. take the antisymmetric part with respect to two upper indices).

The irreducible tensors are the ones which give zero for every reduction. They have the properties specified already in Chapter I-1: All their traces vanish, and they are fully symmetric in upper and lower indices.

Because of the dimension two a further simplification arises: one can raise lower indices, or lower upper indices, with the help of the tensor  $\epsilon^{ij}$  or  $\epsilon_{ij}$ , respectively:

$$\chi_{ij..}^{kl..} \rightarrow \chi_{ij..}^{kl..} \cdot \epsilon^{im} = \tilde{\chi}_{j..}^{mkl..} \quad (132)$$

$$\chi_{ij..}^{kl..} \rightarrow \chi_{ij..}^{kl..} \cdot \epsilon_{km} = \tilde{\chi}_{mij..}^{l..} \quad (133)$$

Because of this fact all irreducible tensors with the same total number of indices (upper and lower) are equivalent to each other, i.e. can be transformed linearly into each other in  $SU_2$  invariant way. Thus, a complete set of inequivalent irreducible tensors is given by

$$\chi_{i_1..i_n}; \text{ fully symmetric in } (i_1..i_n) . \quad (134)$$

The dimension of (134), i.e. its number of independent components, is  $n+1$ . Expression (134) represents spin  $s = n/2$ , with its  $2s+1 = n+1$  possible orientations.

For spin 1, (134) specializes to the symmetric tensor  $\chi_{ij}$ . One can use equivalently the traceless mixed tensor

$$\eta_j^i = \chi_{kj} \cdot \epsilon^{ik}; \quad \eta_i^i = 0 . \quad (135)$$

Verify that the traceless condition [2nd equation (135)] implies and is implied by the symmetry of  $\chi_{ij}$ . This holds for arbitrary number of indices.

## 2. The group $SU_6$

We now introduce indices which combine spin and  $SU_3$

$$A = (i, \alpha) \left\{ \begin{array}{l} i = 1, 2 \text{ is the spin index} \\ \alpha = 1, 2, 3 \text{ is the } SU_3 \text{ index} \\ A = 1, \dots, 6 \end{array} \right\} . \quad (136)$$

The basic elements of the  $SU_6$  scheme are six-dimensional covariant spinors

$$t = \begin{pmatrix} t_1 \\ \vdots \\ t_6 \end{pmatrix} . \quad (137)$$

The contravariant spinors are

$$s = (s^1 \dots s^6) . \quad (138)$$

The transformations are given by  $6 \times 6$  matrices, which act on the spinors (137) and (138) in the same manner as in  $SU_2$  and  $SU_3$

$$t_A \rightarrow t'_A = u_A^B t_B \quad (139)$$

$$s^A \rightarrow s'^A = s^B (u^{-1})_B^A . \quad (140)$$

The general tensor  $t_{ABC..}^{DE..}$  is transformed covariantly, see (139), with respect to the lower indices, and contravariantly, see (140), with respect to the upper indices [see (10)]. Its Hermitian conjugate is defined as in (14)

$$t_{DE..}^{+ABC..} = \left( t_{ABC..}^{DE..} \right)^* .$$

The invariant tensors are

$$\delta_A^B = \begin{cases} 1 & \text{for } A=B \\ 0 & \text{for } A \neq B \end{cases} \quad (141)$$

$$\epsilon_{A_1..A_6} = \epsilon^{A_1..A_6} \left\{ \begin{array}{l} \epsilon \text{ fully antisymmetric} \\ \epsilon_{1..6} = 1 \end{array} \right\} . \quad (142)$$

Again one carries out the reduction of tensors by means of the invariant tensors (141) and (142). Due to the higher dimension now there are four reduction procedures:

- a) use the  $\delta_A^B$  (i.e. form a trace);
- b) use the  $\epsilon^{...}$  (i.e. take the antisymmetric part with respect to four or more lower indices);
- c) use the  $\epsilon_{...}$  (i.e. take the antisymmetric part with respect to four or more upper indices);
- d) decompose into summands with definite symmetry character in upper and/or lower indices.

