

# Dispersion theory in hadron form factors

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## Objectives

- Review hadron interactions with electroweak fields:  
currents, matrix elements, form factors ↔ Seminar Vanderhaeghen
- Apply methods of amplitude analysis to form factors:  
Analyticity, dispersion relations, unitarity ↔ Lectures
- Learn about applications to actual experimental data
- Outline connections with other fields:  
QCD and partonic structure

- Hadron reactions  $\leftrightarrow$  structure
- Simple application of amplitude analysis concepts:  
Single-variable function  $F(t)$
- Great practical use
- Experimental programs: Electron scattering JLab, Mainz, MIT, atomic physics with electronic/muonic hydrogen PSI,  $e^+e^-$  annihilation BES, KLOE, BABAR, etc.,  $p\bar{p}$  PANDA SM/BSM processes with hadron production, neutrino interactions with matter
- Connection with QCD: Generalized parton distributions, Lattice QCD methods

## 1 – Hadron interactions with external fields

Currents, matrix elements, form factors  
Spacelike and timelike FFs  
Elastic scattering and annihilation

## 2 – Analytic properties of form factors

Analytic  $F(t)$ , physical sheet, principal cut  
Dispersion relations and convergence  
Spectral function and  $t$ -channel processes  
Methods for constructing spectral function

## 3 – Spectral functions from amplitude analysis

Unitarity relation for  $\pi\pi$  cut  
 $\pi\pi \rightarrow NN$  partial wave amplitudes from  $\pi N \rightarrow \pi N$  data  
Pion form factor from  $e^+e^- \rightarrow \pi^+\pi^-$  data

## 4 – Nucleon electromagnetic form factors

Evaluation of dispersion integral  
Comparison with spacelike FF data  
Open questions

## 5 – Extensions and connections

Nucleon scalar form factor  
Nucleon axial form factors  
Transverse densities and generalized parton distributions

# EM interactions: Hadrons in external field



- External field — electromagnetic/weak/other

Accelerate existing hadron

Create/annihilate hadron-antihadron pair

} Relativity

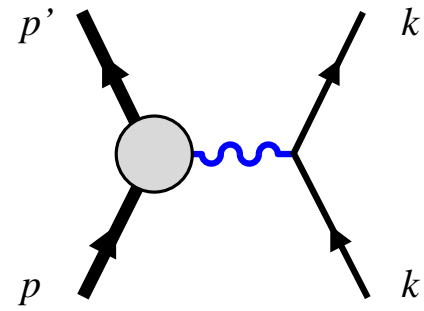
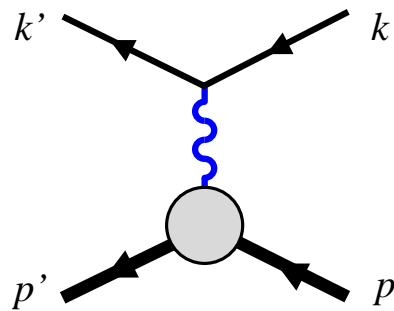
- Interaction described by Lagrangian density

$$L_{\text{em}}(x) = e\mathcal{A}_\mu(x)J^\mu(x), \quad \text{current operator}$$

- Transition matrix elements

$$\langle h(p')|J^\mu(x)|h(p)\rangle, \quad \langle h(p')\bar{h}(p)|J^\mu(x)|0\rangle$$

Treat as abstract objects, cf. hadron scattering amplitudes



$$\mathcal{M}(lh \rightarrow l'h') = e^2 \underbrace{\langle h(p') | J^\mu(0) | h(p) \rangle}_{\text{Hadron current}} \underbrace{D_{\mu\nu}(k - k')}_{\text{Green function}} \underbrace{\langle l(k') | j^\nu(0) | l(k) \rangle}_{\text{Lepton current}}$$

$$\mathcal{M}(l^+l^- \rightarrow h\bar{h}) = e^2 \langle h(p')\bar{h}(p) | J^\mu(0) | 0 \rangle D_{\mu\nu}(k + k') \langle 0 | j^\nu(0) | l(k')l(k) \rangle$$

- External field excited by lepton scattering
- Lepton current and Green function given by QED (EW theory)
- Explicit form of amplitude and cross section

→ Exercise

- Translational invariance

$$J^\mu(x) = e^{iPx} J^\mu(0) e^{-iPx} \quad \text{finite translation operator}$$

$$\langle h(p') | J^\mu(x) | h(p) \rangle = e^{i(p'-p)x} \langle h(p') | J^\mu(0) | h(p) \rangle \quad x\text{-dep explicit}$$

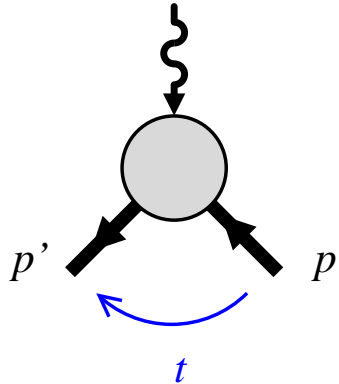
- Current conservation

$$\partial_\mu J^\mu(x) = 0 \quad \rightarrow \quad \partial_\mu \langle h(p') | J^\mu(x) | h(p) \rangle = 0$$

$$(p' - p)_\mu \langle h(p') | J^\mu(0) | h(p) \rangle = 0 \quad \text{transversality}$$

- Similar relations for  $\langle h(p') \bar{h}(p) | J^\mu(x) | 0 \rangle$  with  $p \rightarrow -p$

- Structural decomposition



$$\langle h(p') | J^\mu(0) | h(p) \rangle \leftrightarrow \text{structures}$$

$$\text{Lorentz 4-vector: } \langle |J^\mu| \rangle \propto (p' + p)^\mu, (p' - p)^\mu$$

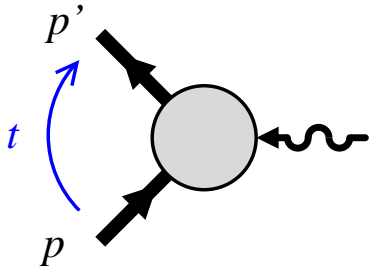
$$\text{Current conserved: } (p - p')_\mu \langle |J^\mu| \rangle = 0$$

$$\langle |J^\mu| \rangle = (p' + p)^\mu F(t), \quad t \equiv (p' - p)^2$$

- $F(t)$  invariant form factor, cf. invariant amplitudes

Physical region for scattering:  $t < 0$

$F(0) = \text{hadron charge (in units of } e)$



- Similar decomposition

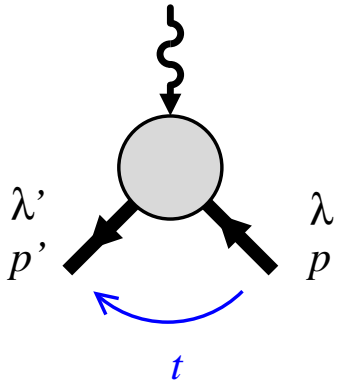
$$\langle h(p')\bar{h}(p)|J^\mu(0)|0\rangle = (p' - p)^\mu F(t), \quad t = p' + p$$

Physical region for  $h\bar{h}$  creation:  $t > 4M_h^2 > 0$

- Crossing symmetry: Scattering and annihilation matrix elements are described by a single analytic function

$$F(t), \quad \begin{cases} t < 0, & \text{scattering} & \text{“spacelike FF”} \\ t > 4M_h^2, & \text{annihilation} & \text{“timelike FF”} \end{cases}$$





- Lorentz invariance requires

$$\langle h(p', \lambda') | J^\mu(0) | h(p, \lambda) \rangle = \bar{u}(p', \lambda') \Gamma^\mu u(p, \lambda)$$

Bilinear form in hadron bispinors  $u, \bar{u}$

- Independent structures in  $\Gamma^\mu$  ?