In the cases of  $SU_2$  and  $SU_3$ , point (d) was done automatically by means of contractions with  $\epsilon$ . Now it has to be done separately. Only (a), (b) and (c) reduce the number of indices: (d) does not, but separates a tensor in an invariant way in tensors with a smaller number of independent components. For example, it is seen that  $t_{AB}$  cannot be treated according to procedures (a), (b), or (c). Its reduction according to (d) is

$$t_{AB} = t_{AB}^{(+)} + t_{AB}^{(-)}, \quad t_{AB}^{(\pm)} = \frac{1}{2} \left( t_{AB} \pm t_{BA} \right) .$$

There are two cases which are at present important for physics, the tensors

$$t_A^B \quad \text{and} \quad t_{ABC} .$$

We give their reduction:

1. Only reduction (a) applies to  $t_A^B$ . It separates the traceless part

$$t_A^B = \frac{1}{6} \delta_A^B t_C^C + \left( t_A^B - \frac{1}{6} \delta_A^B t_C^C \right) \quad (143)$$

written as

$$6 \times 6 = 1 + 35 . \quad (144)$$

The first term represents the invariant tensor  $\delta$ . The second one, 35, is the traceless tensor which has 35 independent components.

2.  $t_{ABC}$  has 216 elements. Only the procedure (d) can be applied. The symmetry classes existing for three indices are:

- i)  $t_{ABC}^s$  - fully symmetric in A, B and C, has 56 independent components; it therefore belongs to representation 56;
- ii)  $t_{ABC}^a$  - fully antisymmetric in A, B and C, has 20 independent components; belongs to representation 20;
- iii)  $t_{ABC}^m$  - of mixed symmetry, with the following characteristic properties: it is antisymmetric in A and B

$$t_{ABC}^m = -t_{BAC}^m ,$$

and the sum over the circular permutations gives zero

$$t_{ABC}^m + t_{BCA}^m + t_{CAB}^m = 0 .$$

$t^m$  has 70 independent components and belongs to representation 70.

The most general tensor  $t_{ABC}$  can be decomposed in a sum of one fully symmetric tensor  $t^s$ , one fully antisymmetric  $t^a$ , and two distinct tensors of mixed symmetry  $t^m$  and  $t'^m$ . So one writes

$$6 \times 6 \times 6 = 20 + 56 + 70 + 70 . \quad (145)$$

Verify that the total number of dimensions is correct.

### 3. SU<sub>6</sub> multiplets of hadrons

We consider a covariant SU<sub>6</sub> spinor, its index written in the form (136)

$$t_{i,\alpha} \quad (146)$$

$i$  is the spin index,  $\alpha$  the SU<sub>3</sub> index. Now we apply two transformations  $v_i^j$  and  $u_\alpha^\beta$ , where  $v$  belongs to SU<sub>2</sub> and acts only on the spin indices, while  $u$ , belonging to SU<sub>3</sub>, acts only on the SU<sub>3</sub> indices:

$$t_{i\alpha} \rightarrow t'_{i\alpha} = v_i^j u_\alpha^\beta t_{j\beta} , \quad (147)$$

where  $i, j = 1, 2$  and  $\alpha, \beta = 1, 2, 3$ . This is a special type of SU<sub>6</sub> transformation  $w$

$$t_A \rightarrow t'_A = w_A^B t_B \quad (148)$$

with

$$w_A^B = v_i^j u_\alpha^\beta , \quad (149)$$

where  $A = (i, \alpha)$  and  $B = (j, \beta)$ .

It is clear that such direct products of SU<sub>2</sub> transformations with SU<sub>3</sub> transformations form a subgroup G of SU<sub>6</sub>

$$SU_2 \otimes_{\text{def}} SU_3 \subset G \subset SU_6 . \quad (150)$$

The dimension of G is  $3 \times 8$ , smaller than the dimension 35 of  $SU_6$ . It is clear that assuming  $SU_6$  invariance of the very strong interactions will lead to predictions which go beyond the  $SU_2$  and the  $SU_3$  invariance predictions.

The  $SU_6$  spinor or "sextet"  $t_A$  ("sextet" because it belongs to representation 6) is seen to transform under the subgroup G as a doublet for spin (one index) and as a triplet for  $SU_3$  (one index). This  $SU_2 \otimes SU_3$  structure of the sextet is usually written in the form

$$6 = (2, 3) \quad . \quad (151)$$

We proceed to the tensor  $m_A^B$ , which, if traceless, forms the irreducible representation 35 of  $SU_6$ . What is its physical content in terms of  $SU_2$  and  $SU_3$ ? To find out we have to reduce this tensor with respect to the subgroup G. The tensor is

$$m_A^B = m_{i\alpha}^{j\beta} \text{ with } m_A^A = m_{i\alpha}^{i\alpha} = 0; \quad \left. \begin{array}{l} i, j = 1, 2 \\ \alpha, \beta = 1, 2, 3 \end{array} \right\} \quad . \quad (152)$$