Heuristic approach: Use transversality, Dirac eqn, gamma matrix identities

Systematic approach: Count helicity amplitudes

→ Exercise

$$\Gamma^\mu = \gamma^\mu F_1(t) + \frac{i\sigma^{\mu\nu}(p' - p)^\nu}{2M_h} F_2(t)$$

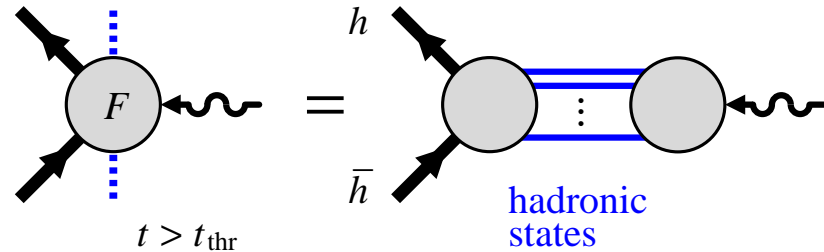
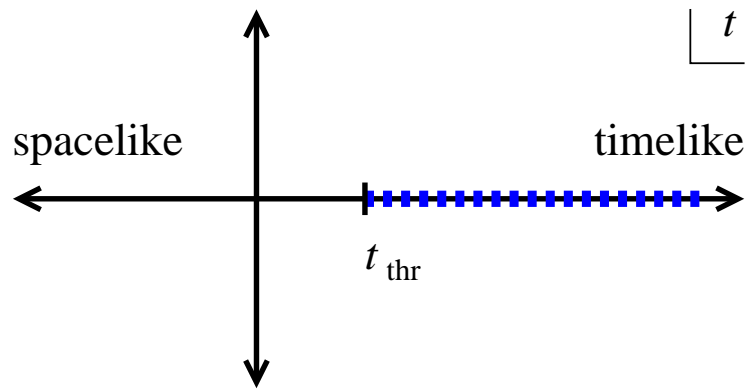
- $F_{1,2}(t)$  invariant FFs

$F_1(0) = \text{charge}$ ,       $F_2(0) = \text{anomalous magnetic moment}$

Dirac and Pauli FFs; also other choices  $G_E, G_M$

# Analytic properties: Form factor

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- FF analytic function of  $t$

Physical sheet, approached from  $t < 0$

No singularities at  $t < 0$

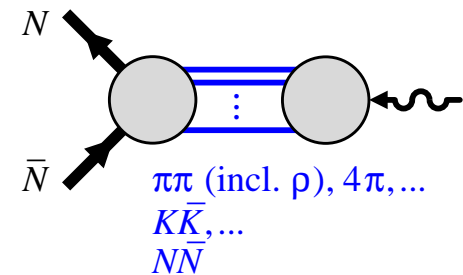
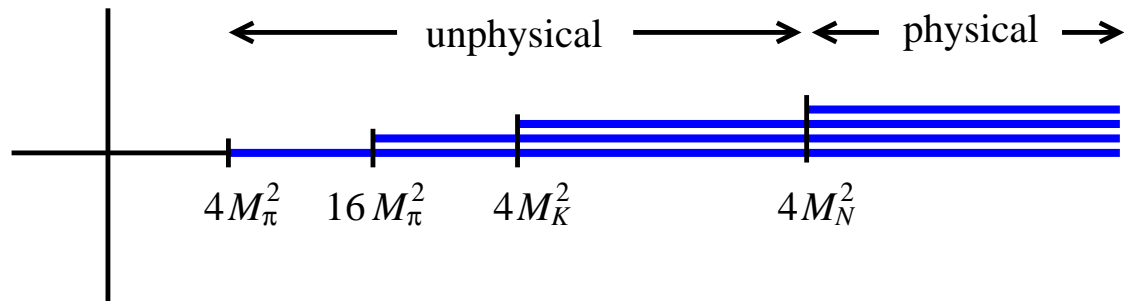
- Singularities at  $t > 0$  — poles, cuts

Result from “processes”: current  $\rightarrow$  hadronic state  $\rightarrow h\bar{h}$

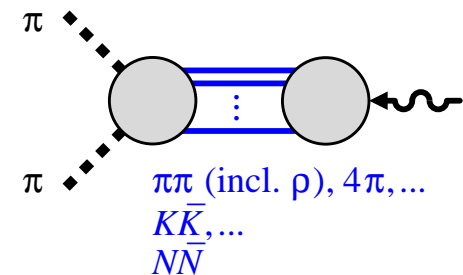
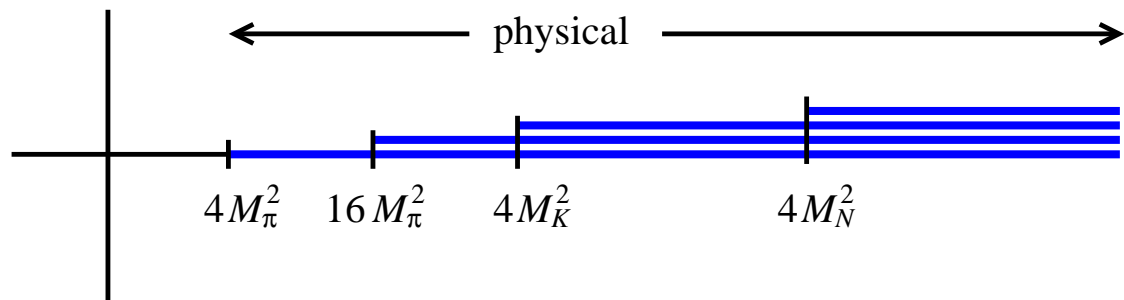
Can occur below the physical  $h\bar{h}$  threshold

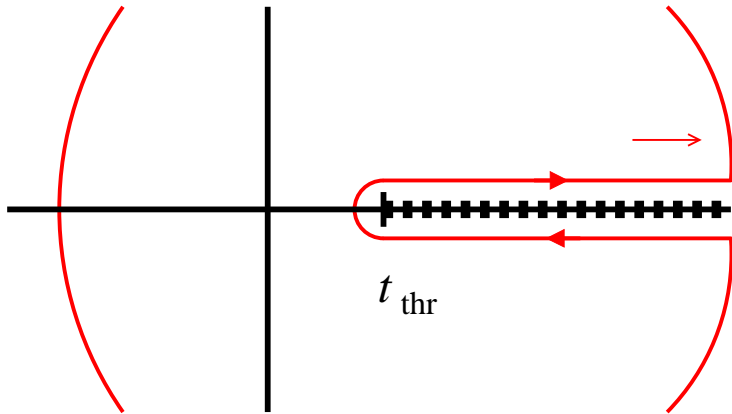
# Analytic properties: Examples

- Nucleon electromagnetic FFs, isovector



- Pion electromagnetic FF





$$F(t) = \int_{t_{\text{thr}}}^{\infty} \frac{dt'}{\pi} \frac{\text{Im } F(t + i0)}{t' - t}$$

Dispersion relation

- Convergence at  $|t| \rightarrow \infty$ :  $F(t) \sim t^{-1}$  (pion),  $\sim t^{-2,3}$  (nucleon) from QCD
- DR represents FF at all  $t$  on physical sheet

Implements correct analytic properties. Useful tool

- Spectral function  $\text{Im } F(t + i0) = [F(t + i0) - F(t - i0)]/(2i)$

Need to know it in order to evaluate integral. Unphysical region!

- Amplitude analysis techniques + hadronic scattering data:  
Unitarity, analytic continuation

Frazer, Fulco, Phys. Rev. **117**, 1603 (1960); Phys. Rev. **117**, 1609 (1960)

Hohler, Pietarinen, Nucl. Phys. B **95**, 210 (1975)

Hoferichter, Kubis, Ruiz de Elvira, Hammer, Meissner, Eur. Phys. J. A **52**, 331 (2016)

- Fits to spacelike FF data

Hohler et al., Nucl. Phys. B **114** (1976) 505

Belushkin, Hammer, Meissner, Phys. Rev. C **75**, 035202 (2007)

Lorenz, Hammer, Meissner, Eur. Phys. J. A **48**, 151 (2012)

- Dynamical calculations: Chiral effective field theory

Gasser, Sainio, Svarc, Nucl. Phys. B **307**, 779 (1988)

Bernard, Kaiser, Meissner, Nucl. Phys. A **611**, 429 (1996)

Becher, Leutwyler, Eur. Phys. J. C **9**, 643 (1999)

Kubis, Meissner, Nucl. Phys. A **679**, 698 (2001)

Kaiser, Phys. Rev. C **68**, 025202 (2003)

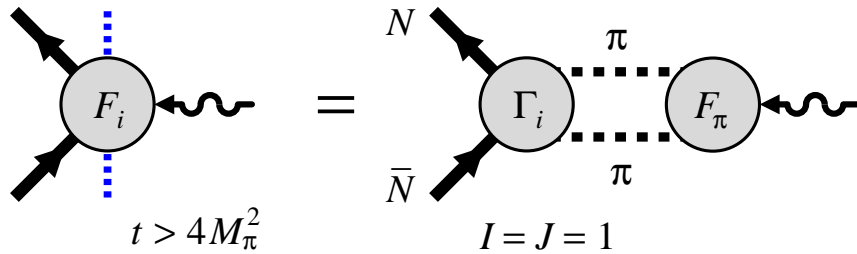
- Combination of above methods

$\chi$ EFT + unitarity: Granados, Leupold, Perotti, Eur. Phys. J. A **53**, 117 (2017);

Alarcon, Hiller Blin, Vicente Vacas, Weiss, Nucl. Phys. A **964**, 18 (2017)

# Analysis: Unitarity relation

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$$\text{Im}F_i(t) = \frac{k_{\text{cm}}^3}{\sqrt{t}} \Gamma_i(t) F_\pi^*(t)$$

- At  $4M_\pi^2 < t < 16M_\pi^2$  only  $\pi\pi$  channel open — two-pion cut
- $\text{Im}F_i(t)$  from elastic unitarity relation Here: Nucleon isovector EM FFs

CM frame of timelike process,  $k_{\text{cm}} = \sqrt{t/4 - M_\pi^2}$  pion CM momentum

$F_\pi(t)$  current  $\rightarrow \pi\pi$  partial-wave amplitude = pion timelike FF

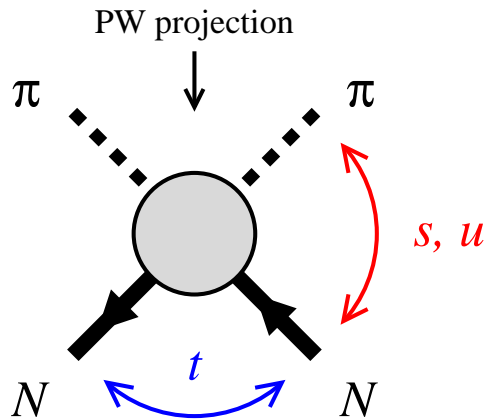
$\Gamma_i(t)$   $\pi\pi \rightarrow N\bar{N}$  partial-wave amplitude

Amplitudes  $F_\pi(t)$  and  $\Gamma_i(t)$  have same phase — Watson theorem

Amplitudes “contain”  $\rho$  as  $\pi\pi$  resonance

# Analysis: $\pi\pi \rightarrow N\bar{N}$ partial-wave amplitudes

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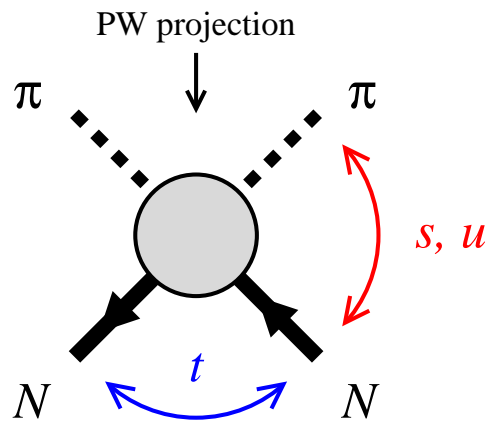
- Idea: Construct  $\pi\pi \rightarrow N\bar{N}$  PWAs  $\Gamma_i(t)$  from  $\pi N \rightarrow \pi N$  scattering data using amplitude analysis techniques

Two major challenges:

- $t$ -channel partial wave projection requires knowledge of amplitude in unphysical region of  $s, u$ -channel processes  $s, u < (M_\pi + M_N)^2$ 
  - analytic continuation in  $s, u$  at fixed  $t$
- For spectral function we need  $t$ -channel PWAs at  $t > 4 M_\pi^2 > 0$ , while  $\pi N \rightarrow \pi N$  data are at  $t < 0$ 
  - analytic continuation in  $t$

# Analysis: $\pi\pi \rightarrow N\bar{N}$ partial-wave amplitudes

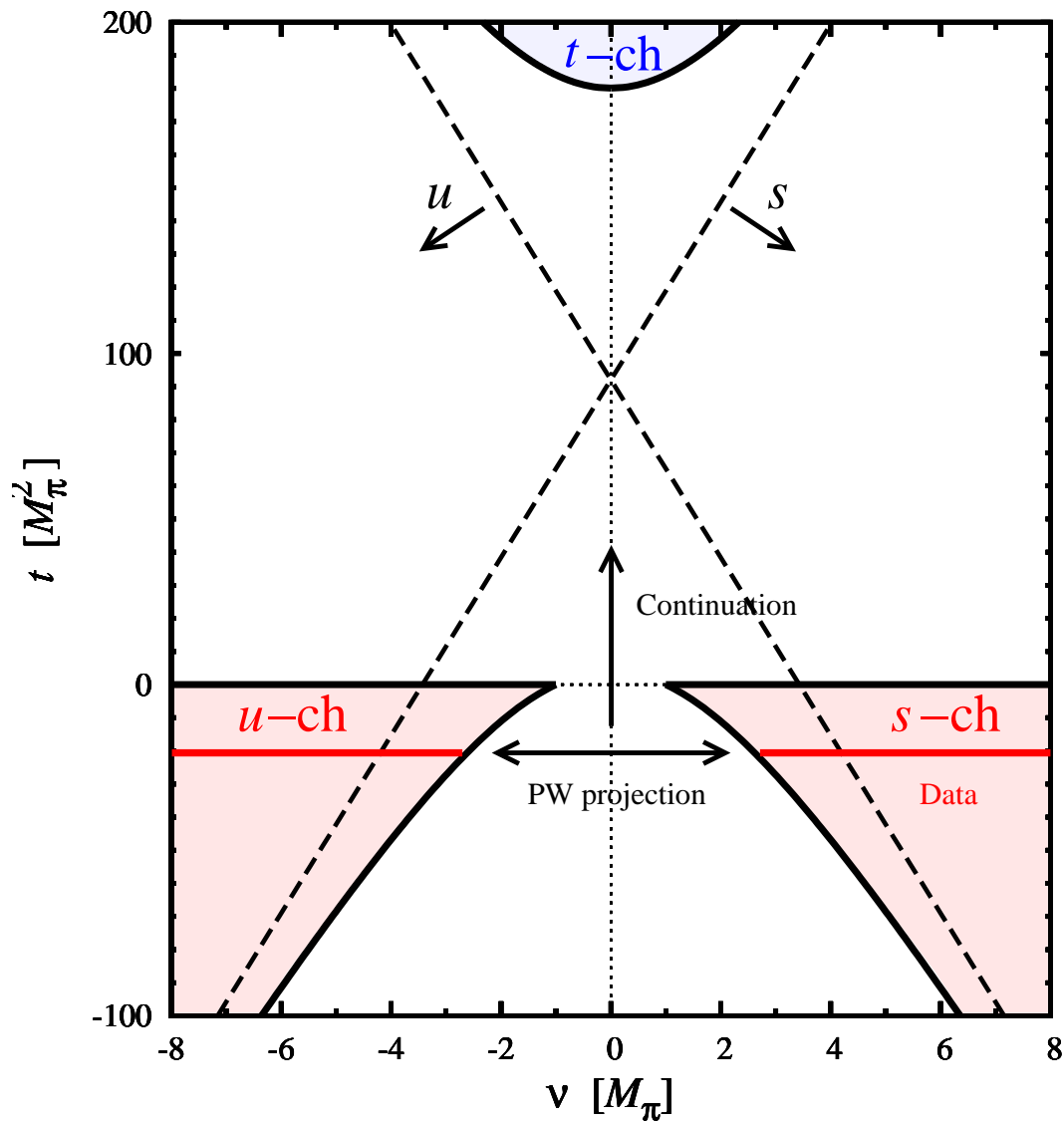
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- Mandelstam diagram

$$\nu = \frac{s - u}{4M_N}$$

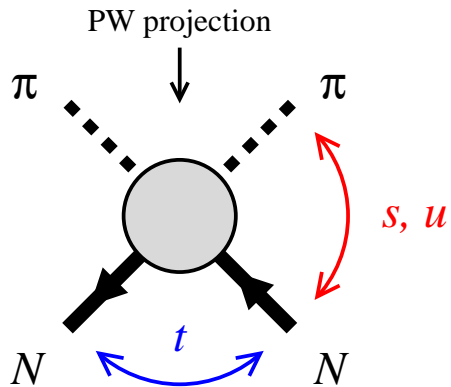
crossing-symmetric variable





# Analysis: $\pi\pi \rightarrow N\bar{N}$ partial-wave amplitudes

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I) Construct  $\pi N \rightarrow \pi N$  invariant amplitudes  $A_{\pm}$  with proper analyticity in  $s, u$  at fixed  $t < 0$