Reduction with respect to  $SU_2$ : one extracts a part with vanishing trace, which gives a singlet corresponding to spin 0, and leaves a traceless part, i.e. a triplet corresponding to spin 1. We write this out

$$m_{i\alpha}^{j\beta} = \underbrace{\left( m_{i\alpha}^{j\beta} - \frac{1}{2} \delta_{i\alpha}^j \delta_{k\alpha}^{\beta} \right)}_{\text{spin 1}} + \underbrace{\frac{1}{2} \delta_{i\alpha}^j \delta_{k\alpha}^{\beta}}_{\text{spin 0}} \quad . \quad (153)$$

Reduction of these two parts with respect to  $SU_3$ : the spin 0 part has automatically a vanishing trace for  $SU_3$

$$\left( \frac{1}{2} \delta_{i\alpha}^j \delta_{k\alpha}^{\beta} \right) \cdot \delta_{\beta}^{\alpha} = \frac{1}{2} \delta_{i\alpha}^j \delta_{k\alpha}^{\alpha} = 0 \quad , \quad (154)$$

so it is an  $SU_3$  octet. The spin 1 part still has a non-vanishing  $SU_3$  trace. By separating it we obtain an  $SU_3$  singlet and an  $SU_3$  octet.

$$\left( m_{i\alpha}^{j\beta} - \frac{1}{2} \delta_{i\alpha}^j \delta_{k\alpha}^{\beta} \right) = \underbrace{\left[ \left( m_{i\alpha}^{j\beta} - \frac{1}{2} \delta_{i\alpha}^j \delta_{k\alpha}^{\beta} \right) - \frac{1}{3} \delta_{\alpha}^{\beta} \delta_{i\gamma}^{j\gamma} \right]}_{SU_3 \text{ octet}} + \underbrace{\frac{1}{3} \delta_{\alpha}^{\beta} \delta_{i\gamma}^{j\gamma}}_{SU_3 \text{ singlet}} \quad . \quad (155)$$

In analogy to (151) we write the result (153) and (155) in the form

$$35 = (3, 8) + (3, 1) + (1, 8) \quad . \quad (156)$$

The first number in each bracket refers to the spin, the second to the  $SU_3$  representation. We find that representation 35 has exactly the  $SU_2 \otimes SU_3$  content needed to accommodate the 8 pseudoscalar mesons and the 9 vector mesons classified in  $SU_3$  [see (39) and (40)]. This is the first appealing property of  $SU_6$ . Note that in (156) the dimensions come out correctly:

$$35 = 3 \times 8 + 3 \times 1 + 1 \times 8 \quad .$$

Now we examine the irreducible tensor  $b_{ABC}$  of representation 56

$$b_{ABC} = b_{i\alpha, j\beta, k\gamma} \text{ fully symmetric in } A, B, C \quad (157)$$

and, most remarkably, we will find that it accommodates exactly the baryon octet and decuplet. The reduction of (157) with respect to G is

$$b_{ABC} = \chi_{ABC}^s + \chi_{ABC}^m, \quad (158)$$

where  $\chi_{ABC}^s$  is the part fully symmetric in spin and  $SU_3$  indices separately, and  $\chi_{ABC}^m$  is the rest. Consider the fully symmetric part of (157) with respect to the spin indices: it is the expression

$$b_{i\alpha, j\beta, k\gamma}^s = \frac{1}{6} \left[ b_{i\alpha, j\beta, k\gamma} + b_{j\alpha, k\beta, i\gamma} + b_{k\alpha, i\beta, j\gamma} + b_{i\alpha, k\beta, j\gamma} + b_{k\alpha, j\beta, i\gamma} + b_{j\alpha, i\beta, k\gamma} \right]. \quad (159)$$

Since  $b_{ABC}$  is fully symmetric for exchange of pairs  $(i\alpha)$ ,  $(j\beta)$ ,  $(k\gamma)$ ,  $b^s$  is symmetric not only in the Latin spin indices but in the Greek  $SU_3$  indices as well. So,

$$b_{i\alpha, j\beta, k\gamma}^s = \chi_{ABC}^s. \quad (160)$$

With respect to  $SU_2$ ,  $\chi_{ABC}^s$  belongs to representation 4 (spin  $\frac{3}{2}$ ). With respect to  $SU_3$ ,  $\chi_{ABC}^s$  with three lower indices belongs to representation 10 (see the list of representations in Chapter I-1). Hence, the  $SU_2 \otimes SU_3$  structure of  $\chi^s$  is (4,10) and it can accommodate the spin  $\frac{3}{2}$  baryon decuplet.