Data in physical region  $s, u > (M_{\pi} + M_N)^2$

Continue to unphysical  $s, u < (M_{\pi} + M_N)^2$

II) Calculate  $t$ -channel partial-wave projections

$$f_{\pm}(t) = (\text{factor}) \times \int_{-1}^1 dz \left\{ \begin{array}{c} P_1(z) \\ P_0(z) - P_2(z) \end{array} \right\} A_{\pm}(\nu, t) \quad \leftrightarrow \Gamma_{1,2}(t)$$

$$\nu = \frac{s - u}{4M_N}, \quad z = -\cos \theta_t = \frac{M_N \nu}{\sqrt{M_N^2 - t/4} \sqrt{M_{\pi}^2 - t/4}}$$

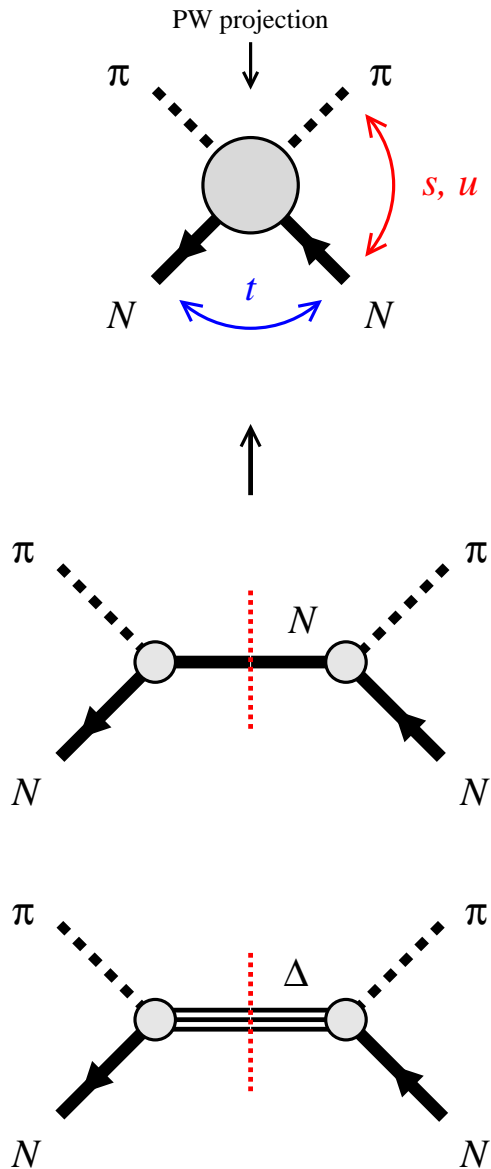
III) Analytically continue PWAs to region  $t > 4M_{\pi}^2$

Use DR for PWA (left-hand cut),  $N/D$  method, estimate uncertainties

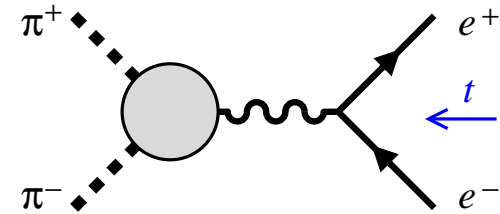
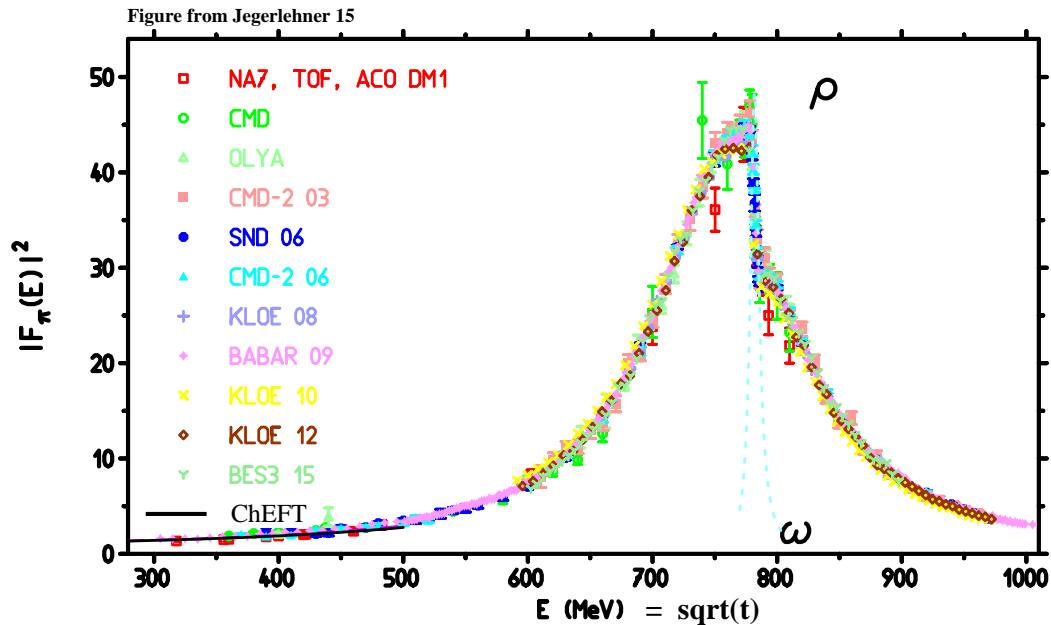
Hohler et al 74

# Analysis: $\pi\pi \rightarrow N\bar{N}$ partial-wave amplitudes

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- What hadronic processes contribute to the  $\pi N \rightarrow \pi N$  amplitude?
- Born term amplitudes with intermediate  $N, \Delta$   
Singularities at  $s, u = M_N^2, M_\Delta^2$   
Contribute to left-hand cut of PWA
- Also other intermediate states  $\pi N$  etc.