The rest  $\chi_{i\alpha, j\beta, k\gamma}^m$  is of mixed symmetry. We first consider the  $SU_2$  behaviour. For reasons of simplicity we now omit in most equations the  $SU_3$  indices. The fully symmetric part in  $i, j, k$  has already been extracted, so symmetrization has to give zero

$$\sum_{\text{perm } (i, j, k)} \chi_{ijk}^m = 0. \quad (161)$$

Since  $i, j$ , and  $k$  can take only the values 1 and 2, the antisymmetrization has to give zero too, because always two of the three indices  $i, j, k$  are equal.

$$\sum_{\text{perm } (i, j, k)} (-1)^p \chi_{ijk}^m = 0, \quad (162)$$

(where  $p$  = parity of permutation). From (161) and (162) it follows that the sum over the even permutations as well as the sum over the odd permutations are zero

$$\sum_{\text{even perm } (i, j, k)} \chi_{ijk}^m = \sum_{\text{odd perm } (i, j, k)} \chi_{ijk}^m = 0. \quad (163)$$



$\chi^m$  can have mixed symmetry only in  $i, j, k$ . Instead of  $\chi_{ijk}^m$  we consider three spin  $\frac{1}{2}$  parts:

$$\left. \begin{aligned} \epsilon^{ij} \chi_{ijk}^m &\stackrel{\text{def}}{=} \zeta_k \\ \epsilon^{ij} \chi_{jki}^m &\stackrel{\text{def}}{=} \eta_k \\ \epsilon^{ij} \chi_{kij}^m &\stackrel{\text{def}}{=} \xi_k \end{aligned} \right\} \cdot \quad (164)$$

Instead of  $\chi_{ijk}^m$  we may also write the equations (164) with the original tensor  $b_{ABC}$ . Since the  $\epsilon^{ij}$  only affects the antisymmetric part, the addition of the fully symmetric  $\chi_{ABC}^s$  (158) does not change the values of  $\zeta, \eta, \xi$ .

$\zeta_k, \eta_k$  and  $\xi_k$  are linearly dependent

$$\zeta_k + \eta_k + \xi_k = \epsilon^{ij} \left( \sum_{\text{even perm}} \chi_{ijk}^m \right) = 0 \quad (165)$$

that is, there are only two linearly independent spin  $\frac{1}{2}$  parts in  $b_{ABC}$ . This was predictable since combination of 3 spins  $\frac{1}{2}$  gives spin  $\frac{1}{2}$  only twice. We may reverse the equations (164) by multiplication with  $\epsilon_{ij}$  and renaming of indices, which gives

$$\epsilon_{ij} \zeta_k + \epsilon_{ki} \eta_j + \epsilon_{jk} \xi_i = 3\chi_{ijk}^m - \sum_{\text{odd perm}} \chi_{ijk}^m \quad (166)$$

the last term being zero because of equation (163).

We replace  $\chi^m$  by  $b$  in (164) and insert (164) into (166):

$$\epsilon_{ij} \epsilon^{mn} b_{m\alpha, n\beta, k\gamma} + \epsilon_{ki} \epsilon^{mn} b_{n\alpha, j\beta, m\gamma} + \epsilon_{jk} \epsilon^{mn} b_{i\alpha, m\beta, n\gamma} = 3\chi_{i\alpha, j\beta, k\gamma}^m \cdot \quad (167)$$

The three terms on the left-hand side are essentially the same because of full symmetry of  $b$  for exchange of pairs of indices

$$\left. \begin{aligned} \epsilon^{mn} b_{n\alpha, j\beta, m\gamma} &= \epsilon^{mn} b_{m\gamma, n\alpha, j\beta} \\ \epsilon^{mn} b_{i\alpha, m\beta, n\gamma} &= \epsilon^{mn} b_{m\beta, n\gamma, i\alpha} \end{aligned} \right\} \cdot \quad (168)$$

In other words they are all expressible in the single tensor

$$\epsilon^{mn} b_{m\alpha, n\beta, k\gamma} \cdot \quad (169)$$

What is the  $SU_3$  character of this tensor? Formula (169) contains only the antisymmetric part in  $m$  and  $n$ . Hence, it has to be antisymmetric in  $\alpha$  and  $\beta$ . Therefore no information is lost if we contract these two indices with an  $SU_3$   $\epsilon^{\alpha\beta\gamma'}$ , giving

$$\epsilon^{mn} b_{m\alpha, n\beta, k\gamma} \cdot \epsilon^{\alpha\beta\gamma'} \stackrel{\text{def}}{=} \sqrt{2} \psi_{k; \gamma}^{\gamma'} \cdot \quad (170)$$