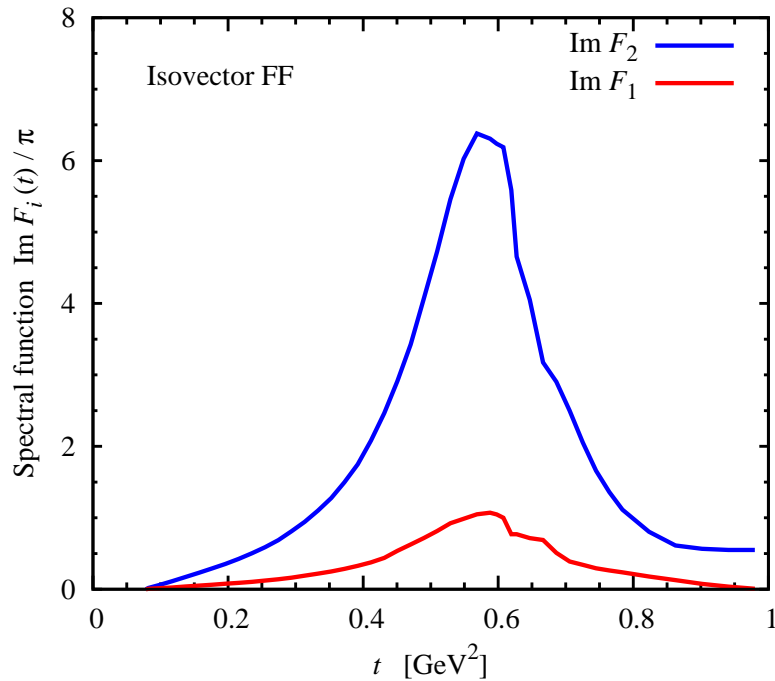


- $|F_\pi(t)|^2$  measured in  $e^+e^- \rightarrow \pi^+\pi^-$  exclusive annihilation

$\rho$  as  $\pi\pi$  resonance

- Phase (Im/Re) determined by fit to resonant amplitude parametrization

Gounaris-Sakurai: Effective range expansion of  $\pi\pi$  phase shift,  
 good description of line shape [Gounaris, Sakurai, PRL 21 \(1968\) 244](#)

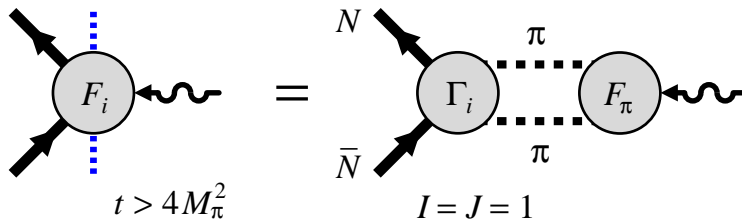


- Spectral function on  $\pi\pi$  cut calculated

Elastic unitarity condition  
 + data  $\pi N \rightarrow \pi N, e^+e^- \rightarrow \pi^+\pi^-$   
 + analytic continuation

Valid up to  $t \sim 1 \text{ GeV}^2$

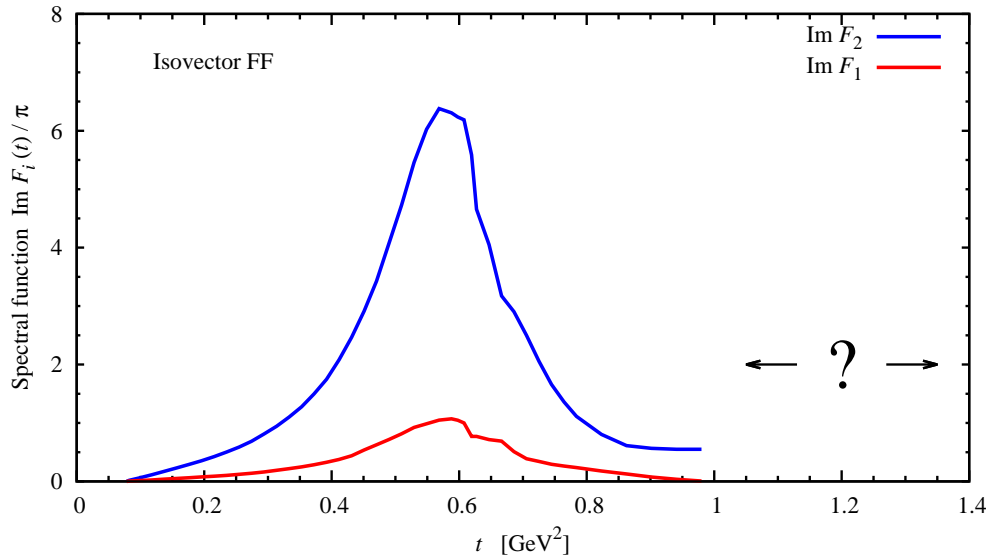
Contains  $\rho$  resonance



- Alt. approach: Dynamical calculations

$\chi$ EFT + N/D method

Alarcon, Hiller Blin, Vicente Vacas, Weiss, NPA **964**, 18 (2017)



- Evaluate dispersion integral

$$F_i(t) = \int_{4M_\pi^2}^{\infty} \frac{dt'}{\pi} \frac{\text{Im } F_i(t + i0)}{t' - t}$$

- What about higher  $t'$  ?

- Constraints on spectral function

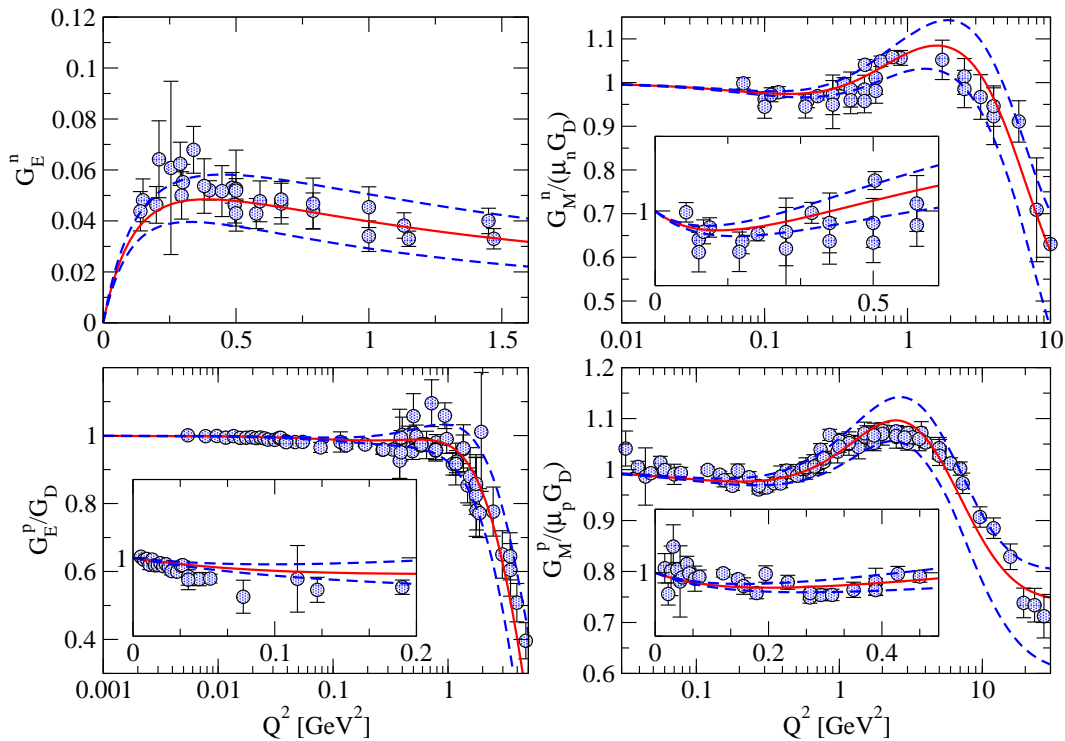
$$F_1(t) \sim t^{-2} \rightarrow \int_{4M_\pi^2}^{\infty} \frac{dt'}{\pi} \text{Im } F_i(t') = 0$$

asymptotic behavior

$$F_1(0) = \text{charge} = \int_{4M_\pi^2}^{\infty} \frac{dt'}{\pi} \frac{\text{Im } F_i(t')}{t'}$$

charge

- Parametrize isovector spectral function at high  $t'$ : Simple pole, multiple poles  
 Isoscalar:  $\omega, \phi$  + high  $t'$  poles [Hohler et al NPB 114 505 \(1976\)](#); [Belushkin et al PRC 75 035202 \(2007\)](#).



- High- $t'$  spectral functions determined by fit to spacelike FF data

[Belushkin et al PRC \*\*75\*\* 035202 \(2007\)](#); [Lorenz et al EPJA \*\*48\*\*, 151 \(2012\)](#)

- Good description of FF data

Analytic parametrization of FF. Permits  $t \rightarrow 0$  extrapolation, derivatives

$$F(t) = \int_{4M_\pi^2}^{\infty} \frac{dt'}{\pi} \frac{\text{Im } F_i(t + i0)}{t' - t} \quad \rightarrow \quad F'(t = 0) = \int_{4M_\pi^2}^{\infty} \frac{dt'}{\pi} \frac{\text{Im } F_i(t + i0)}{(t')^2}$$

- Derivatives of FFs at  $t = 0$  given by well-convergent integrals

Dominated by  $t' < 1 \text{ GeV}^2$ . Predictions of unitarity!