The  $\sqrt{2}$  is introduced for later convenience, see (173). We now prove that the  $SU_3$  trace of  $\psi_{k;\gamma}^{\gamma'}$  is zero, so that (170) is an  $SU_3$  octet. We have

$$\psi_{k;\gamma}^{\gamma'} = \epsilon^{mn} b_{m\alpha, n\beta, k\gamma} \epsilon^{\alpha\beta\gamma} . \quad (171)$$

$\epsilon^{\alpha\beta\gamma}$  picks out the antisymmetric part in  $\alpha, \beta, \gamma$ . Due to the symmetry of  $b$  in  $A, B, C$ , this part is antisymmetric in  $m, n, k$ , too. This however is zero, as we saw in (162), because of the dimension 2 of  $SU_2$ . Hence, (171) vanishes. Equation (170) can be inverted by means of (31). The result is

$$\epsilon^{mn} b_{m\alpha, n\beta, k\gamma} = \frac{1}{\sqrt{2}} \epsilon_{\alpha\beta\gamma'} \psi_{k;\gamma}^{\gamma'} .$$

Putting this into (167) gives

$$\chi_{i\alpha, j\beta, k\gamma}^m = \frac{1}{\sqrt{18}} \left[ \epsilon_{ij} \epsilon_{\alpha\beta\gamma'} \psi_{k;\gamma}^{\gamma'} + \epsilon_{ki} \epsilon_{\gamma\alpha\beta'} \psi_{j;\beta}^{\beta'} + \epsilon_{jk} \epsilon_{\beta\gamma\alpha'} \psi_{i;\alpha}^{\alpha'} \right] , \quad (172)$$

where the coefficient is such as to give the same normalization for  $\chi^m$  and  $\psi$

$$\sum_{A,B,C} | \chi_{ABC}^m |^2 = \sum_{k,\gamma,\delta} | \psi_{k;\gamma}^{\delta} |^2 . \quad (173)$$

Since  $\psi_{k;\gamma}^{\gamma'}$  is a spin  $\frac{1}{2}$  octet, the same property holds for  $\chi^m$ . We now have the total  $SU_2 \otimes SU_3$  content of 56:

$$56 = (4, 10) + (2, 8) \quad (174)$$

which indeed allows the accommodation in 56 of the baryon octet (37) and decuplet (38).

$SU_6$  symmetry can be used to make predictions going beyond those of  $SU_3$ , with the restriction, however, that it has to be applied to non-relativistic situations. Much work has been done recently on relativistic extensions of  $SU_6$ . All schemes proposed have the property that the higher symmetry is violated by the terms containing the energy-momentum of virtual particles in intermediate states (at least when the number of virtual particles is two or more), and it is quite unclear at present what the validity of the corresponding relativistic theories may be.

Predictions on mass formulae are unambiguous in one case only: the baryon 56-plet, for which one obtains a mass formula going beyond (92):

$$m = a_0 + b_0 Y + c_0 \left( I(I+1) - \frac{1}{4} Y^2 \right) + d_0 J(J+1) , \quad (175)$$

where  $J$  is the spin, and  $a_0, b_0, c_0$  and  $d_0$  are constants in the 56-plet. This formula is well verified. For mesons one can, with some ad hoc assumptions, derive the relation

$$m_K^2 - m_\pi^2 = m_{K^*}^2 - m_\rho^2 \quad (176)$$

which is also in good agreement with the facts. Also the mixing angle (43) between  $\omega$  and  $\phi$

can be derived from the theory. The idea is to use a new  $SU_2$  subgroup of  $SU_6$  defined by

$$t_{i\alpha} \rightarrow t'_{i\alpha} = \begin{cases} t_{i\alpha} & \text{for } i = 1,2; \alpha = 1,2 \\ v_i j t_{j\alpha} & \text{for } i = 1,2; \alpha = 3 \end{cases} \quad (177)$$

(" $\lambda$  quark spin" group of Lipkin). As seen from (44) the physical  $\omega_0$  is invariant for this subgroup, whereas the physical  $\phi_0$  belongs to its representation 3.

Most further predictions of  $SU_6$  symmetry deal with the strong, electromagnetic and weak interactions of baryons. Some of them are given in the next section.