“ $\rho$  meson dominance”

- Nucleon charge/magnetic radii

$$\langle r^2 \rangle_1 = 6 F_1'(0) \qquad \langle r^2 \rangle_2 = 6 \frac{F_2'(0)}{F_2(0)}$$

Interpretation in context of non-relativistic systems:

Radius of 3D charge/magnetization density in Breit frame  $q^\mu = (0, \mathbf{q})$

Relativistic systems: Use 2D transverse densities!

- Amplitude analysis methods described here can be applied to variety of meson and baryon form factors

- Scalar nucleon FF

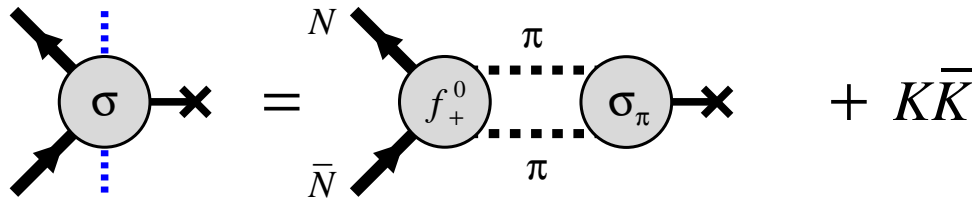
Gasser, Leutwyler, Sainio, PLB **253**, 260 (1991)

$$O_\sigma(x) = \sum_{u,d} m \bar{\psi}(x) \psi(x), \quad \langle N(p') | O_\sigma(0) | N(p) \rangle = \bar{u}' u \sigma(t)$$

Fundamental interest: Mass term of QCD Hamiltonian, trace of QCD energy-momentum tensor, “ $\sigma$  term”

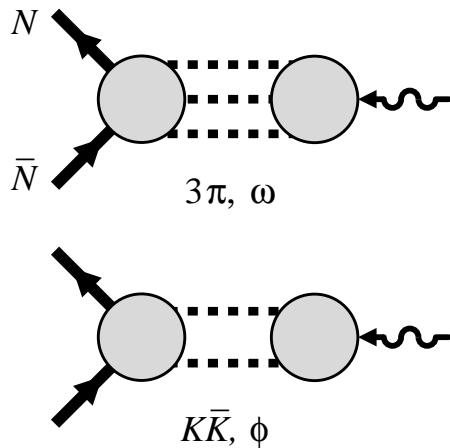
Two-pion cut, unitarity condition. Coupling to  $K\bar{K}$  at  $t > 4M_K^2$

Pion scalar FF from dispersion theory with  $\chi$ EFT input





- Isoscalar vector FF

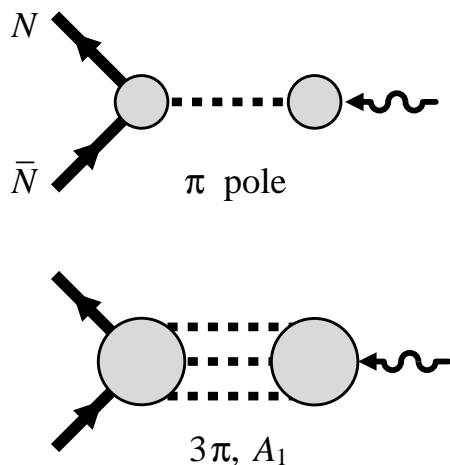


Intermediate states  $3\pi, K\bar{K} + \text{higher}$

3-body unitarity: Techniques being developed  
[JPAC: Pilloni, Szczepaniak](#)

Coupled channel problem

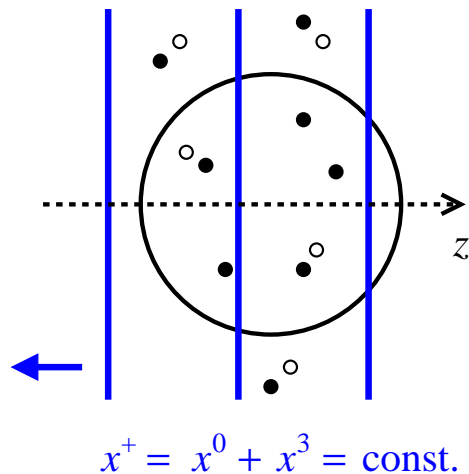
- Isovector pseudoscalar and axial FF



$$J^{5\mu}(x) = \bar{\psi}(x)\gamma^\mu\gamma^5\psi(x) \quad [u - d]$$

Pion pole in induced pseudoscalar FF

$3\pi + \text{higher}$  intermediate states in axial FF



- Structure of relativistic system is naturally described at fixed light-front time  $x^+ = x^0 + x^3$

Boost-invariant definition of “time”

Frame-independent wave functions, densities

Unambiguous “particle content” of system

- FFs expressed through transverse densities

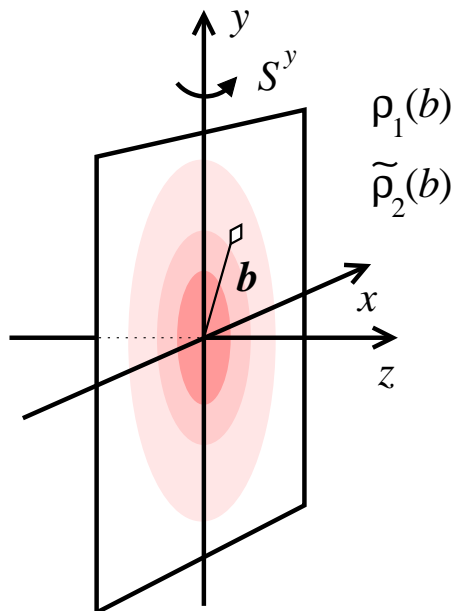
*Soper 76, Burkardt 00, Miller 07*

$$F_{1,2}(t = -\Delta_T^2) = \int d^2b e^{i\Delta_T b} \rho_{1,2}(b)$$

Cumulative charge/magnetization density at transverse position  $b$

Connection with GPDs in QCD

$$\rho_1(b) = \sum_q e_q \int_0^1 dx [q - \bar{q}](x, b)$$



- Dispersive representation

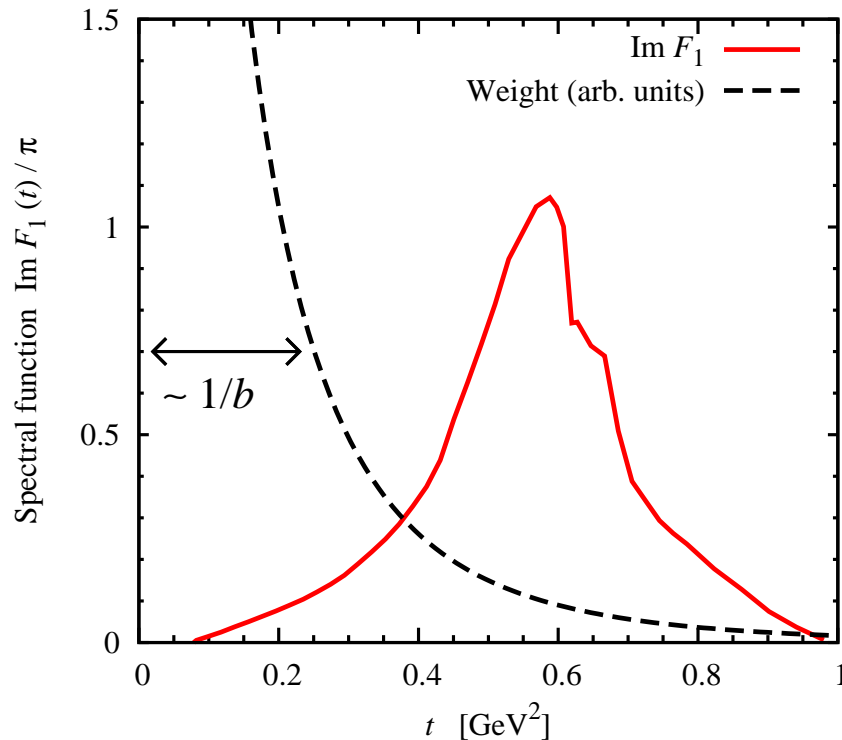
$$\rho(b) = \int_{4M_\pi^2}^{\infty} \frac{dt}{2\pi} K_0(\sqrt{t}b) \frac{\text{Im } F(t)}{\pi}$$