#### 4. $SU_6$ predictions for baryon interactions

The interactions of baryons with mesons, photons and leptons all involve a baryon vertex of form  $\bar{b} b'$ . The  $SU_6$  structure of the most general  $\bar{b} b'$  system is

$$b^+ A'B'C' b'_{ABC} \quad (178)$$

The basic assumption made is that (with one exception referring to vector mesons, see below) all interactions mentioned above involve the part of (178) which belongs to the adjoint representation 35 of  $SU_6$ . This is supposed to hold in the non-relativistic limit. Expression (178) contains 35 only once, namely in the form

$$t_A^B = b^{+BCD} b'_{ACD} - \frac{1}{6} \delta_A^B \left( b^{+ECD} b'_{ECD} \right) \quad (179)$$

We shall give the predictions resulting from the above basic assumption for the case of the baryon octet. (The cases of the decuplet couplings and of the octet-decuplet transitions can be treated in the same manner.) Since the baryons are then contained in  $\chi_{ABC}^m$ , see (158) and (172), we may insert the latter equation into (179). Using the special case where spin and  $SU_3$  dependences factor out in  $\psi$ ,

$$\psi_{\mathbf{k};\mathbf{Y}}^{\mathbf{Y}'} = \eta_{\mathbf{k}} \cdot \zeta_{\mathbf{Y}}^{\mathbf{Y}'} \quad (179a)$$

and introducing the abbreviation

$$\eta^+ \eta = \eta^+ \eta_i; \quad \zeta^+ \zeta = \zeta_\beta^{+\alpha} \zeta_\alpha^\beta$$

we get

$$\left( t_A^B \right)_{\text{octet}} = \frac{1}{18} \left[ \begin{aligned} & 3 \delta_i^j \cdot \eta^+ \eta' \cdot (\zeta^+ \zeta')_{F\alpha}^\beta \\ & + 4 \left( \eta^{+j} \eta'_i - \frac{1}{2} \delta_i^j \cdot \eta^+ \eta' \right) (\zeta^+ \zeta')_{F\alpha}^\beta \\ & + 6 \left( \eta^{+j} \eta'_i - \frac{1}{2} \delta_i^j \cdot \eta^+ \eta' \right) (\zeta^+ \zeta')_{D\alpha}^\beta \\ & + 2 \left( \eta^{+j} \eta'_i - \frac{1}{2} \delta_i^j \cdot \eta^+ \eta' \right) \delta_\alpha^\beta \cdot \zeta^+ \zeta' \end{aligned} \right] \quad (180)$$

For the F and D couplings see (32) and (27).

Take first the baryon meson coupling corresponding to the transitions  $b \leftrightarrow b' + m$ . In the non-relativistic limit, the  $0^-$  and  $1^-$  meson couplings

$$i\varphi \bar{b} \gamma_5 b', \quad -i\varphi_\mu \bar{b} \gamma_\mu b' \quad (181)$$

take the limiting forms

$$\frac{1}{2m_b} \vec{\nabla} \varphi \cdot (b^+ \vec{\sigma} b'), \quad \varphi_0 b^+ b', \quad (182)$$

with  $\varphi, \varphi_\mu$  the  $0^-, 1^-$  meson fields, and  $m_b$  the baryon mass supposed to have a unique value.  $\vec{\sigma}$  are the Pauli spin matrices, so that the actual spin is  $\frac{1}{2}\vec{\sigma}$ . Units are such that  $\hbar = c = 1$ . (182) suggests taking the spin 0 part of (180) for the vector meson coupling, and the spin 1 part for the  $0^-$  meson coupling. The latter is given by the second and third lines of (180) and therefore gives the value  $\frac{2}{3}$  to the f/d ratio defined in Chapter I-7. The vector meson couplings are given by the first line of (180); they affect the  $1^-$  meson octet only and are of F type (as is usually believed to be the case on theoretical grounds). If one decides somewhat arbitrarily to attribute the same norm to  $(\vec{\sigma} \cdot \vec{\nabla} \varphi)/2m_b$  and  $\varphi_0$ , one can calculate the  $1^-$  meson coupling strength from the  $0^-$  meson coupling constant by using the ratio  $\frac{3}{4}$  between the coefficients of the first and second lines of (180). In doing such a calculation one uses the identity

$$\eta_i^{+j} \eta_i' - \frac{1}{2} \delta_i^j \eta_i^+ \eta_i' = (\eta_i^+ \vec{\sigma} \eta_i') \cdot (\vec{\sigma})_i^j, \quad (183)$$

which follows from the usual definition of the Pauli matrices

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}. \quad (184)$$

The result of this calculation is compatible with our rough knowledge of vector meson couplings.

The coupling of the vector meson singlet  $\varphi_0^0$  with the baryons falls outside representation  $35$ , as is clear if one notes that in the non-relativistic limit this coupling is a singlet both for spin and for  $SU_3$ ; this coupling involves the baryon invariant

$$b^{+ABC} b'_{ABC} \quad (185)$$

and has an independent coupling strength. One can construct additional arguments, however, to derive this coupling from (180). They, in fact, state that the  $\bar{p}p\varphi_0, \bar{n}n\varphi_0$  couplings vanish ( $p = \text{proton}, n = \text{neutron}, \varphi_0 = \text{physical } \varphi \text{ meson}$ ), because  $\bar{p}p$  and  $\bar{n}n$  are invariant for the subgroup (177) (no  $SU_3$  index 3 appears in the corresponding  $b_{ABC}$ ) whereas  $\varphi_0$  is not.

Finally, the last line of (180) is not involved in the meson-baryon coupling discussed here; it could be used to describe baryon coupling to a  $0^-$  meson which would be an  $SU_3$  singlet, possibly the  $X_0$  meson of mass 960 MeV.

Although the physical applicability of the non-relativistic limit is doubtful in this case, one could repeat the above considerations for the  $\bar{b}b' \leftrightarrow m$  transitions. The spin properties of the baryon vertex are now reversed in the non-relativistic limit;  $0^-$  mesons become coupled to the spin 0 part of (180), and the  $1^-$  mesons to the spin 1 part. It seems impossible to check the resulting predictions against experimental facts.

The coupling of baryons to the electromagnetic field is also assumed to involve exclusively the irreducible tensor (179). This is a natural assumption because the electromagnetic current, being conserved, is naturally included in the adjoint representation of the symmetry group. The electric charge in terms of  $SU_3$  is given by the operator (54) with

$$h = \lambda_Q = \left( \lambda_Q \right)_\alpha^\beta = \begin{pmatrix} 2/3 & 0 & 0 \\ 0 & -1/3 & 0 \\ 0 & 0 & -1/3 \end{pmatrix} . \quad (186)$$

The baryon electromagnetic coupling is given by the contraction

$$3\lambda_{Q\beta}^\alpha t_{i\alpha}^{j\beta} . \quad (187)$$

The factor 3 is justified later. Expression (187) contains a spin 0 part (obtained by taking the trace over  $i, j$ ) which should describe the electric charge of the baryons, and a remaining part of spin 1 describing the magnetic moment. This identification is easily verified for spin  $1/2$  baryons by taking the electromagnetic interaction

$$-ie\bar{b}_\mu b' A_\mu + \frac{1}{2i} \kappa \bar{b} \left( \gamma_\mu \gamma_\nu - \gamma_\nu \gamma_\mu \right) b' \partial_\nu A_\mu , \quad (188)$$

( $e$  = electric charge;  $\kappa$  = anomalous magnetic moment) and reducing it to the non-relativistic limit

$$e\bar{b}^+ b' A_0 - \left( \frac{e}{2m_p} + \kappa \right) (b^+ \vec{\sigma} b') \cdot (\vec{\nabla} \times \vec{A}), \quad A_4 = iA_0 \quad (189)$$

with the same notation as in (182).

Taking into account the factor 3 in (187) the baryon charges obtained in this way are identical to the charges given by the Gell-Mann-Nishijima formula. This is immediately verified from (187) and (179) for the baryon decuplet. The same verification is obtained from (187) and (180) for the octet; it relies in this case on the important fact that, for the charge operator  $\Lambda(\lambda_Q)$  and more generally for any operator  $\Lambda(h)$  as defined in (45), the matrix element  $\langle \zeta | \Lambda(h) | \zeta' \rangle$ , when  $\zeta$  and  $\zeta'$  are octet members, takes the form

$$h_{\beta}^{\alpha}(\zeta^+\zeta')_{F\alpha}^{\beta} \quad (190)$$

corresponding to F-type coupling; this form indeed occurs in the spin 0 part of (180).

The spin 1 part of (187), when used to describe the magnetic moments, allows us to express the magnetic moments for all baryon octet and decuplet states, as well as all transition moments, in terms of a single one of these quantities. The most obvious ratio to calculate in order to test this prediction is  $\mu_n/\mu_p$ , the ratio of neutron to proton magnetic moments. The result is

$$\mu_n/\mu_p = -\frac{2}{3} \quad (191)$$

in excellent agreement with experiment ( $\mu_n = -1.91$ ,  $\mu_p = 2.79$  in proton magnetons  $e/2m_p$ ).