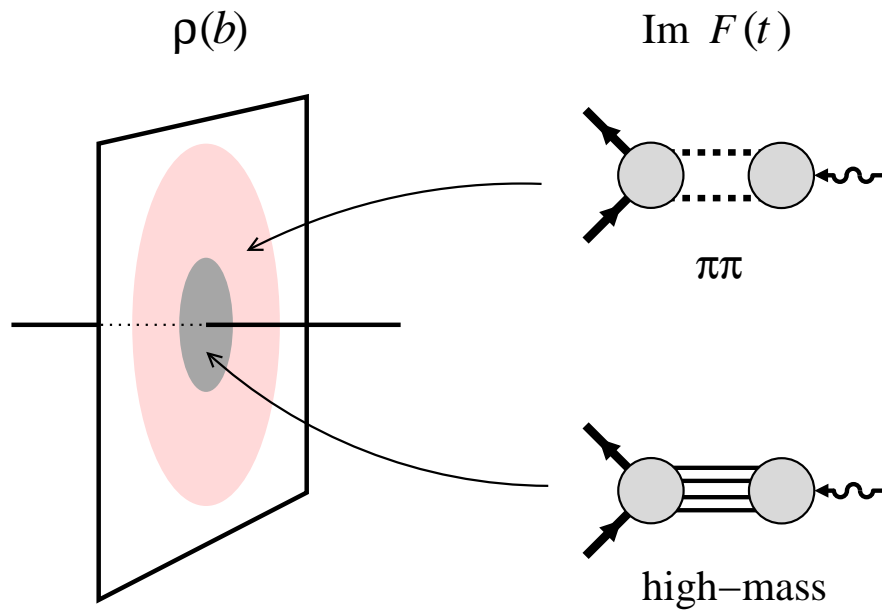
$K_0 \sim e^{-b\sqrt{t}}$  ensures exponential suppression of large  $t$

Distance  $b$  selects  $\sqrt{t} \sim 1/b$ :  
Filter for spectral function

Peripheral densities dominated by lowest-mass intermediate states

Strikman, Weiss PRC **82** 042201 (2010);  
Miller, Strikman, Weiss PRC **84** 045205 (2011)



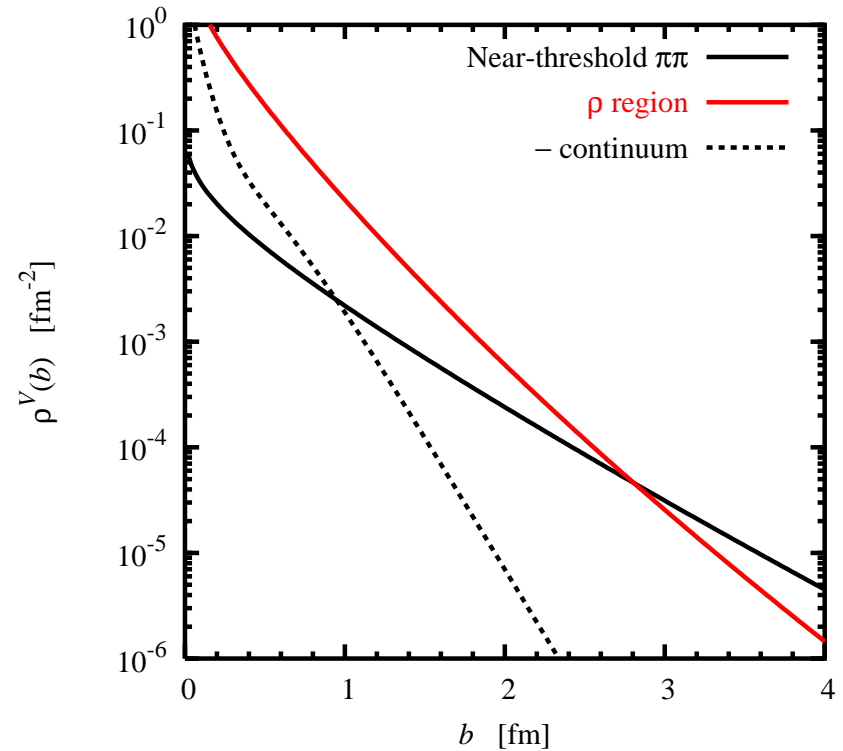
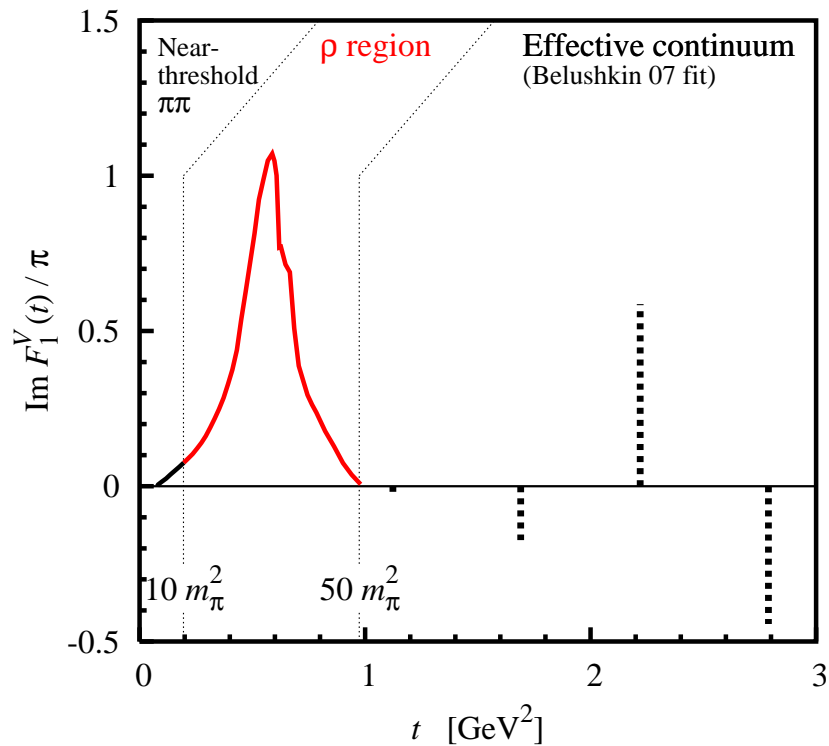


- Spatial structure of  $t$ -channel processes

Peripheral  $b \gtrsim 1 \text{ fm}$   $\leftrightarrow$   $\pi\pi$  intermediate state (includes  $\rho$ )

Central  $b \ll 1 \text{ fm}$   $\leftrightarrow$  higher-mass intermediate states