An  $SU_6$  analysis of electromagnetic mass splittings has also been carried out. It is not discussed here.

Leptonic weak interactions of baryons can be discussed along the same lines. The matrix element of the weak current between spin  $\frac{1}{2}$  baryons  $b$  and  $b'$

$$\langle b | j_\mu | b' \rangle = \langle b | j_\mu^V + j_\mu^A | b' \rangle \quad (192)$$

has the well-known non-relativistic limit (we neglect mass differences)

$$\text{vector current: } \langle b | j_\mu^V | b' \rangle = \begin{cases} 0 & \text{for } \mu=1,2,3 \\ if_V b^+ b' & \text{for } \mu=4 \end{cases} \quad (193)$$

$$\text{axial vector current: } \langle b | j_\mu^A | b' \rangle = \begin{cases} -f_A b^+ \vec{\sigma} b' & \text{for } \mu=1,2,3 \\ 0 & \text{for } \mu=4 \end{cases} \quad (194)$$

This is identical to the non-relativistic limit of  $i\bar{b}(f_V \gamma_\mu + f_A \gamma_\mu \gamma_5)b$ . Experimentally, for  $b = p$  and  $b' = n$ , one has

$$f_A/f_V = 1.2 \quad . \quad (195)$$

In  $SU_6$ , one postulates that in the non-relativistic limit  $i \langle b | j_\mu^V | b' \rangle$  and  $\langle b | j_\mu^A | b' \rangle$  become respectively proportional to the spin 0 and spin 1 parts of a matrix analogous to (187):

$$\lambda_{W\beta}^\alpha t_{i\alpha}^{j\beta} \quad (196)$$

where  $\lambda_{W\beta}^\alpha$  is an  $SU_3$  matrix of the form proposed by Cabibbo

$$\lambda_W = \begin{pmatrix} 0 & \cos \vartheta & \sin \vartheta \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad . \quad (197)$$

The angle  $\vartheta$  is known from experiment to have a value  $\vartheta \simeq 0.26$  radians. Among the resulting predictions we find from (180) that the vector leptonic coupling to baryons is of F type (as is expected from the conserved vector current hypothesis), that the axial vector has the d/f ratio  $\frac{3}{2}$  (which is in good agreement with the experimental value of  $1.7 \pm 0.35$ ), and that the ratio  $f_A/f_V$  is  $(4+6)/(3 \times 2) = \frac{5}{3}$  instead of (195). The latter result is obtained by introducing in (180) the  $p^+n$  coupling matrices

$$(p^+n)_F = (p^+n)_D = \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad . \quad (198)$$

One cannot claim, however, that the sign of  $f_A/f_V$  is predicted from theory; only the absolute value is. Many other predictions are possible and have been worked out; for example, the amplitudes of all neutrino reactions of type

$$\nu + b \rightarrow \ell + b^* \quad (199)$$

where  $b$  and  $b^*$  are members of the baryon octet and decuplet, respectively, and  $\ell$  is a charged lepton.

An  $SU_6$  treatment of non-leptonic decays of hyperons has been carried out. It is not presented here.

### 5. Definition of quarks

In view of the great success of  $SU_3$  and  $SU_6$ , the problem has been raised of whether particles might exist which would belong to the lowest representations of these groups. These particles would have spin  $\frac{1}{2}$  and form an  $SU_3$  triplet. Gell-Mann has called them quarks. From the Gell-Mann-Nishijima relation (54-56) their charges would be

$$\begin{array}{ll} \frac{2}{3}, -\frac{1}{3}, -\frac{1}{3} & \text{in representation } 3 \text{ (quarks)} \\ -\frac{2}{3}, \frac{1}{3}, \frac{1}{3} & \text{in representation } \bar{3} \text{ (antiquarks)}. \end{array}$$

Mesons could be regarded as bound states of a quark and an antiquark, and baryons as bound states of three quarks. This would imply that quarks have baryon number  $\frac{1}{3}$ . Experimentally, no quarks have been found so far.

BIBLIOGRAPHY

The following bibliography presents a selected list of papers dealing with the main items treated in the lectures. It is very far from being a complete bibliography of  $SU_3$  and  $SU_6$ .

I.  $SU_3$  SYMMETRY

1. General

An excellent collection of papers on  $SU_3$  have been reprinted in M. Gell-Mann and Y. Ne'eman, *The eightfold way*, W.A. Benjamin, Inc. (New York, Amsterdam) 1964.

Most of the following papers are contained in this work:

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