- Quantify “range” of exchange mechanisms

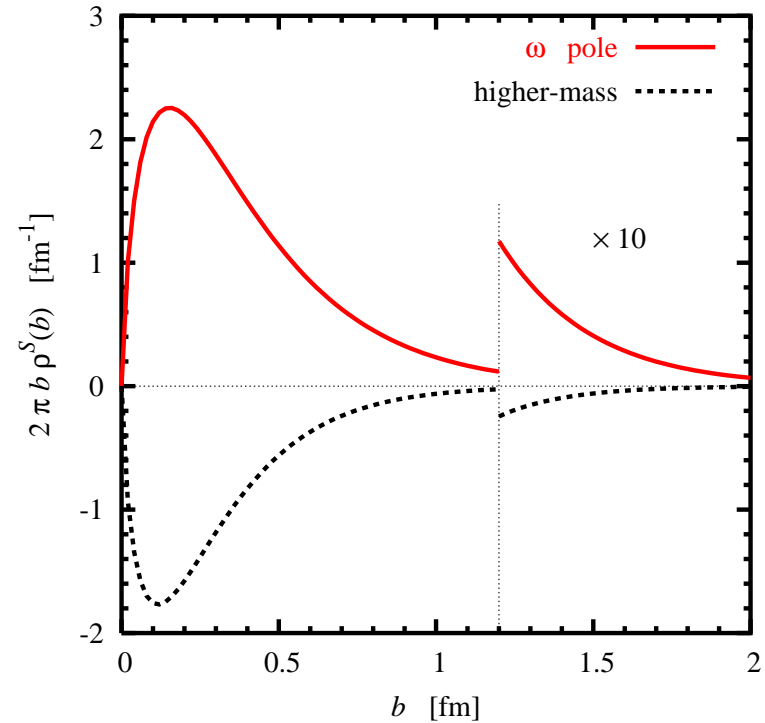
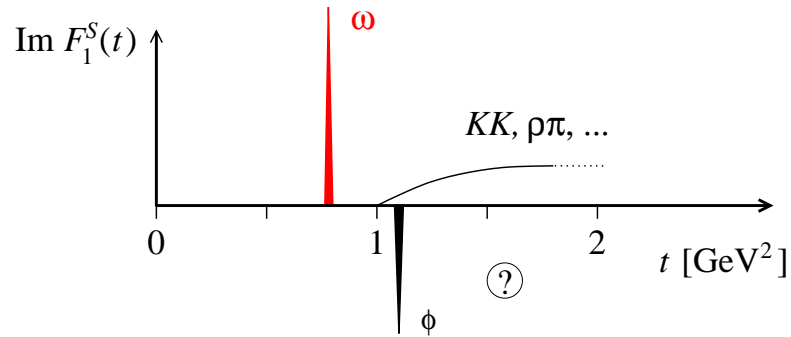


- Spectral analysis of isovector charge density

Near-threshold  $\pi\pi$  relevant only at  $b > 3$  fm

Intermediate  $b = 0.5 - 1.5$  fm dominated by  $\rho$

Higher-mass states relevant only at  $b < 0.3$  fm



- Spectral analysis of isoscalar density

Miller, Strikman, CW 11

$\omega$  dominates at  $b > 1.5$  fm

Large cancellations between  $\omega$  and higher-mass states at  $b = 0.5 - 1$  fm

- Quark-hadron duality in transverse densities

Hadron exchanges in  $t$ -channel  $\leftrightarrow$  Partonic configurations in  $s$ -channel

- Pion FF and transverse density

$|F_\pi(t)|^2$  from  $e^+e^- \rightarrow \pi^+\pi^-$  data

Spectral function  $\text{Im } F_\pi(t)$  from fit to resonant amplitude parametrization

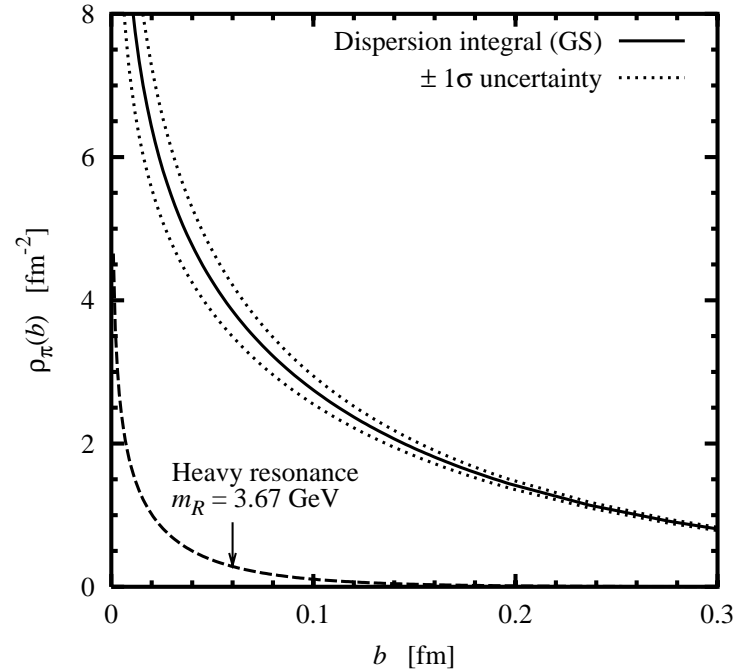
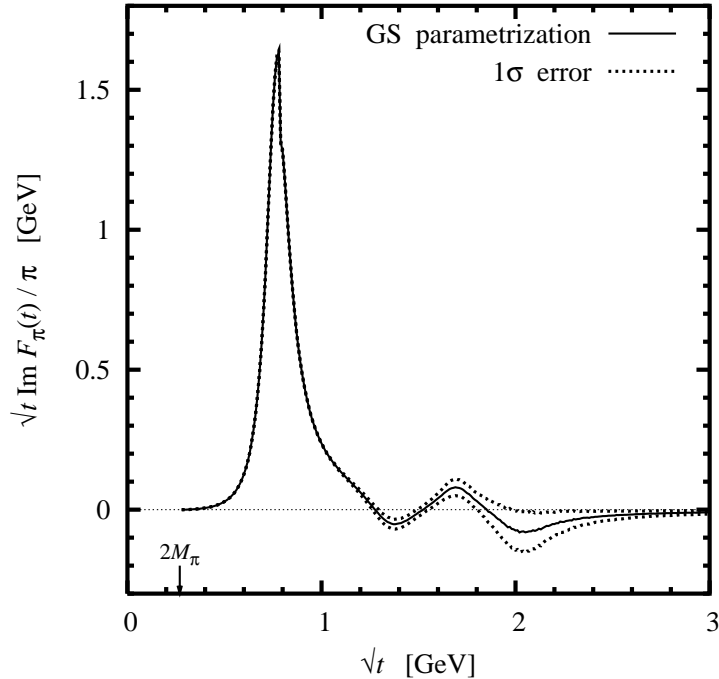
FF and transverse density from dispersion relation

- Resonance transition FFs and densities

$N \rightarrow N^*$  and  $N^* \rightarrow N^*$  transition FFs

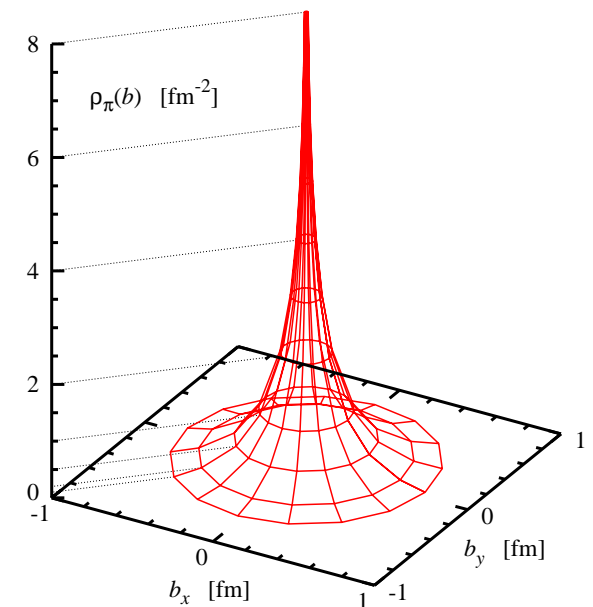
S-matrix theory: Resonance structure defined at complex pole

# Extensions: Pion FF and density



- $|F_\pi(t)|^2$  measured in  $e^+e^- \rightarrow \pi^+\pi^-$  up to  $t \sim 10 \text{ GeV}^2$
- $\text{Im } F_\pi(t)$  from resonant amplitude fit
- Transverse density from dispersion relation
- High density in center of pion:  
Pointlike  $q\bar{q}$  configurations

Miller, Strikman, Weiss, PRD **83**, 013006 (2011)





- Interaction of hadrons with external fields described by current matrix elements
- FFs have analytic properties similar to hadronic scattering amplitudes
- Spectral functions on  $\pi\pi$  cut from elastic unitarity and hadronic data
- Good description of spacelike nucleon FFs and derivatives
- Transverse densities connect hadronic exchanges with partonic structure
- *Amplitude analysis is not just science, but also art!*